



**Form ADV Part 2B  
Brochure Supplement**

**Michael D. Malone**

MerCap Advisors, Inc.

CRD #170092

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This brochure supplement (Form ADV Part 2B) provides information about the background and qualifications of Michael D. Malone that supplements the MerCap Advisors, Inc. brochure (Form ADV Part 2A). You should have received a copy of that brochure. Please contact MerCap Advisors, Inc.'s Chief Compliance Officer, Dale A. Pope, at (610) 572-2157 if you did not receive MerCap Advisors, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Michael D. Malone and/or MerCap Advisors, Inc. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Michael D. Malone**

**Item 2: Educational Background and Business Experience**

**Year of Birth:** 1950

**Education:**

- Bachelor's Degree, University of Delaware 1969-1973

**Business Background:**

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|--|-------------------|---|
| • MerCap Advisors, Inc.                    | 7/2015 – Present  | Investment Adviser<br>Representative                                |
| • Merion Wealth Partners, LLC              | 12/2011 – Present | Investment Adviser<br>Representative                                |
| • Swarthmore Investment<br>Management Inc. | 4/2004 – Present  | Principal   |
| • LPL Financial LLC                        | 4/2004 – 12/2011  | Registered Representative &<br>Investment Adviser<br>Representative |

**Professional Designations:**

None.

**Professional Registrations/Licenses:**

Securities Registrations

- Series 7
- Series 24
- Series 63
- Series 65

**Item 3: Disciplinary Information**

Mr. Malone does not have any legal or disciplinary information to disclose.

**Item 4: Other Business Activities**

None.

**Item 5: Additional Compensation**

None. Mr. Malone does not receive sales awards, prizes, bonuses, or additional compensation based on the number or amount of sales, client referrals, or new accounts. In addition, he does not receive any compensation or economic benefit from any unaffiliated person, company, or organization in connection with the advisory services provided to clients of MerCap Advisors, Inc.

**Item 6: Supervision**

All investment advisory activities are monitored by MerCap Advisors, Inc.'s Compliance and Operations personnel. Supervision is ongoing and includes such things as periodic client account activity review, trade supervision, review of business practices, and review of personal securities accounts, among other activities. In addition, MerCap Advisors, Inc. has adopted a compliance program designed to prevent, detect, and correct any actual or potential violations of the Investment Advisers Act of 1940, as amended, and related laws and regulations. Under this program, Mr. Malone is subject to MerCap Advisors, Inc.'s policies and procedures, including its Code of Ethics. MerCap Advisors, Inc.'s Chief Compliance Officer, Dale A. Pope supervises the activities of Mr. Malone. Mr. Pope may be contacted by calling (610) 616-3013.

**Item 7: Requirements for State-Registered Advisers**

Mr. Malone has never been the subject of a bankruptcy petition nor has he ever been involved in any of the additional disciplinary events reportable under this Item.