

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**William M. Malloy, III**

**of**



1268 Prospect Ave.  
La Jolla, CA 92037

(855) 696-1835

[www.themwmgroup.com](http://www.themwmgroup.com)

**March 31, 2015**

This brochure supplement provides information about Bill Malloy, and supplements the MWM 1835, LLC (DBA “MWM”) brochure. You should have received a copy of that brochure. Please contact MWM at (855) 696-1835 if you did not receive MWM’s brochure, or if you have any questions about the contents of this supplement.

Additional information about Bill is available on the SEC’s website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

William M. Malloy, III (year of birth 1976) serves as Chairman and Chief Operating Officer at MWM. Bill has also overseen the private equity, real estate, and securities businesses as minority owner and Board Member of Malloy and Company since 2007. He holds board of director seats in current portfolio companies and serves their interests by advising on corporate strategy and assisting in capital procurement.

Bill’s first investment out of the family office was an active investment where he served on the executive team of Oakley from 2005 to 2007, a world leader in performance optics. He managed sales, marketing, and acquisition integration for key Oakley products in more than 100 countries, devoting the majority of his time to guiding product development, bringing products to market,

optimizing distribution strategies, and managing business development opportunities that arose with the \$2 billion merger of Oakley and Luxottica.

Prior to his tenure with Oakley, Bill gained venture capital experience at the partner level with Zone Ventures from 2003 to 2005, a Draper Fisher Jurvetson fund, by working with companies on elements of strategy, operational issues and financial structuring. He also devoted significant time to potential new investments in support of the managing directors. His background in business development and product management includes five years of service to Listen.com (sold to Real Networks, a \$1.3 billion company) and MusicMatch (sold to Yahoo, a \$38 billion company). His responsibilities focused on strategy and go-to-market plans for new product development.

Bill balances his philanthropic time between hands on work focused around sustainability as well as serving as the trustee for the Malloy Foundation and Co-Founder of the PEERS Network. He is passionate about the quality of life in San Diego and served as a board member and treasurer for the Equinox Center. Mr. Malloy was also the recipient of San Diego's 40 under 40 Award for 2012 and chosen as one of San Diego's Young Influentials 2012.

Bill has an undergraduate degree in Engineering from Clemson University and an MBA from the University of Southern California. Bill has taken, and successfully passed, the Series 65, Uniform Investment Advisor Law Examination.

### ***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Bill has no such disciplinary information to report.

### ***Item 4 - Other Business Activities***

Bill has a financial interest in two advisers to private funds.

- a. Fortress Investment Management, LLC ("Fortress") is the Manager of Income Opportunity Credit ("IOC"), which is a pooled investment vehicle (a "private fund"). Fortress is also the Investment Adviser of IOC. Bill and Shenell Malloy are the Members of Fortress, creating a link between Fortress and MWM. MWM may recommend that advisory clients invest in IOC when appropriate in light of a client's financial circumstances. This creates a potential conflict of interest for MWM, since Mr. and Mrs. Malloy have a financial interest in the recommended investment. To mitigate this conflict, MWM does not assess an advisory fee on any amount of advisory client assets invested in IOC.
- b. Accelerate-IT Ventures GP, LLC ("AITV") is the General Partner of Accelerate-IT Ventures Fund I, L.P. Bill Malloy owns a non-controlling interest in AITV. As in the case of IOC, this relationship presents a potential conflict. Again, to mitigate this conflict, MWM does not assess an advisory fee on any amount of advisory client assets invested in Accelerate-IT Ventures Fund I, L.P.

In addition, Bill is a minority owner of Aequitas Management, LLC ("Aequitas") which is a specialty finance company that offers fixed income investments. IOC (described in Item 10) invests in securities offered by Aequitas. Other clients of MWM may also invest in securities issued by Aequitas.

***Item 5 - Additional Compensation***

Other than stated above, Bill has no other income or compensation to disclose.

***Item 6 - Supervision***

Bill, Chairman and Chief Operating Officer, oversees mentoring of staff, and overall operational execution of the business. Along with Scott Arnold, Chief Compliance Officer, Bill is involved in and provides general oversight to many day-to-day matters of the firm. Bill's contact information is on the cover page of this disclosure document.

***Item 7 - State Requirements for State-Registered Advisers***

In addition to Item 3 above, state-registered advisers are required to disclose all material facts regarding any event in which a supervised person of the firm was found liable in certain legal proceedings, or was the subject of a bankruptcy petition. Bill has no event to disclose with respect to this item.

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**Patrick Thomas O'Neill**

**CRD# 6128379**

**of**



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La Jolla, CA 92037

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This brochure supplement provides information about Patrick O'Neill, and supplements the MWM 1835, LLC (DBA "MWM") brochure. You should have received a copy of that brochure. Please contact MWM at (855) 696-1835 if you did not receive MWM's brochure, or if you have any questions about the contents of this supplement.

Additional information about Patrick is available on the SEC's website at  
**[www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov)**.

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***Item 2 - Educational Background and Business Experience***

Patrick Thomas O'Neill (year of birth 1982) joined MWM as Senior Vice President of Advisory Services in 2013. Patrick has also served as President of Behaviours.In, which in 2015 succeeded CDL Ventures, formed in 2012. Behaviours.In provides marketing and marketing consulting services to firms like MWM.

Patrick has approximately seven years of experience across the family office and asset management industry. Before joining MWM, he worked as a Marketing Manager at alternative asset-focused Aequitas Capital Management from 2010 to 2013 where he was responsible for both corporate and fund marketing across the firm's private credit offerings. Prior to working at Aequitas, Patrick worked directly with Malloy and Company Family Office for a year, where he was responsible for supporting marketing across portfolio investments, as well as reporting and analysis. He was also President of The Cullen Group from 2007 to 2011.

He earned an MBA, with a focus on international marketing, from San Diego State University, and dual BAs across Marketing and Economics from Cal Poly San Luis Obispo. Patrick has taken, and successfully passed, the Series 65, Uniform Investment Adviser Law Exam.

### ***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Patrick has no such disciplinary information to report.

### ***Item 4 - Other Business Activities***

Patrick is an owner of Behaviours.In (successor to CDL Ventures), which provides marketing and business development services to MWM. Patrick spends the majority of his time on this activity.

### ***Item 5 - Additional Compensation***

Other than stated above, Patrick has no other income or compensation to disclose.

### ***Item 6 - Supervision***

Bill Malloy, Chairman and Chief Operating Officer, and Scott Arnold, Chief Compliance Officer, provide general oversight to all day-to-day matters of the firm. The contact information for these individuals can be found on the cover page of this document.

### ***Item 7 - State Requirements for State-Registered Advisers***

In addition to Item 3 above, state-registered advisers are required to disclose all material facts regarding any event in which a supervised person of the firm was found liable in certain legal proceedings, or was the subject of a bankruptcy petition. Patrick has no event to disclose with respect to this item.

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**Form ADV Part 2B**

**Item 1 - Cover Page**

**Vinee T. Mehta, AIF®, CFP®**

**CRD# 3269001**

**of**



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La Jolla, CA 92037

(855) 696-1835

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**March 31, 2015**

This brochure supplement provides information about Vinee Mehta, and supplements the MWM 1835, LLC (DBA "MWM") brochure. You should have received a copy of that brochure. Please contact MWM at (855) 696-1835 if you did not receive MWM's brochure, or if you have any questions about the contents of this supplement.

Additional information about Vinee is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Vinee T. Mehta (year of birth 1977) joined MWM as Senior Vice President and Chief Operating Officer of Wealth Management in 2014. He has over a decade of experience in the Wealth Management and Financial Services industry. Before joining MWM, he served as a Vice President and Senior Account Executive for Fidelity Investments in their Santa Monica office from 2010-2014. Prior to working at Fidelity, Vinee was an owner/operator of Maaco Enterprises, Inc. (January 2007 through August 2009), and Controller at Gemelli Group Inc from 2005 to 2006. From June of 1999 to October 2005, Vinee was a Wealth Advisor for Signature Estate & Investment Advisors, a

wealth management firm in Century City, California, where he built a diverse client base and referral based business.

He earned an MBA from the University of Southern California in Business and Real Estate and a BA in Business Economics and Accounting from the University of California, Santa Barbara. Vinee obtained his \*CERTIFIED FINANCIAL PLANNER™ certification\* in 2002. Vinee has taken and passed the Series 7, General Securities Representative Exam, Series 9 & 10, General Securities Sales Supervisor, and Series 66, Uniform Combined State Law Examination, licensing exams. He is also an Accredited Investment Fiduciary\*\*.

\* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination, experience and ethics requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP® Certification Examination. A comprehensive examination tests the candidate's ability to apply financial planning knowledge to client situations. Qualifying work experience is also required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process. CFP® professionals must complete 30 hours of continuing education accepted by CFP Board every two years.

\*\*The Accredited Investment Fiduciary® (AIF®) designation is conferred by fi360, an organization dedicated to investment fiduciary education, and represents a thorough knowledge of and ability to apply the fiduciary practices. Through fi360's AIF Training programs, AIF designees learn the practices and the legal and best practice framework they are built upon. AIF designees must annually accrue six hours of continuing professional education with at least four coming from fi360-produced sources; attest to a code of ethics; maintain current contact information in fi360's designee database, and remit annual dues.

### ***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Vinee has no such disciplinary information to report.

### ***Item 4 - Other Business Activities***

Vinee has a pending application to be licensed as a Registered Representative of TGP Securities, Inc., an SEC registered broker/dealer and member of FINRA and SIPC. As a Registered Representative, he will be entitled to receive commissions or other remuneration on the sale of insurance and other products. To protect client interests, MWM's policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to Mr. Mehta and also pay an advisory fee to MWM on the same pool of assets. These fees are exclusive of each other.

### ***Item 5 - Additional Compensation***

Other than potential future commissions pursuant to Item 4, Vinee has no other income or compensation to disclose.

***Item 6 - Supervision***

Bill Malloy, Chairman and Chief Operating Officer, and Scott Arnold, Chief Compliance Officer, provide general oversight to all day-to-day matters of the firm. The contact information for these individuals can be found on the cover page of this document.

***Item 7 - State Requirements for State-Registered Advisers***

In addition to Item 3 above, state-registered advisers are required to disclose all material facts regarding any event in which a supervised person of the firm was found liable in certain legal proceedings, or was the subject of a bankruptcy petition. Vinee has no event to disclose with respect to this item.

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**Item 1 - Cover Page**

**Scott A. Arnold**

**CRD# 6290136**

**of**



1268 Prospect Ave.  
La Jolla, CA 92037

(855) 696-1835

[www.themwmgroup.com](http://www.themwmgroup.com)

**March 31, 2015**

This brochure supplement provides information about Scott Arnold, and supplements the MWM 1835, LLC (DBA "MWM") brochure. You should have received a copy of that brochure. Please contact MWM at (855) 696-1835 if you did not receive MWM's brochure, or if you have any questions about the contents of this supplement.

Additional information about Scott is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Scott Alan Arnold (year of birth 1960) joined MWM as Chief Financial Officer and Chief Compliance Officer in 2014. Scott has also served as Vice President and Chief Operating Officer of Accelerate IT since 2013 and as a consultant for MBF Solutions since 2012. Previously, he was Senior Director of Service Operations for Invitrogen/Life Technologies from 2005 to 2011. Scott was Director of Business Systems Operations for Intuit, Inc. from 2001 to 2004.

Scott earned an MBA with a focus on Finance from Wayne State University, and a BBA in Accounting from Western Michigan University. He has passed the Series 65, Uniform Investment Adviser Law Exam.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Scott has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Scott is Vice President and Chief Operating Officer of Accelerate IT and provides consulting and financial planning services. He spends approximately 20% of his time on this activity.

***Item 5 - Additional Compensation***

Other than stated above, Scott has no other income or compensation to disclose.

***Item 6 - Supervision***

Bill Malloy, Chairman and Chief Operating Officer, and Scott Arnold, Chief Compliance Officer, provide general oversight over all day-to-day matters of the firm. The contact information for these individuals can be found on the cover page of this document.

***Item 7 - State Requirements for State-Registered Advisers***

In addition to Item 3 above, state-registered advisers are required to disclose all material facts regarding any event in which a supervised person of the firm was found liable in certain legal proceedings, or was the subject of a bankruptcy petition. Scott has no event to disclose with respect to this item.