

John Parker Rhodes

CRD # 1570758

Augusta Capital Investors, LLC

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Augusta, Ga 30909

706 364-4281

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about John Parker Rhodes that supplements the Augusta Capital Investors brochure. You should have received a copy of that brochure. Please contact William Patrick Fair, Chief Compliance Officer, if you did not receive Augusta Capital Investors brochure or if you have any questions about the contents of this supplement.

Additional information about John Parker Rhodes is available on the SEC's website at www.adviserinfo.sec.gov

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ITEM 2 Educational Background and Business Experience

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Name

Year of Birth: 1962

Formal Education after High School:

- Georgia Institute of Technology, Bachelor of Science, Industrial Management, 1984

Business Background for the Previous Five Years:

- Augusta Capital Investors, Managing Member, 07/2013 to present
- AP Wealth Management, Chief Investment Officer, 01/2011 to present
- Augusta Capital Management, Registered Investment Advisor, Owner, 2012 to present
- LPL Financial, Registered Representative, 01/2011 to 7/2012
- McManus and Sinclair Wealth Management, Chief Investment Officer, 01/2010 – 04/2011
- Personal Business Activity, 02/2009 – 12/2009
- AGBD, LLC, Managing Director, 10/2007 – 01/2009
- AG Asset Management, LLC, Managing Director, 05/2006 – 01/2009

Certifications:

Chartered Financial Analyst, CFA: To earn a CFA charter, you must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics on an annual basis, apply for membership to a local CFA society, and complete the CFA Program.

The CFA Program is organized into three levels, each culminating in a six-hour exam. Completing the program takes most candidates between two and five years (there is no limit to the number of times you can take each exam), but you can take as long as you need to complete the program.

ITEM 3 Disciplinary Information

Form ADV Part 2B, Item 3

A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person

1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
3. was found to have been involved in a violation of an investment-related statute or regulation; or
4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

None

B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person

1. was found to have caused an investment-related business to lose its authorization to do business; or
2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
 - (a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
 - (b) barring or suspending the supervised person's association with an investment-related business;
 - (c) otherwise significantly limiting the supervised person's investment-related activities; or
 - (d) imposing a civil money penalty of more than \$2,500 on the supervised person.

None

C. A self-regulatory organization (SRO) proceeding in which the supervised person

1. was found to have caused an investment-related business to lose its authorization to do business; or
2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

None

D. Any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct. If the supervised person resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a proceeding (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

None

ITEM 4 Other Business Activities

Form ADV Part 2B, Item 4

John is sole owner of Augusta Capital Management, LLC; a registered investment adviser providing investment management and consulting services to institutional clients.

John also owns 33% of AP Wealth Management, LLC; a registered investment adviser providing investment management services to high net worth clients.

ITEM 5 Additional Compensation

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John does not receive any economic benefit for providing advisory services from anyone who is not a client.

ITEM 6 Supervision

Form ADV Part 2B, Item 6

William Patrick Fair, Chief Compliance Officer (706 364-4281), monitors the advice that John Rhodes provides to clients. William regularly reviews all accounts and receives daily reports of activities in client accounts.