

This brochure supplement provides information about Michael Brent Frost that supplements the Heritage Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Michael Brent Frost, Managing Member if you did not receive Heritage Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Brent Frost is also available on the SEC's website at www.adviserinfo.sec.gov.

Heritage Wealth Management, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Michael Brent Frost

Personal CRD Number: 4927678

Investment Adviser Representative

Heritage Wealth Management, LLC
1440 E. Missouri Ave, Suite C200
Phoenix, AZ 85014
Main: (602) 569-9959
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www.heritageadvisorsllc.com

UPDATED: 9/29/2015

Item 2: Educational Background and Business Experience

Name: Michael Brent Frost **Born:** 1983

Education Background and Professional Designations:

Education:

Paradise Valley Community College, 2002 – *no degree attained*

Business Background:

| | |
|-------------------|---|
| 05/2011 – Present | Managing Member Heritage Wealth Management, LLC |
| 06/2013 – Present | Co-Owner/CIO Captivated Financial Group |
| 07/2009 – 05/2011 | Financial Advisor Empowerment Financial Group |
| 07/2009 – 02/2011 | Registered Sales Assistant First Allied Securities, Inc. |

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Michael Brent Frost is a licensed insurance agent in the state of Arizona and the owner of Frost & Associates, LLC an insurance agency. Mr. Frost is also the co-owner and CIO of Captivated Financial Group, LLC, an investment-related business, to which he devotes approximately 20 hours per month. If a prospective client seeks investment advice, then the client will be referred to Heritage Wealth Management, LLC, as Captivated Financial Group, LLC is not a registered investment adviser and does not render investment advisory services.

From time to time, Mr. Frost may offer clients advice or products from these activities. Clients should be aware that these services pay a commission or other compensation and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Heritage Wealth Management, LLC always acts in the best interest of the client, including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Heritage Wealth Management, LLC in the individual's outside capacities.

Mr. Frost is also the manager of Frost & Frost, LLC (a residential rental property and real estate company). Heritage Wealth Management, LLC will never refer a client to participate in any activities of Frost & Frost, LLC.

Item 5: Additional Compensation

Michael Brent Frost only receives salary, rather than bonuses. He does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Heritage Wealth Management, LLC.

Item 6: Supervision

As the only owner and a representative of Heritage Wealth Management, LLC, Michael Brent Frost works closely with Chief Compliance Officer, Keegan Healey, and all advice provided to clients is reviewed by the supervisor prior to implementation. Keegan Healey's phone number is (602) 569-9959.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Arizona securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Michael Brent Frost has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or

- e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Michael Brent Frost has NOT been the subject of a bankruptcy petition at any time.