

*This brochure supplement provides information about Steve Lewit that supplements the Wealth Financial Advisory Services, LLC brochure (Form ADV 2A). You should have received a copy of that brochure. Please contact Mr. Lewit if you did not receive Wealth Financial Advisory Services, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Steve Lewit is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



*A Registered Investment Adviser*

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Steven Lewit**

Personal CRD Number: 3262075

Investment Adviser Representative

Wealth Financial Advisory Services, LLC  
1130 West Lake Cook Road, Suite 150  
Buffalo Grove, Illinois, 60089  
(847) 499-3330  
[slewit@wfgnetwork.com](mailto:slewit@wfgnetwork.com)

UPDATED: 06/16/2015

## Item 2: Educational Background and Business Experience

**Name:** Steven Lewit  
**Born:** 1943

### Education Background and Professional Designations:

#### Education:

BA Economics, Queens College – 1966  
Masters Economics, City University of NY – 1968

#### Designation:

#### RFC - Registered Financial Consultant

##### MINIMUM QUALIFICATIONS:

- Experience. Applicant must have a minimum of four years' experience as a full-time practitioner or educator in the field of financial planning or financial services.
- Education. Applicant must have earned a baccalaureate or graduate degree in financial planning services with strong emphasis in subjects relating to economic, accounting, business, statistics, finance, and similar studies; or have earned one of the following professional degrees or designation: AAMS, CFA, CFP®, ChFC, CLU, CPA, EA, JD, or completed a CFP® equivalent or IARFC approved curriculum at an accredited college or university. The new Financial Planning Process™ course curriculum qualifies.
- Examination. The educational curriculum must have included a written examination process. If not, an experienced candidate must pass an RFC challenge examination.
- Licensing. Applicant must have met local licensing requirements for all products offered, such as securities, life and health insurance; or an applicant who is a fee-only planner or trust officer and is not licensed must submit information on the applicant's RIA or ARIA affiliation or business conduct procedures.
- Integrity. Applicant must have a clean record of personal and business integrity with no suspensions or revocation of any professional licenses.
- Ethics. Applicant must subscribe and continually adhere to the RFC Code of Ethics Courses in the LUTCF Program are either in an 8-week or 12-week format

#### Business Background:

04/2013 – Present	Investment Adviser Representative Wealth Financial Advisory Services, LLC
05/2006 – Present	Co-Founder & Chief Executive Officer Wealth Financial Group
05/2008 – Present	Co-Founder & CEO United Advisors Financial Marketing Organization, LLC
06/2014 – Present	Co-Founder United Advisors Life Insurance Marketing, LLC
03/2015 – Present	Co-Founder New Science of Coaching, LLC

### Item 3: Disciplinary Information

Steven Lewit was the subject of a consent order in May of 2008 while employed with KCD Financial, Inc. The Illinois Securities Department found certain documentation related to seven 1035 Exchanges and annuity sales to have been incomplete. A dismissal of the consent order was issued on June 8, 2009.

### Item 4: Other Business Activities

Steven Lewit is a licensed insurance agent and co-founder of several wealth management, financial services, and financial marketing firms (as shown in Item 2. above). These firms support, train, and provide marketing materials to insurance agents, financial advisers, and other financial service professionals. They also offer insurance services, products, and college planning services directly to clients. From time to time, Mr. Lewit will offer clients advice, products, or services from these firms. Clients should be aware that some of these services may pay a commission or other service fees and involve a possible conflict of interest, as commissionable products or service fee products can conflict with the fiduciary duties of a registered investment adviser. Wealth Financial Advisory Services, LLC always acts in the best interest of the client; including the sale of commissionable or service fee products to advisory clients. Clients are in no way required to purchase any insurance or other service based products through any representative of Wealth Financial Advisory Services, LLC in their capacity as an insurance agent or salesperson of service based products.

### Item 5: Additional Compensation

Other than investment advisory fees, Steve Lewit does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Wealth Financial Advisory Services, LLC.

### Item 6: Supervision

As a representative of Wealth Financial Advisory Services, LLC, Steve Lewit works closely with the supervisor, Gabriel Lewit and COO/CCO, Steven Thomas who review all documents provided to clients prior to implementation. Gabriel Lewit can be contacted at (847) 499-3331 and Steven Thomas can be reached at (847) 499-3777. Gabriel Lewit, Steven Thomas, and Steve Lewit adhere to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.