

*This brochure supplement provides information about Ronald E. Bates that supplements the St. Clair Advisors, LLC brochure. You should have received a copy of that brochure. Please contact David W. Sommer, Chief Compliance Officer if you did not receive St. Clair Advisors, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Ronald E. Bates is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Ronald E. Bates**

Personal CRD Number: 2713953

Investment Adviser Representative

St. Clair Advisors, LLC  
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## Item 2: Educational Background and Business Experience

**Name:** Ronald E. Bates

**Born:** 1948

### Education Background and Professional Designations:

#### Education:

Graduate of State University of NY at Buffalo - 1973

#### Designations:

##### CPA – Certified Public Accountant

- Required criminal records check.
- Passing grades on the CPA examination (provided to the Board by CPA Examination Services).
- 150 semester hours of college Application for Original Ohio Certificate of Certified Public Accountant.
- A course in professional standards and responsibilities that emphasizes the Ohio accountancy law and Board rules.

##### CFP® - Certified Financial Planner

- Passing grades on the CFP examination.
- At least three years of qualifying full-time work experience is required for certification. Qualifying experience includes work that can be categorized into one of the six primary elements of the personal financial planning process
- Required background check
- CFP® certificants are required to complete 30 hours of Continuing Education (CE) each reporting period.

#### Business Background:

2011 – Present	President and CEO St. Clair Advisors, LLC
2010 – 2011	President and CEO The Bates Group, LLC
1986 – 2010	Senior Vice President National City/PNC

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Ronald E. Bates is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Ronald E. Bates does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through St. Clair Advisors, LLC.

### **Item 6: Supervision**

As an owner and representative of St. Clair Advisors, LLC, Ronald E. Bates works closely with David W. Sommer, Chief Investment Officer, and all investment advice provided to clients is reviewed by David W. Sommer prior to implementation. Ronald E. Bates contact information is on the cover page of this disclosure document.