



## Part 2B of Form ADV - Brochure Supplement

### Item 1. Cover Page

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This brochure supplement provides information about **Anthony P. Brenner** and **Raja S. Moorthy** that supplements the Pivot Point Capital Partners, LLC ("PPCP") brochure. You should have received a copy of that brochure. Please contact Joseph Welsh, at 415.343.7074 or [joe@pivotpointcap.com](mailto:joe@pivotpointcap.com) if you did not receive PPCP's brochure or if you have any questions about the contents of this supplement.

## **Anthony P. Brenner**

### **Item 2. Educational Background and Business Experience**

Tony Brenner (57) received a BA in Economics from Yale University (Phi Beta Kappa) and an MBA from the Stanford Graduate School of Business (Arjay Miller Scholar – top 10% of class).

For the past ten years, Tony has served as the Managing Member and CEO of PPCP which he founded in 2005. Tony leads the investment team of the pooled investment vehicle for which PPCP serves as investment adviser (the “Fund”). In addition, Tony has overall responsibility for PPCP’s operations.

### **Item 3. Disciplinary Information**

Tony has never been the subject of any disciplinary actions by regulatory authorities, including any of the following:

- A criminal or civil action in a domestic, foreign or military court of competent jurisdiction
- An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority
- A self-regulatory organization (SRO) proceeding
- Any other proceeding in which a professional attainment, designation or license was revoked or suspended because of a violation of rules relating to professional conduct

### **Item 4. Other Business Activities**

Tony is not actively engaged in any other investment-related business or occupation aside from his position at PPCP.

### **Item 5. Additional Compensation**

Tony does not receive any compensation or economic benefit aside from the compensation he receives from his position at PPCP.

### **Item 6. Supervision**

As the Managing Member of the general partner of the Fund, Tony oversees the investment process and decision making for the Fund. All investment decisions are made through a collaborative effort by PPCP’s investment team, currently made up of Tony, Raj Moorthy and two Associates. Tony is a party to PPCP’s Code of Ethics (the “Code”) and is bound by the provisions of the Code which are designed to prevent any actions not in the best interests of the Fund and its investors.

## **Raja S. Moorthy**

### **Item 2. Educational Background and Business Experience**

Raj Moorthy (44) received a BA from the University of Western Ontario (with honors) and an MBA from Harvard Business School.

For the past ten years, Raj has served as a Member of PPCP, which he co-founded in 2005. Raj is a member of the Fund's investment team and is the investment lead on approximately half of the Fund portfolio investments.

### **Item 3. Disciplinary Information**

Raj has never been the subject of any disciplinary actions by regulatory authorities, including any of the following:

- A criminal or civil action in a domestic, foreign or military court of competent jurisdiction
- An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority
- A self-regulatory organization (SRO) proceeding
- Any other proceeding in which a professional attainment, designation or license was revoked or suspended because of a violation of rules relating to professional conduct

### **Item 4. Other Business Activities**

Raj is not actively engaged in any other investment-related business or occupation aside from his position at PPCP.

### **Item 5. Additional Compensation**

Raj does not receive any compensation or economic benefit aside from the compensation he receives from his position at PPCP.

### **Item 6. Supervision**

As a Member of the general partner of the Fund, Raj is a principal participant in the investment process and decision making for the Fund. All investment decisions are made through a collaborative effort by the Fund's investment team, currently made up of Raj, Tony Brenner and two Associates. Raj is a party to PPCP's Code of Ethics and is bound by the provisions of the Code which are designed to prevent any actions not in the best interests of the Fund and its investors.