

**FORM ADV PART 2B**

**QUAD-CITIES INVESTMENT GROUP, LLC**  
***5177 UTICA RIDGE ROAD***  
***DAVENPORT, IA 52807***

**PHONE: 563-484-5000**

**FAX: 563-449-5651**

[www.quadcitiesinvestmentgroup.com](http://www.quadcitiesinvestmentgroup.com)

[quadcitiesinvestmentgroup@goqcig.com](mailto:quadcitiesinvestmentgroup@goqcig.com)

This brochure supplement provides information about *Laura A. Swift* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Laura A. Swift* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

***February 2015***

*Laura A. Swift*

**Date of Birth:** *1/16/1962*

**Educational Background:**

- *Illinois State University BS 1985*
- *Series 7 (General Securities Representative Exam) 2000*
- *Series 66 (Uniformed Combined State Law Exam) 2000*
- *Insurance Licensed 2003*
- *Series 31 (Futures Managed Funds Exam) 2004*
- *CIMA (Certified Investment Management Analyst) 2014*

**Business Experience:**

- *Partner - Quad Cities Investment Group, LLC (2010-Present)*
- *Individual Registered Rep - Silver Oak Securities, Inc. (2010-2011)*
- *Sr. Vice President - Chicago Investment Group, LLC (2009-2010)*
- *Vice President-Investments - UBS Financial Services, Inc. (2006-2009)*
- *Vice President- Investments- Piper Jaffray (2001-2006)*
- *Financial Advisor- Merrill Lynch, Pierce, Fenner & Smith Inc. (2000-2001)*

**Disciplinary Information:**

*Ms. Swift has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Ms. Swift is not involved in business activities outside of Quad Cities Investment Group.*

**Additional Compensation:**

*Ms. Swift has no additional Compensation needing to be disclosed.*

**Supervision:**

*Laura A. Swift and Scott L. Stoltenberg* share supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

*Scott L. Stoltenberg's* contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltenberg@goqcig.com*

**FORM ADV PART 2B**

**QUAD-CITIES INVESTMENT GROUP, LLC**  
**5177 UTICA RIDGE ROAD**  
**DAVENPORT, IA 52807**

**PHONE: 563-484-5000**

**FAX: 563-449-5651**

[www.quadcitiesinvestmentgroup.com](http://www.quadcitiesinvestmentgroup.com)

[quadcitiesinvestmentgroup@goqcig.com](mailto:quadcitiesinvestmentgroup@goqcig.com)

This brochure supplement provides information about *Wayne A. Wagner* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Wayne A. Wagner* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*February 2015*

*Wayne A. Wagner*

**Date of Birth:** *6/15/1943*

**Educational Background:**

- *University of Illinois, BS Finance 1966*
- *Series 1 (Registered Representative Exam) 1974*
- *Insurance Licensed 1974*
- *AMEX Put & Call Exam (PC) 1977*
- *Series 5 (Interest Rate Options Exam) 1981*
- *Series 63 (Uniform Securities Agent State Law Exam) 1983*
- *Series 8 (General Securities Sales Supervisor Exam) 1984*
- *Series 65 (Uniform Investment Adviser Law Exam) 1992*
- *Series 31 (Futures Managed Funds Exam) 2004*

**Business Experience:**

- *Partner- Quad Cities Investment Group, LLC (2010 to Present)*
- *Individual Registered Rep- Silver Oak Securities, Inc. (2010-2011)*
- *Branch Manager- Chicago Investment Group LLC (2009-2010)*
- *Vice President- Investments- UBS Financial Services Inc. (2006-2009)*
- *Branch Manager- Piper Jaffray (1995-2006)*
- *Registered Rep- Shearson (1981-1995)*
- *Branch Manager- Shearson (1984-1994)*

**Disciplinary Information:**

*Mr. Wagner has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mr. Wagner is not involved in business activities outside of Quad Cities Investment Group.*

**Additional Compensation:**

*Mr. Wagner has no additional Compensation needing to be disclosed.*

**Supervision:** *Wayne A Wagner* is supervised by *Scott L. Stoltenberg*. He reviews *Wayne's* work through frequent office interactions as well as remote interactions. He also reviews *Wayne's* activities through our client relationship management system.

*Scott L. Stoltenberg's* contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltenberg@goqcig.com*

**FORM ADV PART 2B**

**QUAD-CITIES INVESTMENT GROUP, LLC**  
***5177 UTICA RIDGE ROAD***  
***DAVENPORT, IA 52807***

**PHONE: 563-484-5000**

**FAX: 563-449-5651**

[www.quadcitiesinvestmentgroup.com](http://www.quadcitiesinvestmentgroup.com)

[quadcitiesinvestmentgroup@goqcig.com](mailto:quadcitiesinvestmentgroup@goqcig.com)

This brochure supplement provides information about *Scott L Stoltenberg* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Scott L Stoltenberg* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

***February 2015***

*Scott L. Stoltenberg, CPA*

**Date of Birth:** *6/26/1965*

**Education and Professional Licensing:**

- *St. Ambrose University, BA in Accounting & Business Administration, Minor Economics (1989)*
- *CPA (Certified Public Accountant Exam) 1991*
- *Series 7 (General Securities Representative Exam) 1996*
- *Series 63 (Uniform Securities Agent State Law Exam) 1996*
- *Series 65 (Uniform Investment Adviser Law Exam) 1996*
- *Insurance Licensed (1996)*
- *Series 31 (Futures Managed Funds Exam) 2004*
- *Series 9, 10 (General Securities Sales Supervisor) 2006*

**Business Experience:**

- *Partner - Quad Cities Investment Group, LLC (2010- Present)*
- *Individual Registered Rep - Silver Oak Securities, Inc. (2010-2011)*
- *Sr. Vice-President Investments - Chicago Investment Group, LLC (2009- 2010)*
- *Vice-President Investments- UBS Financial Services, Inc. (2006- 2009)*
- *Vice-President Investments - Piper Jaffray (1996-2006)*
- *Controller - Heart of America Restaurants & Inns (1994-1996)*
- *Tax Supervisor - McGladrey & Pullen (1990-1994)*

**Disciplinary Information:**

*Mr. Stoltenberg has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mr. Stoltenberg is not involved in business activities outside of Quad Cities Investment Group, that require more than 10% of his time.*

**Additional Compensation:**

*Mr. Stoltenberg has no additional Compensation needing to be disclosed.*

**Supervision:**

*Scott Stoltenberg and Laura Swift* share joint supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

*Laura Swift's* contact information:

PHONE: *563-484-5000*

EMAIL: *lswift@gocig.com*

**FORM ADV PART 2B**

**QUAD-CITIES INVESTMENT GROUP, LLC**  
***5177 UTICA RIDGE ROAD***  
***DAVENPORT, IA 52807***

**PHONE: 563-484-5000**

**FAX: 563-449-5651**

[www.quadcitiesinvestmentgroup.com](http://www.quadcitiesinvestmentgroup.com)

[quadcitiesinvestmentgroup@goqcig.com](mailto:quadcitiesinvestmentgroup@goqcig.com)

This brochure supplement provides information about *David A. Borcharding* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *David A. Borcharding* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

***February 2015***

*David A. Borcharding*

**Date of Birth:** *5/27/1954*

**Educational Background:**

- *Wartburg College, BA Business Administration/Economics 1976*
- *Insurance Licensed 2000*
- *Series 7 (General Securities Representative Exam) 2001*
- *Series 66 (Uniformed Combined State Law Exam) 2001*

**Business Experience:**

- *Vice President- Quad Cities Investment Group, LLC (2010-present)*
- *Independent Registered Rep-Silver Oak Securities, Inc. (2010-2013)*
- *Vice President- Chicago Investment Group, LLC (2009-2010)*
- *Financial Advisor- Century Securities Associates, Inc. (2009-2009)*
- *Financial Advisor- UBS Financial Services Inc. (2005-2008)*

**Disciplinary Information:**

*Mr. Borcharding has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mr. Borcharding is not involved in business activities outside of Quad Cities Investment Group.*

**Additional Compensation:**

*Mr. Borcharding has no additional Compensation needing to be disclosed.*

**Supervision:**

*David A. Borcharding* is supervised by *Scott L. Stoltenberg*. He reviews *David's* work through frequent office interactions as well as remote interactions. He also reviews *David's* activities through our client relationship management system.

*Scott L. Stoltenberg's* contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltenberg@goqcig.com*



**FORM ADV PART 2B**

**QUAD-CITIES INVESTMENT GROUP, LLC**  
**5177 UTICA RIDGE ROAD**  
**DAVENPORT, IA 52807**

**PHONE: 563-484-5000**

**FAX: 563-449-5651**

[www.quadcitiesinvestmentgroup.com](http://www.quadcitiesinvestmentgroup.com)

[quadcitiesinvestmentgroup@goqcig.com](mailto:quadcitiesinvestmentgroup@goqcig.com)

This brochure supplement provides information about *Christine A. McElvania* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L. Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Christine A. McElvania* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*February 2015*

*Christine A. McElvania*

**Date of Birth:** *06/17/1985*

**Educational Background:**

- *University of Northern Iowa, BA Finance/Economics 2007*
- *Chartered Financial Analyst 2011*
- *Investment Advisor Representative 2013*
- *University of Iowa, Masters of Business Administration 2014*

**Business Experience:**

- *Partner – Quad Cities Investment Group (2015-present)*
- *Investment Advisor – Quad Cities Investment Group (2013-2014)*
- *Senior Investment Analyst - FBL Financial Group (2012-2013)*
- *Derivatives Analyst – FBL Financial Group (2010-2012)*
- *Assistant Derivatives Analyst – FBL Financial Group(2007-2010)*

**Disciplinary Information:**

*Mrs. McElvania has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mrs. McElvania is not involved in business activities outside of Quad Cities Investment Group.*

**Additional Compensation:**

*Mrs. McElvania has no additional Compensation needing to be disclosed.*

**Supervision:**

*Christine A. McElvania* is supervised by *Scott L. Stoltenberg*. He reviews *Christine's* work through frequent office interactions as well as remote interactions. He also reviews *Christine's* activities through our client relationship management system.

*Scott Stoltenberg's* contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltenberg@goqcig.com*