

Item One: Cover Page

FIRM BROCHURE, FORM ADV 2 A

Teak Tree Capital Management, LLC

1300 S. University Drive #407

Fort Worth, TX 76107

817-744-8450

www.teaktreecapital.com

February 25, 2015

This brochure provides information about the qualifications and business practices of Teak Tree Capital Management, LLC. If you have any questions about the contents of this brochure, please contact us at 817-744-8450 or any one of the following email addresses:

red@teaktreecapital.com

stephen@teaktreecapital.com

adam@teaktreecapital.com

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Teak Tree Capital Management, LLC also is available at www.adviserinfo.sec.gov.

Teak Tree Capital Management, LLC CRD #149474

Item Two: Material Changes

Since our ADV 2 brochure was first created in April 2009, we have made the following changes to our business:

1. Since February 25, 2014, there have been no material changes.
2. If there are any changes in the future, you can find them in this section of the brochure titled "Item Two: Material Changes".

Teak Tree Capital Management

February 25, 2015

Item Three: Table of Contents

Item One: Cover Page

Item Two: Material Changes

Item Three: Table of Contents

Item Four: Advisory Business

Item Five: Fees and Compensation

Item Six: Performance Based Fees and Side-by-Side Management

Item Seven: Types of Clients

Item Eight: Method of Analysis, Investment Strategies and Risk of Loss

Item Nine: Disciplinary Information

Item Ten: Other Financial Industry Activities and Affiliations

Item Eleven: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Item Twelve: Brokerage Practices

Item Thirteen: Review of Accounts

Item Fourteen: Client Referrals and Other Compensation

Item Fifteen: Custody

Item Sixteen: Investment Discretion

Item Seventeen: Voting Client Securities

Item Eighteen: Financial Information

Item Nineteen: Individual Advisor Form ADV 2 B Reports

Item Four: Advisory Business

Teak Tree Capital Management, LLC (hereafter referred as “Teak Tree”) is a registered investment adviser firm providing financial planning, consulting, and investment management services to individuals, families, trusts, estates, charitable organizations, corporations and business entities. Approximately 95% of our compensation comes from asset management fees. Approximately 5% of our compensation comes from financial planning and consulting fees.

Teak Tree began operations on April 24, 2009. The principals were both formerly advisers with Merrill Lynch. Being a registered investment adviser firm does not imply a certain level of skill or training.

The principals of the firm are as follows:

ADAM K. DEEM, CFP®, Born 1971, CRD #4239863

STEPHEN J. KAYE, CFP®, Born 1966, CRD #4187125

Both principals are also *Advisory Affiliates* of Teak Tree as mentioned below.

Depending upon the engagement, Teak Tree offers its services on a fee basis, which may include hourly and/or fixed fees as well as fees based upon assets under management. Alternatively, certain of Teak Tree’s *Advisory Affiliates* may offer securities brokerage services and insurance products under a commission arrangement. Prior to engaging Teak Tree to provide any of the foregoing investment advisory services, the client will be required to enter into one or more written agreements with Teak Tree setting forth the terms and conditions under which it shall render its services (collectively the “*Agreement*”).

Teak Tree may provide its clients with a broad range of comprehensive financial planning and consulting services (which may include non-investment related matters). Teak Tree will charge a fixed fee and/or hourly fee for these services.

In performing Teak Tree’s services, it shall not be required to verify any information received from the client or from the client’s other professionals (e.g., attorney, accountant, etc.) and it is expressly authorized to rely on such information. Teak Tree may recommend its own services, its *Advisory Affiliates* in their individual capacities as registered representatives of a broker-dealer, and/or other professionals to implement its recommendations.

Clients are advised that a conflict of interest exists if Teak Tree recommends its own services. The client is under no obligation to act upon any of the recommendations made by Teak Tree under a financial planning /consulting engagement and/or engage the services of any such recommended professional, including Teak Tree. The client retains absolute discretion over all such implementation decisions and is free to accept or reject any of Teak Tree’s recommendations. Moreover, each client is

advised that it remains his/her/its responsibility to promptly notify Teak Tree if there is ever any change in his/her/its financial situation or investment objectives for the purpose of reviewing, evaluating, or revising Teak Tree's previous recommendations and/or services.

The types of securities that we may utilize in asset management and financial planning are as follows:

- Individual US stocks
- Individual non-US stocks
- Individual municipal bonds
- Individual US government and agency bonds
- Individual corporate bonds
- Open-end mutual funds
- Closed-end mutual funds
- Exchange traded funds
- Limited partnership units
- Variable and fixed annuities
- Variable and guaranteed life insurance policies
- Term life insurance policies
- Long-term care insurance policies

As of February 25, 2015, Teak Tree managed \$183,135,130 in client assets on a discretionary basis and \$76,905,931 on a non-discretionary basis. Total AUM \$260,041,061.

Item Five: Fees and Compensation

a. Financial Planning and Consulting

Teak Tree's financial planning fees are negotiable, but generally range from \$1,000 to \$10,000 on a fixed fee basis and/or from \$250 to \$500 on an hourly rate basis, depending upon the level and scope of the services and the professional rendering the financial planning services. Teak Tree's consulting fees are negotiable, but are generally provided at an hourly rate of \$500. If the client engages Teak Tree for additional investment advisory services, it may offset all or a portion of its fees for those services based upon the amount paid for the financial planning and/or consulting services.

Teak Tree also may render non-discretionary investment management services to clients relative to: (1) variable life/annuity products that they may own, and/or (2) their individual employer-sponsored retirement plans. In so doing, Teak Tree either directs or recommends the allocation of client assets among the various mutual fund subdivisions that comprise the variable life/annuity product or the retirement plan. The client assets shall be maintained at either the specific insurance company that issued the variable life/annuity product that is owned by the client, or at the custodian designated by the sponsor of the client's retirement plan.

Fees for financial planning services will be estimated in advance, with half of the minimum estimate payable in advance and the balance due upon completion of services.

b. Investment Advisory

In the event the client determines to engage Teak Tree to provide regular and continuous investment management services, Teak Tree shall charge an annual fee based upon a percentage of the market value of the assets being managed. Teak Tree's annual fee is exclusive of, and in addition to brokerage commissions, transaction fees, and other related costs and expenses which shall be incurred by the client. However, Teak Tree shall not receive any portion of these commissions, fees, and costs.

For the initial quarter of investment management services, the first quarter's fees shall be calculated on a *pro rata* basis, in advance, based upon the market value of the assets on the previous day. The *Agreement* between Teak Tree and the client will continue in effect until terminated by either party pursuant to the terms of the *Agreement*. Teak Tree's annual fee shall be prorated through the date of termination and any remaining balance shall be charged or refunded to the client, as promptly appropriate, within thirty days. The annual fee shall vary depending upon the market value of the assets under management and the type of investment management services to be rendered, as follows:

PORTFOLIO VALUE ANNUAL FEE

Equity and Blend

First \$1,000,000	2.00%	Next \$4,000,000.....	1.00%
-------------------------	-------	-----------------------	-------

Fixed Income

First \$1,000,000	1.00%	Next \$4,000,000.....	.75%
-------------------------	-------	-----------------------	------

Teak Tree, in its sole discretion, may negotiate to charge a lesser management fee based upon certain criteria (i.e., anticipated future earning capacity, anticipated future additional assets, dollar amount of assets to be managed, related accounts, account composition, pre-existing client, account retention, pro bono activities, etc.). Teak Tree offers advice on each type of investment described in Section V below (Method of Analysis, Investment Strategies and Risk of Loss).

Teak Tree intends to primarily allocate its clients' investment management assets on a discretionary and/or a non-discretionary basis among individual equity and debt securities, exchange-

traded funds (ETFs), mutual funds, alternative investments as well as the securities components of annuities in accordance with the investment objectives of the client.

As further discussed in Item Twelve: Brokerage Practices (below), Teak Tree shall generally recommend that clients utilize the brokerage and clearing services of Fidelity Institutional Wealth Services and its affiliates (collectively referred to as “*Fidelity*”) for investment management accounts.

Clients may incur certain charges imposed by Fidelity and other third parties such as fees charged by *Independent Managers* (as defined below), custodial fees, charges imposed directly by a mutual fund or exchange traded fund in the account, which shall be disclosed in the fund’s prospectus (e.g., fund management fees and other fund expenses), deferred sales charges, odd-lot differentials, transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions.

Teak Tree’s *Agreement* and/or the separate agreement with Fidelity may authorize Teak Tree through Fidelity to debit the client’s account for the amount of Teak Tree’s fee and to directly remit that management fee to Teak Tree in accordance with applicable custody rules. Fidelity has agreed to send a statement to the client, at least quarterly, indicating all amounts disbursed from the account including the amount of management fees paid directly to Teak Tree.

c. Commission compensation arrangements

Each of Teak Tree’s *Advisory Affiliates*, in their individual capacities, is also a licensed insurance agent with various insurance companies, and in such capacity, may recommend, on a fully-disclosed commission basis, the purchase of certain insurance products. While Teak Tree does not sell such insurance products to its investment advisory clients, Teak Tree permit its *Advisory Affiliates*, in their individual capacities as licensed insurance agents, to sell insurance products to its investment advisory clients. A conflict of interest exists to the extent that Teak Tree recommends the purchase of insurance products where Teak Tree’s *Advisory Affiliates* receive insurance commissions or other additional compensation.

In the event the client desires, the client can engage certain persons associated with Teak Tree (but not Teak Tree itself) to render securities brokerage services under a commission arrangement. Under this arrangement, the client may implement securities transactions through certain of Teak Tree’s *Advisory Affiliates* (as defined below), in their respective individual capacities as registered representatives of Comprehensive Asset Management and Servicing, Inc. (“*Comprehensive Group*”), an SEC registered broker-dealer and member of the FINRA. Brokerage commissions and/or ongoing asset-based fees may be charged by *Comprehensive Group* to effect these securities transactions and thereafter, a portion of these commissions may be paid by *Comprehensive Group* to such *Advisory Affiliates*. Prior to effecting any transactions, the client will be required to enter into a new account agreement with *Comprehensive Group*. The brokerage commissions charged by *Comprehensive Group* may be higher or lower than those charged by other broker-dealers. In addition, certain of Teak Tree’s *Advisory Affiliates* (as applicable), may also receive additional ongoing 12b-1 fees for mutual fund

purchases from the mutual fund company during the period that the client maintains the mutual fund investment.

Teak Tree's Advisory *Affiliates* may also recommend that certain clients authorize the active discretionary management of a portion of their assets by and/or among certain independent investment manager(s) either directly or through a *managed futures partnership(s)*, based upon the stated investment objectives of the client. The terms and conditions under which the client shall engage the *managed futures partnership(s)* shall be set forth in separate written agreements between (1) the client and Teak Tree's Advisory *Affiliates* and (2) the client and the designated *managed futures partnership(s)*. Teak Tree shall continue to render advisory services to the client relative to the ongoing monitoring and review of account performance, for which Teak Tree shall receive compensation. Factors that Teak Tree shall consider in recommending *managed futures partnership(s)* include the client's stated investment objective(s), management style, performance, reputation, financial strength, reporting, pricing, and research. The investment management fees charged by *managed futures partnership(s)* may be exclusive of, and in addition to, Teak Tree's investment advisory fee set forth above. As discussed above, the client may incur additional fees other than those charged by Teak Tree, by corresponding broker-dealer and custodian.

If Teak Tree refers a client to certain insurance companies for their annuity products, Teak Tree's compensation is not included in the advisory fee charged by such insurance companies. Teak Tree's Advisory *Affiliates* shall be compensated for their services by receipt of a fee to be paid directly by the insurance companies to Teak Tree's Advisory *Affiliates* in accordance with the requirements of Rule 206(4)-3 of the Investment Advisers Act of 1940, as amended, and any corresponding state securities laws, rules, regulations, or requirements. Any such fee shall be paid solely from the insurance companies' investment management fee or the program fee and shall not result in any additional charge to the client.

For accounts covered by ERISA (and such others that Teak Tree, in its sole discretion deems appropriate), Teak Tree may modify the foregoing commission arrangement to allow for its investment advisory services to be rendered on a fee-offset basis. In this scenario, Teak Tree may offset its fees by an amount equal to the aggregate commissions and 12b-1 fees earned by Teak Tree's Advisory *Affiliates* in their individual capacities as registered representatives of *Comprehensive Group*.

d. Additions and withdrawals from managed accounts

The client may make additions to and withdrawals from an account at any time, subject to Teak Tree's right to terminate an account. If assets are deposited into or withdrawn from an account after the inception of a quarter, the fee payable with respect to such assets will not be adjusted or prorated based on the number of days remaining in the quarter. Clients may withdraw account assets on notice to Teak Tree, subject to the usual and customary securities settlement procedures. Teak Tree designs its portfolios as long-term investments and asset withdrawals may impair the achievement of a client's investment objectives.

Additions may be in cash or securities provided that Teak Tree reserves the right to liquidate any transferred securities, or decline to accept particular securities into a client's account. Teak Tree may consult with its clients about the options and ramifications of transferring securities. However, clients are advised that when transferred securities are liquidated, they are subject to transaction fees, fees assessed at the mutual fund level (i.e. contingent deferred sales charge) and/or tax ramifications.

Teak Tree's clients are advised to promptly notify it if there are ever any changes in their financial situation or investment objectives or if they wish to impose any reasonable restrictions upon Teak Tree's management services.

Item Six: Performance-Based Fees and Side-by-Side Management

Teak Tree does not charge performance-based fees. These fees are typical of a 'hedge-fund' Teak Tree does not operate as a 'hedge-fund'.

Item Seven: Types of Clients

Teak Tree assists individuals, families, trusts, estates, charitable organizations, corporations and business entities with organizing their financial lives. Each client that engages Teak Tree enjoys an initial consultation at no charge. During this initial consultation, a decision is made whether or not it's mutually beneficial to further engage in an ongoing relationship. Typically, a Teak Tree client is a person, family, or entity that prefers engaging professional guidance rather than handling all of the details of their own financial matters.

Teak Tree does not impose a minimum portfolio size or minimum annual fee. Certain Independent Manager(s) may, however, impose more restrictive account requirements and varying billing practices than Teak Tree. In such instances, Teak Tree may alter its corresponding account requirements and/or billing practices to accommodate those of the Independent Manager(s).

Item Eight: Method of Analysis, Investment Strategies and Risk of Loss

a. Determining Client Investment Needs

An analysis is made of each client's financial needs, goals and assets/liabilities during the financial planning process. Teak Tree employs certain electronic programs that are geared toward assisting a client with understanding their current financial condition and what their future financial condition might look like with various savings, spending, inflation, and investment return rates. Customized investment strategies are recommended after an overall risk assessment considers many factors including (but not limited to) the financial risks of disability, extended care, untimely death, and potentially poor investment results. A client's emotional risk element is considered a top priority in

developing a subsequent investment strategy. Investing in securities involves risk of loss. Past performance is not a predictive indicator of future results.

b. Investment Strategies

The investment strategies of Teak Tree generally include the following; income-based, income and growth-based, and growth-based. Each strategy can be implemented with individual securities, mutual funds and ETFs, or a combination thereof. As a general rule, accounts under \$150,000 will invest in mutual funds only or may include ETFs. Accounts over \$150,000 will normally include individual securities such as stocks and bonds, along with mutual funds and ETFs. Cost effectiveness for the client is the general consideration between the differing structures.

Income Strategy

The goal is to produce a fairly steady income with as little fluctuation in value as possible while not degrading the income-production capacity. This is considered a moderately conservative approach to investing. The income-based strategy may have a greater reliance on cash and fixed income instruments than equities, and may include alternative investments.

Income and Growth Strategy

The goal is to simultaneously produce a rising income and growth of portfolio value, allowing for moderate fluctuation of value. This is considered a moderate approach to investing. The income and growth strategy relies on a balance between cash and fixed income instruments and equities and alternative investments.

Growth Strategy

The goal is to produce a rising value over time allowing for considerable fluctuation, while also achieving a nominal amount of income. This is considered a moderately aggressive approach to investing. The growth strategy may have a greater reliance on equities and alternative investments than cash and fixed income instruments.

c. Securities Selection Process

Individual Equity Selection

The equity selection process attempts to center on companies with a demonstrated history and probability of increasing their dividends over time. Typically, these companies exhibit S&P® Common Stock Rankings of A+, A, or A-, return on equity greater than the S&P 500® average, debt/equity lower than the S&P 500® average, and dividend yield greater than the S&P 500® average. Not all equities in the portfolio will exhibit these tendencies.

Fixed Income Selection

The fixed income selection process attempts to center on minimizing value fluctuation yet maximizing income. That means that the average maturity and quality can vary substantially depending on market conditions. Fixed income instruments can be in the format of individual securities, ETFs, or in mutual funds.

Alternative Investments Selection

The alternative investments selection process attempts to center on securities whose values don't necessarily move in the same direction as fixed income and equities. Typically, these securities will generally be currency, commodity or 'market-neutral'-type securities. The reason for including these types of securities is to minimize overall account value fluctuation while still attempting to maximize returns.

Equity Mutual Fund Selection

The equity mutual fund selection process attempts to center on specialty strategies such as emerging markets, small cap stocks, specific industries, or specific regions/countries.

Item Nine: Disciplinary Information

No partner or employee of Teak Tree has ever had a disciplinary action from any federal, state, or industry regulatory body. To review the records of any partner or employee, a person can go to www.adviserinfo.sec.gov and click on Investment Adviser Search.

Item Ten: Other Financial Industry Activities and Affiliations

Securities offered through Comprehensive Asset Management and Servicing, Inc (CAMAS), 2001 Highway 46, Suite 506, Parsippany, NJ 07054. 1-800-637-3211 Member FINRA/SIPC. Teak Tree is independent of CAMAS.

Teak Tree conducts business with certain municipal securities dealers, government securities dealers, and institutional managed futures partnerships.

Teak Tree refers clients to certain attorneys, accountants, insurance agents, real estate brokers, banks, and mortgage lenders. Teak Tree receives no financial considerations or these referrals.

Item Eleven: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Teak Tree has adopted a code of ethics that sets forth the standards of conduct expected of its associated persons and requires compliance with applicable securities laws ("*Code of Ethics*"). In accordance with Section 204A of the Advisers Act, its *Code of Ethics* contains written policies reasonably designed to prevent the unlawful use of material non-public information by Teak Tree or any of its associated persons. The *Code of Ethics* also requires that certain of Teak Tree's personnel (called "*Access Persons*") report their personal securities holdings and transactions and obtain pre-approval of certain investments such as initial public offerings and limited offerings. Clients may contact Teak Tree to request a copy of its *Code of Ethics*.

Item Twelve: Brokerage Practices

A copy of Teak Tree's privacy policy notice and a written disclosure statement that meets the requirements of Rule 204-3 of the Investment Advisers Act of 1940, as amended ("*Advisers Act*"), shall be provided to each client prior to or contemporaneously with the execution of the Agreement. Any client who has not received a copy of Teak Tree's written disclosure statement at least forty-eight (48) hours prior to executing the Agreement shall have five (5) business days subsequent to executing the agreement to terminate Teak Tree's services without penalty.

Teak Tree may recommend that clients that are "accredited investors" as defined under Rule 501 of the Securities Act of 1933, as amended, invest in private placement securities, which may include debt, equity, and/or pooled investment vehicles when consistent with the client's investment objectives.

Teak Tree and its *Access Persons* are permitted to buy or sell securities that it also recommends to clients consistent with Teak Tree's policies and procedures. However, when Teak Tree is purchasing or considering for purchase any security on behalf of a client, none of Teak Tree's Advisory *Affiliates* may effect a transaction in that security prior to the completion of the purchase or until a decision has been made not to purchase such security. Similarly, when Teak Tree is selling or considering the sale of any security on behalf of a client, none of Teak Tree's Advisory *Affiliates* may effect a transaction in that security prior to the completion of the sale or until a decision has been made not to sell such security. These requirements are not applicable to: (i) direct obligations of the Government of the United States; (2) money market instruments, bankers' acceptances, bank certificates of deposit, commercial paper, repurchase agreements and other high quality short-term debt instruments, including repurchase agreements; (2i) shares issued by mutual funds or money market funds; and (iv) shares issued by unit investment trusts that are invested exclusively in one or more mutual funds.

Factors which Teak Tree considers in recommending *Fidelity* or any other broker-dealer, to clients include their respective financial strength, reputation, execution, pricing, research, and service. *Fidelity* enables Teak Tree to obtain many mutual funds without transaction charges and other securities at nominal transaction charges. Moreover, *Fidelity* may reimburse certain account closeout fees in

connection with the transfer of client accounts to *Fidelity*. The commissions and/or transaction fees charged by *Fidelity* may be higher or lower than those charged by other broker-dealers.

The commissions paid by Teak Tree's clients shall comply with Teak Tree's duty to obtain "best execution." However, a client may pay a commission that is higher than another qualified broker-dealer might charge to effect the same transaction where the Registrant determines, in good faith, that the commission is reasonable in relation to the value of the brokerage and research services received.

The research that Teak Tree uses for the securities selection process does not involve any rebates or any other compensation from the research sources.

Teak Tree receives marketing dollars in the form of client entertainment reimbursement from certain mutual fund and managed futures firms. Whenever a firm helps to sponsor a client educational event that features food and beverages, the clients are informed of the sponsors' names and relation to Teak Tree.

Item Thirteen: Review of Accounts

For those clients to whom Teak Tree provides investment management services, Teak Tree monitors those portfolios as part of an ongoing process while regular account reviews are conducted on at least an annual basis. For those clients to whom Teak Tree provides financial planning and/or consulting services, reviews are conducted on an "as needed" basis. Such reviews are conducted by an assigned investment adviser representative. All investment advisory clients are encouraged to discuss their needs, goals, and objectives with Teak Tree and to keep Teak Tree informed of any changes thereto. Teak Tree shall contact ongoing investment advisory clients at least annually to review its previous services and/or recommendations and to discuss the impact resulting from any changes in the client's financial situation and/or investment objectives.

Unless otherwise agreed upon, clients are provided with transaction confirmation notices and regular summary account statements directly from the broker-dealer or custodian for the client accounts. Those clients to whom Teak Tree provides investment advisory services will also receive a report from Teak Tree that may include such relevant account and/or market-related information such as an inventory of account holdings and account performance as clients may request from time to time.

Those clients to whom Teak Tree provides financial planning and/or consulting services will receive reports from Teak Tree summarizing its analysis and conclusions as requested by the client or otherwise agreed to in writing by Teak Tree.

Item Fourteen: Client Referrals and Other Compensation

Teak Tree does not receive referrals or any other form of compensation from Fidelity or any of its affiliates. Referrals from clients are always welcome.

Item Fifteen: Custody

Teak Tree shall generally recommend that clients utilize the brokerage and clearing services of Fidelity Institutional Wealth Services and its affiliates (collectively referred to as “*Fidelity*”) for investment management accounts. Clients will receive statements from all custodians which include Fidelity on a monthly basis and should carefully review those statements. Some clients may also receive statements from an affiliate of Fidelity, National Financial Services. Some clients may also receive statements directly from insurance companies. Some clients may also receive statements directly from private placement firms such as managed futures managers.

Item Sixteen: Investment Discretion

Teak Tree offers both discretionary and non-discretionary asset management services which are set forth in Teak Tree’s ‘Discretionary Management Agreement’ and Teak Tree’s ‘Non-Discretionary Management Agreement’. A person can obtain either of these agreements by request from any of Teak Tree’s advisers.

Item Seventeen: Voting Client Securities

As a matter of firm policy, we do not vote proxies on behalf of clients. All clients will receive their proxies and other solicitations directly from their custodian or transfer agent and retain responsibility for voting. However, we may provide clients with counseling assistance regarding proxy issues if they contact us with questions.

Item Eighteen: Financial Information

Under no circumstances will our fees be charged more than six months in advance of services rendered. There are no financial conditions under which any of the principals acting jointly or alone can impair a contractual agreement with Teak Tree. There are no bankruptcy petitions involving any of the principals or employees of Teak Tree.

If you have any further questions, please contact us at 817-744-8450 or any one of the following email addresses:

red@teaktreecapital.com

stephen@teaktreecapital.com

adam@teaktreecapital.com

Item Nineteen:

Individual Advisors' Form ADV 2 B Reports

Daniel Jacob Goldstein, CRPC®

Stephen Jeffrey Kaye, CFP®

Adam Kirk Deem, CFP®

Thomas Lee Chancellor, CFP®

Item One: Cover Page

FORM ADV 2 B

SUPERVISED PERSON'S BROCHURE SUPPLEMENT

February 25, 2015

Daniel Jacob Goldstein, CRPC®

CRD# 1670739

Teak Tree Capital Management, LLC

1300 S. University Drive #407

Fort Worth, TX 76107

817-744-8450

red@teaktreecapital.com

www.teaktreecapital.com

This brochure supplement provides information about Daniel Jacob Goldstein that supplements the Teak Tree Capital Management, LLC brochure. Please contact Stephen J. Kaye, Chief Executive Officer, if

you did not receive Teak Tree Capital Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel Jacob Goldstein is available on the SEC's website at www.adviserinfo.gov.

Item 2: Educational Background and Business Experience

Daniel Jacob Goldstein, CRPC®, Born 1954

Education:

University of Texas, 1973-1975

Texas Christian University, 2000-2002

Professional Certifications:

Chartered Retirement Planning Counselor (CRPC®) 2008

Currently employed by and registered with the following FINRA Firms:

TEAK TREE CAPITAL MANAGEMENT, LLC
1300 S. UNIVERSITY DRIVE #407
FORT WORTH, TX 76107
CRD# 149474
Registered with this firm since: 4/24/2009

Employment History:

COMPREHENSIVE ASSET MANAGEMENT AND SERVICING, INC.
2001 ROUTE 46, SUITE 506
PARSIPPANY, NJ 07054
CRD# 43814
4/24/2009 - 12/31/2013

MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
CRD# 7691
FT. WORTH, TX
04/20/1987 - 04/24/2009

Item 3: Disciplinary Information

Mr. Goldstein has no legal or disciplinary events material to a client's or prospective client's evaluation.

Item 4: Other Business Activities

Mr. Goldstein is engaged as the Director/Business Development for a long/short equity hedge fund, Prime Opportunities Investment Group, LLC. There is no conflict of interest with his duties at Teak Tree Capital Management, LLC.

Item 5: Additional Compensation

Mr. Goldstein receives no additional bonuses, awards, or any other economic benefits from client referrals or any other source.

Item 6: Supervision

Mr. Goldstein's direct supervisor is Stephen J. Kaye, Chief Executive Officer of Teak Tree Capital Management, LLC. Mr. Kaye is responsible for supervising the investment research and client goodwill activity of Mr. Goldstein. Supervision is provided on an on-going basis. Mr. Kaye can be reached at 817-744-8450 or stephen@teaktreecapital.com

Item One: Cover Page

FORM ADV 2 B

SUPERVISED PERSON'S BROCHURE SUPPLEMENT

February 25, 2015

Stephen Jeffrey Kaye, CFP®

CRD# 4187125

Teak Tree Capital Management, LLC

1300 S. University Drive #407

Fort Worth, TX 76107

817-744-8450

stephen@teaktreecapital.com

www.teaktreecapital.com

This brochure supplement provides information about Stephen Jeffrey Kaye that supplements the Teak Tree Capital Management, LLC brochure. Please contact Daniel Jacob Goldstein, Chief Compliance Officer, if you did not receive Teak Tree Capital Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen Jeffrey Kaye is available on the SEC's website at www.adviserinfo.gov.

Item 2: Educational Background and Business Experience

Stephen Jeffrey Kaye, CFP®, Born 1966

Education:

Texas Tech University, Bachelor of Arts, Journalism, 1989

Professional Certifications:

Certified Financial Planner Professional (CFP®) 2003

Currently employed by and registered with the following FINRA Firms:

COMPREHENSIVE ASSET MANAGEMENT AND SERVICING, INC.

2001 ROUTE 46, SUITE 506

PARSIPPANY, NJ 07054

CRD# 43814

Registered with this firm since: 4/24/2009

TEAK TREE CAPITAL MANAGEMENT, LLC

1300 S. UNIVERSITY DRIVE #407

FORT WORTH, TX 76107

CRD# 149474

Registered with this firm since: 4/24/2009

Employment History:

MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED

CRD# 7691

FT. WORTH, TX

03/2000 - 04/2009

Item 3: Disciplinary Information

Mr. Kaye has no legal or disciplinary events material to a client's or prospective client's evaluation.

Item 4: Other Business Activities

Mr. Kaye has no other business activities.

Item 5: Additional Compensation

Mr. Kaye receives no additional bonuses, awards, or any other economic benefits from client referrals or any other source.

Item 6: Supervision

Mr. Kaye's direct supervisor is Daniel Jacob Goldstein, Chief Compliance Officer of Teak Tree Capital Management, LLC. Mr. Goldstein is responsible for supervising the financial planning and investment advisory activity of Mr. Kaye. Supervision is provided on an on-going basis since all financial plans and investment plans are reviewed before they are provided to a client. Mr. Goldstein can be reached at 817-744-8450 or red@teaktreecapital.com

Item One: Cover Page

FORM ADV 2 B

SUPERVISED PERSON'S BROCHURE SUPPLEMENT

February 25, 2015

Adam Kirk Deem, CFP®

CRD #4239863

Teak Tree Capital Management, LLC

1300 S. University Drive #407

Fort Worth, TX 76107

817-744-8450

adam@teaktreecapital.com

www.teaktreecapital.com

This brochure supplement provides information about Adam Kirk Deem that supplements the Teak Tree Capital Management, LLC brochure. Please contact Stephen J. Kaye, Chief Executive Officer, if you did not receive Teak Tree Capital Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Adam Kirk Deem is available on the SEC's website at www.adviserinfo.gov.

Item 2: Educational Background and Business Experience

Adam Kirk Deem, CFP®, Born 1971

Education:

Oklahoma Baptist University, Bachelor of Business Administration, Finance and Marketing, 1994

MJ Neeley Business School, Texas Christian University, Masters of Business Administration, 2000

Professional Certifications:

Certified Financial Planner Professional (CFP®) 2003

Currently employed by and registered with the following FINRA Firms:

COMPREHENSIVE ASSET MANAGEMENT AND SERVICING, INC.

2001 ROUTE 46, SUITE 506

PARSIPPANY, NJ 07054

CRD# 43814

Registered with this firm since: 4/24/2009

TEAK TREE CAPITAL MANAGEMENT, LLC

1300 S. UNIVERSITY DRIVE #407

FORT WORTH, TX 76107

CRD# 149474

Registered with this firm since: 4/24/2009

Employment History:

MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED

CRD# 7691

FT. WORTH, TX

09/2000 - 04/2009

Item 3: Disciplinary Information

Mr. Deem has no legal or disciplinary events material to a client's or prospective client's evaluation.

Item 4: Other Business Activities

Mr. Deem has no other business activities.

Item 5: Additional Compensation

Mr. Deem receives no additional bonuses, awards, or any other economic benefits from client referrals or any other source.

Item 6: Supervision

Mr. Deem's direct supervisor is Stephen J. Kaye, Chief Executive Officer of Teak Tree Capital Management, LLC. Mr. Kaye is responsible for supervising the financial planning and investment advisory activity of Mr. Deem. Supervision is provided on an on-going basis since all financial plans and investment plans are reviewed before they are provided to a client. Mr. Kaye can be reached at 817-744-8450 or stephen@teaktreecapital.com

Item One: Cover Page

FORM ADV 2 B
SUPERVISED PERSON'S BROCHURE SUPPLEMENT

February 25, 2015

Thomas Lee Chancellor, CFP®

CRD #3258943

Teak Tree Capital Management, LLC

1300 S. University Drive #407

Fort Worth, TX 76107

817-744-8450

tom@teaktreecapital.com

www.teaktreecapital.com

This brochure supplement provides information about Thomas Lee Chancellor that supplements the Teak Tree Capital Management, LLC brochure. Please contact Stephen J. Kaye, Chief Executive Officer, if you did not receive Teak Tree Capital Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas Lee Chancellor is available on the SEC's website at www.adviserinfo.gov.

Item 2: Educational Background and Business Experience

Thomas Lee Chancellor, CFP®

Born 1950

Education:

University of Texas @ Austin, Bachelor of Arts, Political Science, 1971

University of Texas @ Arlington, Master of Science Social Work, 1980

Professional Certifications:

Certified Financial Planner Professional (CFP®) 2005

Currently employed by and registered with the following FINRA Firms:

COMPREHENSIVE ASSET MANAGEMENT AND SERVICING, INC.

2001 ROUTE 46, SUITE 506

PARSIPPANY, NJ 07054

CRD# 43814

Registered with this firm since: 03/2011

TEAK TREE CAPITAL MANAGEMENT, LLC

1300 S. UNIVERSITY DRIVE #407

FORT WORTH, TX 76107

CRD# 149474

Registered with this firm since: 03/2011

Previous employment:

AMERIPRISE ADVISOR SERVICES, INC.

CRD# 5979

FT. WORTH, TX

01/2009 – 03/2011

MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED

CRD# 7691

FT. WORTH, TX
11/2002 - 01/2009

A. G. EDWARDS & SONS, INC.
CRD# 4
ST. LOUIS, MO
07/1999 - 11/2002

Item 3: Disciplinary Information

Mr. Chancellor has no legal or disciplinary events material to a client's or prospective client's evaluation.

Item 4: Other Business Activities

Mr. Chancellor has no other business activities.

Item 5: Additional Compensation

Mr. Chancellor receives no additional bonuses, awards, or any other economic benefits from client referrals or any other source.

Item 6: Supervision

Mr. Chancellor's direct supervisor is Stephen J. Kaye, Chief Executive Officer of Teak Tree Capital Management, LLC. Mr. Kaye is responsible for supervising the financial planning and investment advisory activity of Mr. Chancellor. Supervision is provided on an on-going basis since all financial plans and investment plans are reviewed before they are provided to a client. Mr. Kaye can be reached at 817-744-8450 or stephen@teaktreecapital.com

Teak Tree Capital Management, LLC