

Part 2B of Form ADV: *Brochure Supplement*

Item 1 Cover Page

Supervised Person Name:
Daniel W. Vasquez

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www.vsqz.co

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This brochure supplement provides information about Daniel W. Vasquez that supplements the Vasquez & Co. brochure. You should have received a copy of that brochure. Please contact Daniel W. Vasquez if you did not receive the Vasquez & Co. brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel W. Vasquez also is available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Name

Daniel W. Vasquez (Born 1971)

Education

- University of Oxford, Pembroke College, Said Business School (MBA in finance, 2014; PGD in global business, 2012)
- The University of Chicago (MPP, Economic Policy, 1996);
- University of California at Berkeley (BA, Ethnic Studies, 1994);
- Princeton University (Certificate, Public & Int'l Affairs, 1992).

Business background

- Vasquez & Co., Founder, Economist, & Portfolio Manager, 2008-present;
- Hamilton Lane Advisors, LLC (Private Equity Asset Management), Vice-President & Portfolio Manager, 2007-2008;
- Morgan Stanley, Global Wealth Management Group, Investment Adviser, 2004-2007;
- Harvard Business School, Institute for Strategy & Competitiveness, Research Associate, 1998-2002;
- The Conference Board, Economics Department, Research Analyst, 1996-1998;
- Legislative Aid, United States Senate, 1994.

Licenses & designations

- Uniform Combined State Law, Investment Adviser (Series 66);
- General Securities Representative (Series 7);
- Futures Managed Funds (Series 31);

Daniel W. Vasquez is not involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which Daniel W. Vasquez:

1. was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses
2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
3. was found to have been involved in a violation of an investment-related statute or regulation; or
4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

Daniel W. Vasquez is not involved in an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person:

1. was found to have caused an investment-related business to lose its authorization to do business; or
2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority:
 - (a) denying, suspending, or revoking the authorization to act in an investment-related business;
 - (b) barring or suspending association with an investment-related business;
 - (c) otherwise significantly limiting investment-related activities; or (d) imposing a civil money penalty of more than \$2,500.

Daniel W. Vasquez is not involved in an self-regulatory organization (SRO) proceeding in which Daniel W. Vasquez:

1. was found to have caused an investment-related business to lose its authorization to do business; or
2. was found to have been involved in a violation of the SRO’s rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

Daniel W. Vasquez is not involved in any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

However, Daniel W. Vasquez license was temporarily revoked for failure to renew license in 2014, resulting from failure to pay annual renewal fee and dues. This was but a slight oversight in Daniel W. Vasquez’s part while completing his graduate studies at Oxford, and has been since corrected.

Item 4 Other Business Activities

Daniel W. Vasquez is not actively engaged in any other investment-related business or occupation, including whether or not Daniel W. Vasquez is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA.

Item 5 Additional Compensation

Daniel W. Vasquez does not have any other person(s) who is not a client that provides an economic benefit to Daniel W. Vasquez for providing advisory services.

Daniel W. Vasquez is the supervised person as well as the supervisor.

Daniel W. Vasquez has not been involved in:

- (1) An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following: (a) an investment or an investment-related business or activity; (b) fraud, false statement(s), or omissions; (c) theft, embezzlement, or other wrongful taking of property; (d) bribery, forgery, counterfeiting, or extortion; or (e) dishonest, unfair, or unethical practices.
- (2) An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: (a) an investment or an investment-related business or activity; (b) fraud, false statement(s), or omissions; (c) theft, embezzlement, or other wrongful taking of property; (d) bribery, forgery, counterfeiting, or extortion; or (e) dishonest, unfair, or unethical practices.

Daniel W. Vasquez has never been the subject of a bankruptcy petition.

