

## Brochure Supplement (March 13, 2015) CRD# 1017723

### Advisor Representative:

Alex Socolof

555 Pleasantville Rd, N106, Briarcliff Manor, NY 10510

914-769-2601

### Company:

Strategic Wealth Management, LLC

555 Pleasantville Rd., N106 Briarcliff Manor, NY 10510

914-769-2601

This “Brochure Supplement” provides information about Alex Socolof that supplements the information found in the Strategic Wealth Management, LLC Disclosure Brochure. You should have already received a copy of that brochure. Please contact Alex if you did not receive Strategic Wealth Management, LLC ‘s advisory firm brochure or if you have any questions about the contents of this supplement.

Additional information about Alex Socolof is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

CRD# 1017723

### Educational Background and Business Experience

Alex Socolof has successfully completed a Bachelors degree program at the University of School Name. Additional details follow:

Name: Alex Socolof

Date of Birth: September 10, 1957

Education: BS – American University – 1978

### Five Year Business Background:

2012 to Present – Strategic Wealth Management, Investment Advisor Representative

2010 to 2010 – Kovack Securities, Inc. Registered Representative

2006 to 2009—Newbridge Securities, Inc. Registered Representative

Alex Socolof also has extensive business experience gained while working in the insurance industry. Alex Socolof is licensed insurance agent offering Life Insurance and Variable Insurance Products.

### Disciplinary Information

- A. Alex Socolof has not been the subject of a criminal or civil action in any domestic, foreign or military court of competent jurisdiction.
- B. Alex Socolof has never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.
- C. Alex Socolof has never been the subject of a self-regulatory organization (SRO) proceeding.
- D. Alex Socolof has never been involved in any other proceeding in which a professional attainment, designation, or license was revoked or suspended because of a violation of rules relating to professional conduct.

### Other Business Activities

A. Alex Socolof is not actively engaged in any other investment-related business or occupation. However, Alex is 60% owner of a company named GSENSE, a privately held company with the rights to commercialize a patent for solar panels. At this time there have been no sales or economic activity generated under this business.

B. Alex Socolof is actively involved as an insurance agent and offers insurance products that could produce commissions with regard to sales of insurance products.

### **Additional Compensation**

Alex Socolof receives no economic benefit from any non-client individuals for providing advisory services. However, commissions could be received by the sale of insurance related products. If a commission will be made, the client will be informed through prospectus documents of the product as well as other disclosure forms that will evidence the compensation.

### **Supervision**

The supervision of this office is handled by Anthony D'Aria, the Chief Compliance Officer. He is responsible for the daily supervisory activities of Alex Socolof, Investment Advisor Representative. If there are any questions regarding the activity or conduct of Alex, those questions should be directed to Anthony D'Aria.

### **Requirements for State-Registered Advisors**

- A. Alex Socolof has never been involved in any arbitration claims.
- B. Alex Socolof has never been involved in any civil, self-regulatory organization, or administrative proceeding.
- C. Alex Socolof has never been the subject of any bankruptcy proceedings.

## Brochure Supplement (March 13, 2015) CRD# 2331465

### Advisor Representative:

Anthony D'Aria

555 Pleasantville Rd., N106, Briarcliff Manor, NY 10510

914-769-2601

### Company:

Strategic Wealth Management, LLC

555 Pleasantville Rd., N106, Briarcliff Manor, NY 10510

914-769-2601

This "Brochure Supplement" provides information about Anthony D'Aria that supplements the information found in the Strategic Wealth Management, LLC Disclosure Brochure. You should have already received a copy of that brochure. Please contact Anthony if you did not receive Strategic Wealth Management, LLC's advisory firm brochure or if you have any questions about the contents of this supplement.

Additional information about Anthony D'Aria is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

CRD# 2331465

### Educational Background and Business Experience

Anthony D'Aria has successfully completed a Bachelors degree program at the University of School Name. Additional details follow:

Name: Anthony D'Aria

Date of Birth: May 15<sup>th</sup> 1967

Education: BA – Manhattanville College – 1989

MA – Manhattanville College -- 1992

### Five Year Business Background:

2010 to Present – Strategic Wealth Management, Investment Advisor Representative

2006 to 2009—Newbridge Securities, Inc. Registered Representative

2001 to 2006 – UBS Financial Services, Inc.

### Disciplinary Information

A. In 2014, Anthony D'Aria was subjected to a plea agreement to an unclassified misdemeanor with the following language: Misdemeanor in connection with primary elections, caucuses, enrollment in political parties, committees, and conventions. No additional disciplinary action was taken with regard to this item. This item was not securities related.

B. Anthony D'Aria has never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.

C. Anthony D'Aria has never been the subject of a self-regulatory organization (SRO) proceeding.

D. Anthony D'Aria has never been involved in any other proceeding in which a professional attainment, designation, or license was revoked or suspended because of a violation of rules relating to professional conduct.

**Other Business Activities**

A. Anthony D'Aria is not actively engaged in any other investment-related business or occupation.

**Additional Compensation**

Anthony D'Aria receives no economic benefit from any non-client individuals for providing advisory services.

**Supervision**

The supervision of this office is handled by Anthony D'Aria, the Chief Compliance Officer. He is responsible for the daily supervisory activities of the firm's Investment Advisor Representatives

**Requirements for State-Registered Advisors**

- A. Anthony D'Aria has never been involved in any arbitration claims.
- B. Anthony D'Aria was subjected to a plea agreement to an unclassified misdemeanor with the following language: Misdemeanor in connection with primary elections, caucuses, enrollment in political parties, committees, and conventions. No additional disciplinary action was taken with regard to this item. This item was not securities related.
- C. Anthony D'Aria has never been the subject of any bankruptcy proceedings.