

Part 2B Form ADV Brochure Supplement

Mount Yale Investment Advisors, LLC

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This brochure supplement provides information that supplements the Mount Yale Investment Advisors, LLC brochure. You should have received a copy of that brochure. Please contact us at 888-862-3690 or AdvisReqA@mtyle.com if you did not receive the Mount Yale Investment Advisors LLC brochure or if you have any questions about the contents of this supplement.

John L Sabre

Item 2

Educational Background and Business Experience

Year of Birth: 1957

Education

University of Minnesota, Minneapolis, MN; B.S. Business, 1979

Wharton School, University of Pennsylvania, Philadelphia, PA; M.B.A. 1984

Business Background

MYIA- Managing Member, 2003- present

MYAM - Managing Member, 2003- present

PFA - Managing Member, 2010- present

Bear Stearns & Co., Senior Managing Director, Head of Mezzanine Capital Group, 2000 to 2001.

First Dominion, Managing Director, 1997 to 2000.

Licenses: Series 7, 24 and 63

Item 3

Disciplinary Information

Mr. Sabre has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Sabre or the company.

Item 4

Other Business Activities

Mr. Sabre is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Sabre does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

Mount Yale Investment Advisors's Executive Committee is responsible for the supervision of all employees and the Investment Committee is responsible for the oversight of all products and strategies. Mr. Sabre is a member of both committees and is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. John Sabre's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@mtvale.com if you have any questions.

Greg D Anderson

Item 2

Educational Background and Business Experience

Year of Birth: 1959

Education

Hamline University, St. Paul, MN; B.A. Business, 1981.

University of Minnesota School of Law, Minneapolis, J.D., 1984.

Business Background

MYIA- Managing Member, 2003 - present.

MYAM - Managing Member, 2003- present

PFA - Managing Member, 2010- present

Various positions with MYAM affiliates and their predecessor, 1998-2003.

Portfolio Management Consultants, Inc., Managing Director, Sr. Vice President and Director of Investment Manager Search, Evaluation and Due Diligence, 1997-1998.

Deloitte & Touche, Sr. Tax Manager, 1996-1997 (Denver, CO) and 1985-1992 (Minneapolis, MN).

Colorado Commodities Corp., Chief Operating Officer, 1992 to 1996.

Licenses: Series 2, 3, 28, 30, 63 and 65

Item 3

Disciplinary Information

Mr. Anderson has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Anderson or the company.

Item 4

Other Business Activities

Mr. Anderson is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Anderson does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

Mount Yale Investment Advisors's Executive Committee is responsible for the supervision of all employees and the Investment Committee is responsible for the oversight of all products and strategies. Mr. Anderson is a member of both committees and is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Anderson's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@mtyale.com if you have any questions.

Marc E Friedman

Item 2

Educational Background and Business Experience

Year of Birth: 1971

Education

University of Denver – Daniels College of Business, MBA and MS Finance 1999 -2001

University of CO – Boulder, BS Finance 1994

Business Background

MYIA- Senior Vice President – Director of Research - 2006 to present.

MYAM - Senior Vice President – Director of Research - 2006 to present

PFA - Senior Vice President – Director of Research - 2010 to present

Bard Capital, Vice President -2005 to 2006

Stifel Nicolaus, Vice President – 2000 to 2005

Morgan Stanley – 1997 – 1999

Licenses: Series 7, 31, 63, and 65

Item 3

Disciplinary Information

Mr. Friedman has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Friedman or the company.

Item 4

Other Business Activities

Mr. Friedman is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Friedman does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

Mount Yale Investment Advisors's Investment Committee is responsible for the oversight of all products and strategies. Mr. Friedman is a member of the Investment Committee and is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Friedman's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@mtvale.com if you have any questions.

David B Gottesman

Item 2

Educational Background and Business Experience

Year of Birth: 1973

Education

Lawrence University - BA Psychology 1996

Business Background

MYIA- Senior Vice President – Sales and Marketing - 2007 to present

MYAM - Senior Vice President – Sales and Marketing - 2007 to present

PFA - Senior Vice President – Sales and Marketing - 2007 to present

ING Life Design – various Sales and National Support positions – 2003 to 2007

Family Wealth Counselors – Director of Operations – 1999 to 2003

Risk Protection of Virginia – Agent and Administrator VIP Accounts – 1996 to 1999

Licenses: Series 6 and 7

Item 3

Disciplinary Information

Mr. Gottesman has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Friedman or the company.

Item 4

Other Business Activities

Mr. Gottesman is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Gottesman does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

Mount Yale Investment Advisors's Investment Committee is responsible for the oversight of all products and strategies. Mr. Gottesman is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Gottesman's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@mtyle.com if you have any questions.

Eric G Englebrecht

Item 2

Educational Background and Business Experience

Year of Birth: 1974

Education

Tulane University – A.B. Freeman School of Business - BS Management 1997

Business Background

MYIA- Senior Vice President – Sales and Marketing 2007 to present

MYAM - Senior Vice President – Sales and Marketing 2007 to present

PFA - Senior Vice President – Sales and Marketing 2010 to present
MYAM – Vice President - Business Development 2003 to 2007

MYIA - Vice President - Business Development 2003 to 2007

Mount Yale affiliates and predecessors – Client Services Manager - 2001 to 2003

Merrill, Lynch, Pierce, Fenner & Smith – 1997 to 2001

Licenses: Series 7 and 63

Item 3

Disciplinary Information

Mr. Englebrecht has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Englebrecht or the company.

Item 4

Other Business Activities

Mr. Englebrecht is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Englebrecht does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

Mount Yale Investment Advisors's Investment Committee is responsible for the oversight of all products and strategies. Mr. Englebrecht is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Englebrecht's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@mtyale.com if you have any questions.

Theodore B. Smith

Item 2

Educational Background and Business Experience

Year of Birth: 1986

Education

Lehigh University – BS Finance , Real Estate and Accounting

Business Background

MYIA- Vice President – Business Development – August 2014 to present

MYAM - Vice President – Business Development – August 2014 to present

PFA - Vice President – Business Development – August 2014 to present

Fortigent, LLC – External Practice Management Consultant – January 2013 to August 2014

Fortigent, LLC – Sales Associate – August 2011 to January 2013

Licenses: Series 7

Chartered Alternative Investment Analyst “CAIA” designation – September 2013

Item 3

Disciplinary Information

Mr. Smith has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Smith or the company.

Item 4

Other Business Activities

Mr. Smith is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Smith does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

Mount Yale Investment Advisors’s Investment Committee is responsible for the oversight of all products and strategies. Mr. Smith is subject to the Firm’s written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Smith’s activities are also overseen by the Firm’s Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@mtyle.com if you have any questions.

Adam T. Duff

Item 2

Educational Background and Business Experience

Year of Birth: 1981

Education

University of Minnesota

Business Background

MYIA- Sales and Marketing - 2010 to present

MYAM - Sales and Marketing - 2010 to present

PFA - Sales and Marketing - 2010 to present

Octavus Group LLC – Wholesaler 2006-2010

Piper Jaffray – Fixed Income Specialist - 2004-2006

RMM Corporation – National Service and Sales Representative - 2002-2004

Licenses: Series 7 and 63

Item 3

Disciplinary Information

Mr. Duff has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Duff or the company.

Item 4

Other Business Activities

Mr. Duff is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Duff does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

Mount Yale Investment Advisors's Investment Committee is responsible for the oversight of all products and strategies. Mr. Duff is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Duff's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** or AdvisReqA@mtyle.com if you have any questions.

Mike Klever

Item 2

Educational Background and Business Experience

Year of Birth: 1974

Education

University of Colorado, Boulder – BA Degree Political Science, History

Business Background

MYIA- Director, Internal Business Development - 2008 to present

MYAM - Director, Internal Business Development - 2008 to present

PFA - Director, Internal Business Development - 2010 to present

Merrill Lynch – Client Service Manager – 2003 – 2008

Merrill Lynch – Priority One Service Rep, Group Relationship Partner, Service Analyst - 2000 - 2003

Licenses: Series 7 and 66

Item 3

Disciplinary Information

Mr. Klever has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Klever or the company.

Item 4

Other Business Activities

Mr. Klever is not engaged in any other investment-related businesses outside the company.

Item 5

Additional Compensation

Mr. Klever does not receive economic benefits from any other investment-related businesses outside the company.

Item 6

Supervision

Mount Yale Investment Advisors's Investment Committee is responsible for the oversight of all products and strategies. Mr. Klever is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Klever's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at 888-862-3690 or AdvisReqA@mtyale.com if you have any questions.