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March 3, 2015

FORM ADV PART 2A.
BROCHURE

This brochure provides information about the qualifications and business practices of ClearLogic Financial, Inc. If you have any questions about the contents of this brochure, please contact us at 703-476-3521. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about ClearLogic Financial, Inc. is also available on the SEC's website at www.adviserinfo.sec.gov. The searchable IARD/CRD number for ClearLogic Financial, Inc. is 133114.

ClearLogic Financial, Inc. is a Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

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Advisory Business

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Ticknor Atherton & Associates' registration was granted by the U.S. Securities and Exchange Commission on October 29, 2004. The name of the firm was changed to ClearLogic Financial, Inc. in January 2015. Samuel Mark Atherton (CRD Number 4706300) is President. Mr. Atherton owns more than 50% but less than 100% of the equity of the firm. Kevin Bruce Loser (CRD Number 5504673) is Chief Compliance Officer of the firm and owns more than 10% but less than 25% of the equity of the firm. Joel David Ticknor (CRD Number 1736169) is Chairman of the firm and has no equity interest. The firm is not publicly owned or traded. There are no indirect owners of the firm or intermediaries who have any ownership interest in the firm. The firm manages each client's portfolio on an individualized basis. Clients may impose restrictions on their accounts. The firm does not participate in wrap programs. As of December 31, 2014, the firm managed assets on a discretionary basis in the amount of \$250,431,549 for 167 clients.

Adviser provides clients with continuous financial planning, investment advisory and account monitoring services on a fee-only basis. Adviser designs individualized financial plans for clients and develops investment strategies to meet client goals. Adviser sends clients quarterly reports detailing portfolio performance. Adviser meets with clients, in person or by telephone, as often as they require. A significant portion of the firm's efforts are related to "non-securities advice", such as issues involving expense budgeting, tax planning, education funding, insurance, charitable and estate planning, among others.

The Adviser develops a written **Investment Policy Statement** for each client, based on the client's risk tolerance, investment return objectives, investment time horizon, income requirements and other factors. The Investment Policy Statement, which is reviewed and approved by the client, describes a target investment portfolio for the client where assets are usually divided among equity, fixed income, and alternative investments. The Statement further identifies investment asset classes within these broad categories, such as short and intermediate-term U.S. and global bonds, U.S. large and small company growth and value stocks, international large company and small company growth and value

stocks, commodity futures, and money market securities.

Fees and Compensation

Form ADV Part 2A, Item 5

Fees charged may be assessed according to different methods, including:

1. hourly fees based on the time anticipated or actually involved
2. fixed fees **not** computed on the basis of size of the client's assets

fees which are computed on the basis of managed assets. Each client's situation is unique and the time required to address concerns will vary. Fees charged are generally not negotiable. All fees are fully disclosed and agreed prior to the signing of the Advisory Agreement. Accounts may be grouped for billing purposes.

The basic annual fee for asset management services is calculated on the value of supervised assets, based on market value as stated in the account's latest quarterly statement. Fees are paid quarterly, in arrears. Fees are not charged on assets such as real estate, personal businesses, partnerships, pension funds over which the client has no control, or any other holdings that the client lists to provide Adviser with a better understanding of the client's financial situation, but that are not to be considered under the Adviser's supervision.

The annual fee on supervised investment portfolios is calculated on a sliding scale, as follows and is generally not negotiable:

- 1.00% per year for the first \$1 million of assets
- 0.75% per year for \$1 million to \$2 million of assets
- 0.50% per year for the assets above \$2 million

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The minimum annual fee on supervised portfolios is generally \$5,000 per year, billed at the quarterly rate of \$1,250.

These portfolio supervision fees also include continuous advice on non-investment, personal financial planning questions or concerns.

Mutual funds charge a management fee for their services as investment managers. This management fee is called an expense ratio. For example, an expense ratio of 0.35 means that the mutual fund company charges 0.35% for their services. These fees are in addition to the fees paid by you to ClearLogic Financial, Inc.

Performance figures quoted by mutual fund companies in various publications are after their fees have been deducted.

CONSULTATION SERVICES

Fee Estimates. We bill for non-retainer investment advisory and financial planning services based on the facts and circumstances of each case and the anticipated complexity. Our minimum hourly fee rate is \$250.

Compensation is payable according to individual arrangements, but usually a portion of the initial financial planning project fees are paid in advance with the balance to be paid upon completion or as work progresses. The amount of the fee and the manner of its computation and assessment are contained in each client's contract, which can be terminated at will.

Performance-Based Fees and Side-By-Side Management

Form ADV Part 2A, Item 6

Fees are not based on a share of the capital gains or capital appreciation of the managed securities.

Types of Clients

Form ADV Part 2A, Item 7

Individuals, pension plans, profit sharing plans, trusts, corporations and other business entities.

Methods of Analysis, Investment Strategies and Risk of Loss

Form ADV Part 2A, Item 8

Adviser employs a "strategic asset allocation" approach to portfolio management that is based on the principles of Modern Portfolio Theory and other research. We believe markets are "efficient," and that investors' returns are determined principally by asset allocation decisions, not market timing or stock picking. Hence, Adviser **does not** forecast business cycles or interest rates, or shift allocations between stocks and bonds in response to market timing decisions. We maintain a strategic allocation and only infrequently revise that allocation. We periodically rebalance to the target allocation, while considering the impact of taxes and transaction costs.

We typically recommend no-load, low cost, tax-efficient mutual funds in an effort to minimize expenses and negative tax consequences. We believe that investing in a well-diversified portfolio over the long-term with low expenses and high tax efficiency is the best way to achieve the client's goals.

Adviser has access to institutional, "no load" funds from Dimensional Fund Advisors (DFA) that are not available to the individual investor and adviser uses many of these funds to build client portfolios.

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Investment portfolios range in risk and return characteristics from "conservative" to "aggressive," depending on the needs and objectives of the client. Fixed Income securities function to mute volatility in our Portfolios. Fixed Income Portfolios stress risk control and emphasize high-quality, short and intermediate-term fixed income securities. Adviser will make no attempt to time any market and investors should expect to remain fully invested in their selected asset allocation plan at all times.

While we believe our strategies and investment selection are designed to produce the highest possible return for a given level of risk, we cannot guarantee that an investment objective or planning goal will be achieved. Some investment decisions made by the firm and/or client may result in loss, which may include loss of the original principal invested.

Disciplinary Information

Form ADV Part 2A, Item 9

Neither ClearLogic Financial, Inc. nor any of its associated personnel have been the subject of any legal or disciplinary events pursuant to the Investment Advisors Act.

Other Financial Industry Activities and Affiliations

Form ADV Part 2A, Item 10

The firm and/or its associated persons are members of the National Association of Personal Financial Advisors (NAPFA) and the Certified Financial Planner Board of Standards, Inc.; their information may be available on these associations' websites for public view.

Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Form ADV Part 2A, Item 11

The firm has adopted a written Code of Ethics in compliance with SEC Rule 204A-1. The code sets forth standards of conduct and requires compliance with federal securities laws. Our code also addresses personal trading and requires our personnel to report their personal securities holdings and transactions to the Chief Compliance Officer of the firm. We will provide a copy of our Code of Ethics to any client or prospective client upon request.

It is further noted that Adviser is in and shall continue to be in total compliance with the Insider Trading and Securities Fraud Enforcement Act of 1988. Specifically, Adviser has adopted a firm wide policy statement outlining insider trading compliance by employees. This statement has been distributed to all associated persons and other employees of Adviser and has been signed and dated by each such person. A copy of such firm wide policy is left with such person and the original is maintained in a master file. Further, Adviser has adopted a written supervisory procedures statement highlighting the steps which shall be taken to implement the firm wide policy. These materials are also distributed to all associated persons and other employees of Adviser, are signed, dated, and filed with the insider trading compliance materials. There are provisions adopted for:

1. restricting access to files
2. providing continuing education;
3. restricting and/or monitoring trading on those securities of which Adviser's employees may have non-public information
4. requiring all of Adviser's employees to conduct their trading through a specified broker or reporting all transactions promptly to Adviser
5. monitoring the securities trading of the firm and its employees and associated persons

Form ADV Part 2A, Item 11

Adviser or individuals associated with Adviser may buy or sell securities identical to those recommended to clients for their personal account. It is the expressed policy of Adviser that no person employed by Adviser may purchase or sell any security prior to a transaction(s) being implemented for an advisory account, and therefore, preventing such employees from benefiting from transactions placed on behalf of advisory accounts.

Adviser or any related person(s) may have an interest or position in a certain security or securities which may also be recommended to a client. As these situations may represent a conflict of interest, Adviser has established the following restrictions to ensure its fiduciary responsibilities:

1. A director, officer or employee of Adviser shall not buy or sell securities for their personal portfolio(s) where their decision is substantially derived, in whole or in part, by reason of his or her employment unless the information is also available to the investing public on reasonable inquiry. No person of Adviser shall prefer his or her own interest to that of the advisory client.
2. Adviser maintains a list of all securities holdings for itself, and anyone associated with this advisory practice. These holdings are reviewed on a regular basis by Samuel Mark Atherton, President.
3. Adviser requires that all individuals must act in accordance with all applicable federal and state regulations governing registered investment advisory practices.
4. Any individual not in observance of the above may be subject to termination.

Brokerage Practices

Form ADV Part 2A, Item 12

ClearLogic Financial, Inc. (ClearLogic) may recommend that clients establish brokerage accounts with Schwab Institutional division of Charles Schwab & Co., Inc. (Schwab), a FINRA registered broker-dealer and member of SIPC. They maintain custody of clients' assets and effect trades for their accounts. Although ClearLogic may recommend that clients establish or maintain accounts at Schwab, it is the clients' decision to custody assets with Schwab. ClearLogic is independently owned and operated and is not affiliated with Schwab. Schwab provides ClearLogic Financial, Inc. with access to its institutional trading and custody services, which are typically not available to Schwab retail investors. These services are not contingent upon ClearLogic committing to Schwab any specific amount of business assets in custody or trading commissions. Schwab's brokerage services include the execution of securities transactions, custody, research and access to mutual funds and other investments that are otherwise generally available only to institutional investors or would require a significantly higher minimum initial investment. ClearLogic periodically reviews the services that Charles Schwab provides with respect to cost and quality of service vs. other institutions. This allows ClearLogic to ensure and maintain the best execution policy of the firm.

Schwab generally does not charge separately for custody services but is compensated by account holders through commissions and other transaction related or asset based fees for securities trades that are executed through Schwab or that settle into Schwab accounts. Schwab Institutional also makes available to ClearLogic Financial, Inc. other products and services that benefit ClearLogic but may not directly benefit its clients' accounts. Many of these products and services may be used to service technology that:

1. provide access to client account data (i.e. trade confirmations and account statements)
2. facilitate trade execution and allocate aggregated trade orders for multiple client accounts
3. provide research, pricing and other market data

Form ADV Part 2A, Item 12

4. facilitate payment of ClearLogic fees from its clients' accounts
5. assist with back-office functions, recordkeeping and client reporting

Schwab Institutional also offers other services intended to help ClearLogic Financial, Inc. manage and further develop its business enterprise. These services may include:

1. compliance, legal and business consulting
2. publications and conferences on practice management and business succession
3. access to employee benefits providers, human capital consultants and insurance providers.

Schwab may make available, arrange and/or pay third-party vendors for the types of services rendered to ClearLogic. Schwab Institutional may also provide other benefits such as educational events or occasional business entertainment of ClearLogic personnel. In evaluating whether to recommend that clients custody their assets at Schwab, ClearLogic may take into account the availability of some of the foregoing products and services and other arrangements as part of the total mix of factors it considers and not solely the nature, cost or quality of custody and brokerage services provided by Schwab, which may create a potential conflict of interest. ClearLogic does not receive any remuneration from anyone to whom it may introduce clients. However, incentive compensation or referral fees may be paid to staff for generating new client relationships. ClearLogic does not receive any compensation for giving advice to clients other than the fees billed to those clients.

Review of Accounts

Form ADV Part 2A, Item 13

Adviser reviews each account at least quarterly and, if appropriate, rebalances each account to maintain the asset class allocation agreed to in the client's Investment Policy Statement. Decisions to rebalance may be affected by brokerage transaction costs, if any, and tax considerations.

Form ADV Part 2A, Item 13

Adviser will prepare a new Investment Policy Statement whenever there are changes in a client's risk tolerance, return objectives, or other factors affecting the management of the account. Adviser will implement changes to the client's investment mix in accordance with the agreed new Investment Policy Statement.

Client Referrals and Other Compensation

Form ADV Part 2A, Item 14

All compensation paid to the firm is paid directly by the client and, therefore, the firm does not receive any additional compensation when its clients engage a recommended custodian.

Custody

Form ADV Part 2A, Item 15

Client funds and securities will be maintained by unaffiliated, qualified custodians (such as Charles Schwab), banks, broker-dealers, mutual fund companies or transfer agents and **not** with or by ClearLogic or any of its associates.

Investment account clients are provided with transaction confirmations and summary account statements sent directly from the selected custodian. Typically, these statements are provided on a monthly or quarterly basis, or as transactions occur.

Clients will receive reports from the firm that summarize performance of account holdings, and clients

are urged to compare account statements received from their custodian (i.e. Charles Schwab) to those reports they receive from ClearLogic Financial, Inc..

Investment Discretion

Form ADV Part 2A, Item 16

The adviser is provided discretionary authority through the execution by the advisory client of a limited power of attorney.

Voting Client Securities

Form ADV Part 2A, Item 17

ClearLogic Financial, Inc. will not exercise proxy voting over Client securities. The obligation to vote Client proxies shall at all time rest with Client. Client shall in no way be precluded from contacting Adviser for advice or information about a particular proxy vote; however, Adviser shall not be deemed to have proxy voting authority solely as a result of providing such advice to Client. Should Adviser inadvertently receive proxy voting action information for a security held in Client's account, then Adviser will immediately forward such information to Client, but will not take any further action with respect to the voting of such proxy. Upon termination of its Agreement with Client, Adviser shall make a good faith and reasonable attempt to forward any Client proxies received by Adviser on behalf of Client to the forwarding address provided by Client to Adviser. Any information received by Adviser that relates to the voting of clients' proxies shall be maintained by Adviser for a period of five years from the date of receipt, the first two years in the offices of Adviser.

Financial Information

Form ADV Part 2A, Item 18

Due to the nature of the firm's services, an audited balance sheet is not required in this disclosure. ClearLogic Financial, Inc. does not serve as a custodian for client funds or securities, and does not require prepayment of fees.

Requirements for State-Registered Advisers

Form ADV Part 2A, Item 19

Not applicable.

Additional Information

None.

Joel D. Ticknor, CFP ®
Samuel Mark Atherton, CFP ®
Kevin Loser, CFP ®
Gerald A. Cannizzaro
Shawn Steel
Lucy Robeson

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March 3, 2015

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Joel D. Ticknor, Samuel Mark Atherton, Kevin Loser, Gerald A. Cannizzaro, Shawn Steel, and Lucy Robeson that supplements the ClearLogic Financial, Inc. brochure. You should have received a copy of that brochure. Please contact Kevin Bruce Loser, Chief Compliance Officer if you did not receive ClearLogic Financial, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about the above named individuals is available on the SEC's website at www.adviserinfo.sec.gov.

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Educational Background and Business Experience

**Joel D. Ticknor, CFP® - born 1939, Chairman Emeritus, ClearLogic Financial, Inc.
(f/k/a Ticknor Atherton & Associates)**

Education:

- **Bachelor of Arts (Phi Beta Kappa) from Union College, Schenectady NY, 1960**
- **Graduate studies in Public Law and Government, Columbia University, New York, NY; Certificate, International Fellows Program, 1962. Woodrow Wilson Fellow. Noble Foundation Fellow.**
- **College for Financial Planning, Denver, Colorado, 1994**
- **Certificate in Strategic Foresight, University of Houston, 2011**

Business Background:

2004 – Present Chairman, Ticknor Atherton & Associates

1994 - 2004 President, Ticknor Financial, Inc.

1991 - 1993 Sole-Proprietor, Fee-Only Financial Planner and Investment Adviser

1990 - 1991 Registered Representative, International Money Management Group

1987 - 1990 Registered Representative, Morris Financial Services,

- **Past Chairman and Director, Northwest Federal Credit Union (VA), 2000 – 2012**
- **Intelligence Officer, U.S. Central Intelligence Agency, 1965 - 1993**
- **Professor of National Security Policy, The National War College, Washington, DC, 1988 - 1990**
- **Foreign Service Officer, U.S. Department of State, 1962 - 1965**
- **Member of Financial Planning Association**
- **Professional Member, World Future Society**
- **Former Public Member Arbitrator National Association of Securities Dealers, Inc. Board of Arbitrator, 1996- 2003**

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**Samuel Mark Atherton, CFP® - born 1961. President, ClearLogic Financial, Inc.
(f/k/a Ticknor Atherton & Associates)**

Education:

- **Bachelor of Science (Finance) from Virginia Polytechnic and State University, Blacksburg VA, 1984.**
- **Georgetown University, Washington, DC 2003. - Completed Graduate Studies in Financial Planning**
- **Certified Insurance Counselor and Life Underwriter Training Council Designee with 12 years of Insurance counseling experience covering Life, Disability Commercial, Auto, Homeowners, Disability, and Corporate Benefits.**

Business Background:

2004 – Present President, ClearLogic Financial, Inc.

2003 – 2004 Principal Advisor and Financial Planner, Ticknor Financial Inc.

1998 - 2003 Consulting Director, Litton PRC, Financial and Benefits Consulting

1996 - 1998 Director Risk Management, Insurance and Benefit Consulting, Sedgwick Insurance

1984 – 1996 Territory Manager, Broker, Allstate Insurance Company

1982 - 1984 Field Auditor, Internal Revenue Service

- **Past President of the Board, Special Love for Children with Cancer**
- **Former Chairman, Northern Virginia Special Olympics**
- **Member of National Association of Personal Financial Advisors**

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**Kevin Bruce Loser, CFP® – born 1974. Principal, ClearLogic Financial, Inc.
(f/k/a Ticknor Atherton & Associates)**

Education:

- **Bachelor of Science (Accounting) from Pennsylvania State University, University Park, PA, 1996**
- **Georgetown University, Washington DC, 2008 – Completed Graduate Studies in Financial Planning**

Business Background:

2014 - Present Principal, ClearLogic Financial, Inc.

2008 - 2014 Financial Planner, ClearLogic Financial, Inc.

2006 – 2007 Director, Financial Planning & Analysis, Sunrise Senior Living

2001 – 2006 Senior Manager of Finance, XO Communications

1996 – 2001 Finance, Marriott International

- **Member of National Association of Personal Financial Advisors**
- **Member of New Dominion Angels, an investor group formed to capitalize early-stage, Virginia-based companies**

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**Gerald A. Cannizzaro –born 1942. Financial Advisor, ClearLogic Financial, Inc.
(f/k/a Ticknor Atherton & Associates)**

Education:

- **Bachelor of Science (Psychology) from Villanova University, Villanova, PA.**
- **M.B.A. in Marketing and Finance from Columbia University Business School, New York, New York.**

Business Background:

2011 - Present Financial Advisor, ClearLogic Financial, Inc.

2000 - Present President, Retirement Planning Services, Inc.

1988 - 2002 Manager, National Archives Investment Trust

1976 - 1987 Manager, Interstate Commerce Commission Investment Trust

- **Member of National Association of Personal Financial Advisors**
- **For the past 25 years, Gerald has taught investment and financial planning, mid-career planning, and retirement planning classes to various government agency employees. He has also taught at USDA Graduate School, National Institute of Transition Planning (NITP), Northern Virginia Community College (NOVA), and Fairfax County Adult Education.**
- **USDA nominated Gerald for its Faculty Excellence Award in 2004**
- **Guest Lecturer at various federal agency conferences, the University of Maryland, and Howard University.**

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**Shawn Steel - born 1976. Financial Planner, ClearLogic Financial, Inc.
(f/k/a Ticknor Atherton & Associates)**

Education:

- **Bachelor of Arts, Magna Cum Laude, (Political Science) from Elon University, Elon, NC, 1998**
- **Juris Doctorate from University of Maryland School of Law, Baltimore, MD, 2002**
- **Georgetown University, Washington, DC, 2012 – Completed Graduate Studies in Financial Planning**

Business Background:

2013 - Present Financial Planner, ClearLogic Financial, Inc.

2006 - 2009 Attorney, Washington, DC

2003 - 2005 Attorney-Advisor, U.S. Coast Guard

2001 - 2003 Law Clerk, Office of Attorney General of Maryland

- **Member of National Association of Personal Financial Advisors**
- **Working towards CFP® certification**

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**Lucy Robeson - born 1976. Associate Financial Planner, ClearLogic Financial, Inc.
(f/k/a Ticknor Atherton & Associates)**

Education:

- **Bachelor of Science (Mathematics) from Virginia Polytechnic and State University, Blacksburg VA, 2009 with a Double Major in Statistics**
- **George Mason University, Fairfax, VA, 2015 – currently enrolled in Graduate program in Financial Planning**

Business Background:

- **2013 - Present Associate Financial Planner, ClearLogic Financial, Inc.**
- **2013 – 2014 Country Director, Fiji, Help International**
- **2012 – 2013 Senior Actuarial Analyst, Towers Watson**
- **2009 – 2012 Actuarial Analyst, Mercer**

Professional Associations:

- **National Association of Personal Financial Advisors (NAPFA), the national organization of Fee-Only Advisors**
- **Financial Planning Association (FPA)**

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Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and**
- **Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.**

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Disciplinary Information

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None.

Other Business Activities

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None.

Additional Compensation

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None.

Supervision

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Samuel Mark Atherton supervises all Advisory staff. Kevin Loser supervises all operations staff.

Requirements for State-Registered Advisers

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Not applicable.