

Item 1. Cover Page

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BROCHURE SUPPLEMENT FOR STAN MONK  
Securities and Exchange Commission Form ADV Part 2B  
Dated February 24, 2015

This brochure supplement provides information about Stan Monk that supplements his brochure. You should have received a copy of that brochure. Please contact Mr. Monk if you did not receive his brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Monk is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

I was born on October 31, 1949 in Mescalero, New Mexico. I was a National Merit Scholar and attended Columbia College (B.A. 1971), Columbia Business School (M.B.A. 1976) and Columbia Law School (J.D. 1983). I also studied at the Graduate School of Political Science of the University of Chicago during 1971-1972. From 1976 to 1980, after graduating from business school and before entering law school, I was an auditor for Price Waterhouse & Co. I am a Certified Public Accountant (non-practicing). After law school, I worked for four years at a mid-town Manhattan law firm, Spengler Carlson et al, where I practiced corporate and securities law. Moving to Prudential Insurance in 1987, I served primarily as counsel to a group of independent Prudential investment management subsidiaries. In 1989, I left Prudential and established my own company, Monk Trading Incorporated, and became a market maker in equity options on the floor of the American Stock Exchange. In 1991, I started a financial planning and money management business, which I have continued full time through the present date. I have completed the NYU Financial Planning Program (where I subsequently taught Investments) and am a Certified Financial Planner. I am the former Chairman of the Board and President of the Metropolitan New York Chapter of the Institute of Certified Financial Planners. I have participated in various industry programs and panels, and have appeared as a guest on CNBC.

## Item 3. Disciplinary Information

No disclosure is required under this item.

## Item 4. Other Business Activities

No disclosure is required under this item.

## Item 5. Additional Compensation

No disclosure is required under this item.

## Item 6. Supervision

This Item does not apply to my business.

## Item 7. State-Registered Advisers

This Item does not apply to my business

