

Stephen K. Davis  
CRD #1015132

Stephen K. Davis Financial Services, LTD.  
DBA Safeharbor Asset Management  
167 East Main Street  
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631-421-4341

[www.investsafeharbor.com](http://www.investsafeharbor.com)

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FORM ADV PART 2B  
ADV PART 2B BROCHURE SUPPLEMENT  
Advisor Resume

This brochure supplement provides information about Stephen K. Davis that supplements the Stephen K. Davis Financial Services, LTD. brochure. You should have received a copy of that brochure. Please contact either Stephen K. Davis, or Jessica Trask at 631-421-4341 if you did not receive our ADV Part 2A or if you have any questions about the contents of this document.

Additional information about Stephen K. Davis is available on the SEC's Web site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Item 2 Educational Background and Business Experience

**Advisor Name:** Stephen K. Davis

**Year of birth:** 1949

**Formal education after high school:**

The Art Students League of New York, 1972

**Business background for the previous five years:**

Stephen K. Davis Financial Services, Ltd, President, Dec 1990 to present

American Portfolios Financial Services, Inc., Registered Representative, Dec. 2008 to Present

FSC Securities Corporation, Managing Field Associate, Mar 1997 to Nov. 2008

Licensed Insurance Broker, June 1976 to Present

Royal Alliance Association, Managing Executive, Sep. 1990 to Feb. 1997

### Item 3 Disciplinary Information

There are no legal or disciplinary events regarding Stephen K. Davis.

#### Item 4 Other Business Activities

A. Stephen K. Davis is a registered representative of American Portfolios Financial Services, Inc. (hereafter, "APFS"), a FINRA member broker-dealer. The brokerage services provided by APFS are separate from the advisory services provided by our firm. In his separate capacity as registered representative of APFS, Mr. Davis has existing clients who maintain commission based brokerage and "non-brokerage" accounts with APFS and for whom he implements securities transactions, and he may, in addition, establish and maintain commission based brokerage and "non-brokerage" accounts with APFS for clients of our firm. Mr. Davis will receive separate and customary compensation for acting as registered representative and implementing securities transactions for clients of APFS.

B. We have nothing to disclose in regard to this.

Mr. Davis is also a licensed insurance broker for various insurance companies. The insurance services provided by Mr. Davis in his capacity as a licensed insurance broker are separate from the advisory services provided by our firm. In his separate capacity as insurance broker, Mr. Davis may purchase various insurance and insurance related products for clients of our firm. Mr. Davis will receive separate and customary compensation when acting as an insurance agent and purchasing insurance products for clients of our firm.

Mr. Davis may spend as much as 10% of his time on these other business activities.

#### Item 5 Additional Compensation

Mr. Davis does not receive economic benefits for providing advisory services from someone who is not a client. We have nothing to disclose in this regard.

#### Item 6 Supervision

Stephen K. Davis is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is however bound by our firm's Code of Ethics. Please contact Stephen K. Davis if you have any questions regarding this Brochure Supplement at 631-421-4341.