

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**James Clayton (“Clay”) Parker**

**CRD# 113364**

**of**

**Southport Capital, Inc.**

One Union Square, Suite 300  
Chattanooga, TN 37402

(423) 265-2700

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about Clay Parker, and supplements the Southport Capital, Inc. (“Southport Capital”) brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital’s brochure, or if you have any questions about the contents of this supplement.

Additional information about Clay is available on the SEC’s website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Clay Parker (year of birth 1962) has served as President of Southport Capital since 2009. Clay was employed as the firm’s Vice President of Wealth Management Services from 2002 to 2009. Clay’s experience also includes serving as Vice President of the Trust Investment Department of Suntrust Bank and employment with Merrill Lynch as a Financial Consultant.

Clay received his Bachelor of General Education from Louisiana State University.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Clay has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Clay is not engaged in any other business activities.

***Item 5 - Additional Compensation***

Clay has no other income or compensation to disclose.

***Item 6 - Supervision***

Clay is the President and a co-owner of Southport Capital. James Wilson is the Chief Compliance Officer at Southport Capital. Both are Portfolio Managers and serve on the investment committee.

Overall investment decisions are made as a team by the investment committee, and portfolio activity based on these decisions will be carried out by these individuals, as assisted by other staff members of the firm.

As Chief Compliance Officer, James Wilson is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**James W. Woods**

**CRD# 734272**

**of**

**Southport Capital, Inc.**

1870 The Exchange  
Suite 100  
Atlanta, Georgia 30339

(404) 442-2124

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about James ("Jim") Woods, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about Jim is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

James Woods (year of birth 1955) has served as a Senior Portfolio Manager of Southport Capital since 2008. Jim's experience also includes serving as Vice President and Portfolio Manager at Oppenheimer and Company, Inc. (previous CIBC World Markets Corporation) from 1990 through 2008.

Jim received his Bachelor of Science degree from Georgia Institute of Technology.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Jim has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Jim is also an insurance agent of Lakewood Ranch Risk Management, LLC, an affiliated company of Southport Capital. As such, he is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Jim and a management fee to Southport Capital on the same pool of assets.

***Item 5 - Additional Compensation***

Other than as stated above, Jim is not engaged in any other investment-related business or occupation, and does not earn compensation for the sale of any other products or services.

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Donald R. Tomlin, CFA®**

**CRD# 4364865**

**of**

**Southport Capital, Inc.**

4 Carriage Lane  
Suite 300C  
Charleston, SC 29407

(843) 722-4779

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about Don Tomlin, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about Don is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Donald Tomlin (year of birth 1933) has served as a Portfolio Manager of Southport Capital since 2001. Don was also a Vice President of the firm from 2001 to 2006.

Don received his Bachelor of Civil Engineering from North Carolina State University and a Master of Business Administration from the University of North Carolina. Don also holds the Chartered Financial Analyst®\* designation

\* The Chartered Financial Analyst® ("CFA®") designation is a professional designation given by the CFA Institute that measures the competence and integrity of financial analysts. The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. Candidates are required to pass three levels of examinations covering areas such as accounting, economics, ethics, money management and security analysis. Before a candidate is eligible to become a CFA charter holder, he/she must meet

minimum experience requirements in the area of investment/financial practice. To enroll in the program, a candidate must hold a bachelor's degree.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Don has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Don is not engaged in any other business activities.

***Item 5 - Additional Compensation***

Don has no other income or compensation to disclose.

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Darold Brooks, CFP®**

**CRD# 839352**

**of**

**Southport Capital, Inc.**

1870 The Exchange  
Suite 100  
Atlanta, Georgia 30339

(404) 442-2124

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about Darold Brooks, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about Darold is available on the SEC's website at  
[www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Darold Brooks (year of birth 1946) has served as a Portfolio Manager of Southport Capital since 2010. Prior to joining Southport Capital, Darold was a Vice President and Portfolio Manager for Oppenheimer & Company from 2001 to 2010.

Darold earned a Bachelor of Science in Business Administration and a Master of Business Administration from Shorter College. He also holds a Master of Science in Management from Troy University. Darold is also a CERTIFIED FINANCIAL PLANNER™ \* professional.

\* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination and experience requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP®

Certification Examination. The Examination tests the candidate's ability to apply financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions over a 2-day period. At least 3 years of qualifying full-time work experience are required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Darold has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Darold is not engaged in any other business activities.

***Item 5 - Additional Compensation***

Darold has no other income or compensation to disclose.

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.



**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**William T. Mullally**

**CRD# 1358944**

**of**

**Southport Capital, Inc.**

1870 The Exchange  
Suite 100  
Atlanta, Georgia 30339

(404) 442-2124

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about William Mullally, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about William is available on the SEC's website at  
[www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

William Mullally (year of birth 1960) has served as a Portfolio Manager of Southport Capital since 2012. Prior to joining Southport Capital, he was a Managing Director at JP Morgan Securities from 2008 to 2012. William's previous employment also includes serving as a Senior Vice President and Portfolio Manager at Oppenheimer from 2005 to 2008 as well as various executive roles at Synovus Wealth Management, Bear Stearns and Company and EF Hutton & Company.

William earned a Bachelor of Arts in Economics, *magna cum laude*, from Oglethorpe University. He also holds an Executive Master of Business Administration from the University of Georgia.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, William has no such disciplinary information to report.

***Item 4 - Other Business Activities***

William is the Managing Member, Partner of Glendale Street Enterprises, LLC d/b/a Ozark Shore Rentals, a rent-to-own furniture business in Clinton, Missouri. His responsibilities include financial oversight and assisting with the strategic long-term marketing of the company.

William is also a Registered Representative and Investment Adviser Representative of CNBS, LLC ("CNBS"), an SEC registered broker/dealer and member of FINRA and SIPC. William's responsibilities with CNBS include service on the Board of Directors, business development and client relationship management services. He neither earns transaction based compensation (i.e., commissions) nor manages advisory accounts in this arrangement.

***Item 5 - Additional Compensation***

Other than compensation from his activities described above, William has no other income or compensation to disclose.

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Michael J. Mooney**

**CRD# 4037101**

**of**

**Southport Capital, Inc.**

1870 The Exchange Suite 100 Atlanta, Georgia 30339 (404) 442-2124	228 Franklin Street Mount Airy, North Carolina 27030 (336) 789-6241	9040 Town Center Pwy Suite 107 Lakewood Ranch, Florida 34202 (941) 907-0700	112 Mill Street Greenville, Kentucky 42345 (270) 338-6263
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[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about Mike Mooney, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about Mike is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Michael Mooney (year of birth 1969) has served as a Portfolio Manager at Southport Capital since 2012 and was a third-party solicitor for Southport Capital from 2010 to 2012. Mike has also served as a third-party solicitor for the Horizon Private Equity Funds since 2009. Prior to working with Southport Capital, Michael was a Financial Advisor at Oppenheimer and Company from 2007 to 2010. Mike's previous employment includes working as Director and Financial Advisor at PHH Investments and various roles with Dean Witter Reynolds and CIBC World Markets.

Mike earned a Bachelor of Science from Emory Riddle Aeronautical University.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Mike has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Mike also serves as an independent solicitor for the Horizon Private Equity Funds ("Horizon Funds") and will earn a placement fee when investors place assets with the Horizon Funds. Southport Capital clients are not solicited to invest in the Horizon Funds.

***Item 5 - Additional Compensation***

Other than compensation from his activities described above, Mike has no other income or compensation to disclose.

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**James A. Wilson, CPA (inactive)**

**CRD# 2443448**

**of**

**Southport Capital, Inc.**

One Union Square, Suite 300  
Chattanooga, TN 37402

(423) 265-2700

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about James Wilson, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about James is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

James Wilson (year of birth 1958) has served as Portfolio Manager and Chief Compliance Officer of Southport Capital since 2012. Prior to joining Southport, James was self-employed as a Certified Public Accountant. His prior business experience also includes serving as the Controller of Metal Systems, Inc. from 2005 -2010.

James earned a Bachelor of Science in Accounting from the University of Alabama and is a Certified Public Accountant ("CPA")(inactive)\*.

\* A CPA is a Certified Public Accountant. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license to practice public accounting. While the exam is the same regardless of where it is taken, every state/jurisdiction has its own set of education and experience requirements that individuals must meet. However, most states require at least a bachelor's degree and a concentration in accounting, and at least one year of public

accounting experience under the supervision of or verification by a CPA. Once the designation is attained, the CPA is required to meet continuing education requirements. Inactive CPAs are those that do not perform accounting services for the public and are released from the continuing education requirements. However, the CPA license status is still valid and must be renewed annually.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, James has no such disciplinary information to report.

***Item 4 - Other Business Activities***

James is not engaged in any other business activities.

***Item 5 - Additional Compensation***

James has no other income or compensation to disclose.

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Britt F. Wright**

**CRD# 4275139**

**of**

**Southport Capital, Inc.**

228 Franklin Street  
Mount Airy, North Carolina 27030

(336) 789-6241

[www.southportcapital.com](http://www.southportcapital.com)

March 26, 2015

This brochure supplement provides information about Britt Wright, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about Britt is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Britt Wright (year of birth 1972) has served as a Portfolio Manager at Southport Capital since 2013. Prior to joining Southport Capital, Britt was a Financial Advisor with Edward Jones from 2009 to 2013. Britt's experience also includes serving as Financial Advisor with Bank of America Investment services from 2006 through 2009.

Britt earned a Bachelor of Arts in Political Science from the University of North Carolina at Chapel Hill.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Britt has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Britt is also an insurance agent of Lakewood Ranch Risk Management, LLC, an affiliated company of Southport Capital. As such, he is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Britt and a management fee to Southport Capital on the same pool of assets.

***Item 5 - Additional Compensation***

Other than as stated above, Britt is not engaged in any other investment-related business or occupation, and does not earn compensation for the sale of any other products or services..

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.



**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Penny G. Flippen**

**CRD# 2742088**

**of**

**Southport Capital, Inc.**

228 Franklin Street  
Mount Airy, North Carolina 27030  
(336) 789-6241

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about Penny Flippen, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about Penny is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Penny G. Flippen (year of birth 1962) joined Southport Capital as an Account Executive in 2013. Prior to joining Southport, Penny was a registered representative with H. D. Vest Investment Securities, Inc. from 1997 to 2013. Her previous experience also includes working as an administrator for Horizon Private Equity from 2012 – 2013 and serving as an Office Manager for Investment Management Services from 1996 – 2012.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Penny has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Penny is not engaged in any other business activities.

***Item 5 - Additional Compensation***

Penny has no other income or compensation to disclose.

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Lynne A. Witmer**  
**CRD# 4665577**

**Of**

10940 Wilshire Blvd, Suite 1600  
Los Angeles, California 90024

(423) 265-2700

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about Lynne Witmer, and supplements the Southport Capital, Inc. ("Southport Capital") brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital's brochure, or if you have any questions about the contents of this supplement.

Additional information about Lynne is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 - Educational Background and Business Experience***

Lynne A. Witmer (year of birth 1970) joined Southport Capital as Vice President of Financial Planning in 2014. Prior to joining Southport, Lynne was a Financial Planning Assistant with Integrated Asset Management, LLC from 2013 to 2014. Her previous experience also includes working as a Registered Representative for New York Life Securities, LLC from 2012 – 2013 and serving as a Unit Sales Manager for Bankers Life & Casualty from 2010 to 2012.

Lynne attended Pennsylvania State University from 1994 to 1996.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Lynne has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Lynne is also an insurance agent of Lakewood Ranch Risk Management, LLC, an affiliated company of Southport Capital. As such, she is entitled to receive commissions or other remuneration on the sale of insurance products and will receive separate, yet customary compensation. To protect client interests, Southport Capital's policy is to fully disclose all forms of compensation before any such transaction is executed. Under no circumstance will the client pay both a commission to Lynne and a management fee to Southport Capital on the same pool of assets.

***Item 5 - Additional Compensation***

Other than stated above, Lynne has no other income or compensation to disclose.

***Item 6 - Supervision***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.

Brochure Supplement

Form ADV part 2B

Item 1 – Cover page

**Bryan Craig Wisda**

CRD #4347213

Of

10940 Wilshire Boulevard, Suite 1600  
Los Angeles, California 90024

(423) 265-2700

[www.southportcapital.com](http://www.southportcapital.com)

April 1, 2015

This brochure supplement provides information about Bryan Wisda, and supplements the Southport Capital, Inc. (“Southport Capital”) brochure. You should have received a copy of that brochure. Please contact us at (423) 265-2700 if you did not receive Southport Capital’s brochure, or if you have any questions about the contents of this supplement.

Additional information about Bryan is available on the SEC’s website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

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***Item 2 – Educational Background and Business Experience:***

Bryan Wisda (year of birth 1977) joined Southport Capital in April of 2015. His experience includes President of Summit Wealth Management of Arizona LLC where he is currently dual registered. He worked for UBS Financial Services from 2001-2007 after attending the University of Arizona and Boston University where he completed the certification for Financial Planning CFP.

## Professional Designations Held:

### CERTIFIED FINANCIAL PLANNER

\* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination and experience requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP® Certification Examination. The Examination tests the candidate's ability to apply financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions over a 2-day period. At least 3 years of qualifying full-time work experience are required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process.

### NAPFA-Registered Financial Advisor

The designation "NAPFA- Registered Financial Advisor: is awarded by the National Association of Personal Financial Planners. The current standards to become a NAPFA-Registered Financial Advisor require (1) the employing firm to be a Fee-Only firm inasmuch as the firm and its employees are compensated solely by the client with neither the advisor or any related party receiving compensation that is contingent on the purchase or sale of a financial product, (2) one may not own more than a 2% interest in, or be employed by, a financial services industry firm that receives transaction based compensation, (3) abide by the NAPFA Code of Ethics, Standards of Membership and Affiliation, and Bylaws, (4) prompt disclosure of all material facts, (5) have a bachelor's degree in any discipline, (\*6) be certified as either a CERTIFIED FINANCIAL PLANNER or CPA/PFS, and (7) complete a peer review of a financial planning engagement. For renewal certification of the NAPFA-Registered Financial Advisor designation the National Association of Personal Financial Planners requires continued adherence to the NAPFA Code of Ethics, Standards of Membership and Affiliation, and Bylaws and complete 60 hours of continuing education every two years. These continuing education requirements include a minimum of 5 credit hours in each of the following core areas: insurance and risk management, investments, income tax planning, retirement planning and employee benefits, estate planning and communication & counseling. Additionally 2 credit hours are required in ethics.

### ***Item 3 – Disciplinary Information:***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an advisor; however, Bryan has no such disciplinary information to report.

***Item 4 – Other Business Activities:***

Bryan is also engaged in Summit Wealth Management & Family Offices, Inc. where he serves as the manager of Summit Wealth Management of Arizona LLC. He will remain dual registered with this firm until assets have been transferred to Southport Capital. In no instance will a client be billed twice on any pool of assets during this transfer period.

***Item 5 - Additional Compensation:***

Bryan does not earn compensation for the sale of any other products or services.

***Item 6 – Supervision.***

As President, Clay Parker is responsible for providing compliance oversight to the staff. He also participates as a team member in the investment and trading processes, and may be contacted at (423) 265-2700.