

## Item 1 - Cover Page

### William M. Courson

Lancaster Pollard Investment Advisory Group  
65 East State Street, 16<sup>th</sup> Floor  
Columbus, OH 43215  
(614) 224-8800  
www.chiefinvestmentofficer.com  
March 19, 2015

**This Brochure Supplement provides information about William M. Courson that supplements Lancaster Pollard Investment Advisory Group's Brochure. You should have received a copy of that Brochure. Please contact Timothy D. Wasson if you did not receive the Brochure or if you have any questions about the contents of this supplement.**

**Additional information about William M. Courson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 - Educational Background and Business Experience

William M. Courson

Year of birth: 1961

Education:

- University of Michigan: B.A. Economics and History, 1983
- University of Chicago: M.B.A., 1991

Business Background:

- President, Lancaster Pollard Investment Advisory Group: since June 2006
- Senior Vice President, Butler, Wick & Co., Inc.: 2003-2006

## Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4 - Other Business Activities

William M. Courson is a co-owner of Kateli Trust, LLC, a real estate investment company.

He is also a registered representative of Lancaster Pollard & Co., LLC, a broker-dealer. Lancaster Pollard Investment Advisory Group is affiliated with Lancaster Pollard & Co., LLC. The two affiliated companies are wholly owned by Lancaster Pollard Holdings, LLC.

## **Item 5 - Additional Compensation**

Lancaster Pollard Investment Advisory Group is a party to a referral agreement among its affiliated companies, Lancaster Pollard & Co., LLC and Lancaster Pollard Mortgage Company, LLC. Employees of the affiliated companies receive an incentive bonus for successful referrals.

For new business referred by the associate from an existing client or a new source, as a direct result of the associate's efforts and contacts, a commission (a percentage of fees earned and received) will be paid. Referral commissions do not affect fees charged to clients.

Lancaster Pollard Investment Advisory Group has no other solicitor relationships.

## **Item 6 - Supervision**

There are several levels of supervision. Compliance with the firm's Code of Ethics, including personal trading and other rules and regulations, are reviewed by the Chief Compliance Officer.

The firm's Portfolio Review Committee, which consists of the President, the investment associate as well as all consultants, reviews client accounts on at least a quarterly basis. The purpose of the review is to check for compliance with investment policies and objectives, regulations and laws, to discuss tactical asset allocation changes and to monitor performance.

William M. Courson is supervised by Thomas R. Green, Chief Executive Officer of Lancaster Pollard Holdings, LLC. Mr. Green's phone number is (614) 224-8800.

## **Item 1 - Cover Page**

### **Adam M. Gough**

Lancaster Pollard Investment Advisory Group  
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**Additional information about Adam M. Gough is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 - Educational Background and Business Experience**

Adam M. Gough

Year of birth: 1990

### **Education:**

- Ohio State University, Masters – Finance, Columbus, OH, 2012-2013
- Wright State University, B.S. Business – Finance, Dayton, OH, 2008-2012
- Chaminade Julianne High School, Dayton, OH, 2004-2008

### **Business Background:**

- Lancaster Pollard: Investment Associate. 2013-Present
- Scottrade: Stock Broker Intern, 2011-2012
- Bob Evans, Server 2007-2013

## **Item 3 - Disciplinary Information**

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## **Item 4 - Other Business Activities**

None

## **Item 5 - Additional Compensation**

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### **Robert Hutchinson**

Lancaster Pollard Investment Advisory Group  
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March 19, 2015

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**Additional information about William M. Courson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 - Educational Background and Business Experience**

Robert Hutchinson

Year of birth: 1967

Education:

- Ohio State University: B.A. Economics, 2002

Business Background:

- Lancaster Pollard: Institutional Investment Consultant: (5/2014 – Current)
- Morgan Stanley: Financial Advisor: (5/2013 - 5/2014)
- Merrill Lynch: Financial Advisor: (6/2011 – 12/2012)
- The Energy Solution Group: Business Development (9/2010 - 4/2011)
- Roslovic Partners: Director of Preconstruction Services: (4/2008 - 4/2009)
- Continental Real Estate: Director of Business Development: (3/2003 – 11/2007)

## **Item 3 - Disciplinary Information**

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## **Item 4 - Other Business Activities**

Robert Hutchinson is a registered representative of Lancaster Pollard & Co., LLC, a broker/dealer. Lancaster Pollard Investment Advisory Group is affiliated with Lancaster Pollard & Co., LLC. The two affiliated companies are wholly owned by Lancaster Pollard Holdings, LLC.

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### **Douglas J. Lukcso**

Lancaster Pollard Investment Advisory Group

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## **Item 2 - Educational Background and Business Experience**

Douglas J. Lukcso

Year of birth: 1970

Education:

- Bowling Green State University: B.A. Business, 1993

Business Background:

- Vice President-Institutional Investment Consultant, Lancaster Pollard Investment Advisory Group: since December 2008
- Managing Director, Trenddynamics Inc.: 2008
- Vice President/Portfolio Manager, NatCity Investments, Inc.: 1998-2007

## **Item 3 - Disciplinary Information**

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### **James L. Ross II**

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## **Item 2 - Educational Background and Business Experience**

James L. Ross II

Year of birth: 1976

Education:

- Denison University: B.A. Economics/History, 1999

Business Background:

- Lancaster Pollard: Institutional Investment Consultant: (7/2013 – Current)
- Morgan Stanley: Financial Advisor: (5/2012 - 7/2013)
- Merrill Lynch: Financial Advisor: (11/2009 - 5/2012)
- Morgan Stanley Smith Barney: Financial Advisor: (9/2008 - 11/2009)
- US Capital Group: Managing Director: (2/2006 - 9/2008)
- Residential Finance: Sales Manager: (10/2003 – 2/2006)

## **Item 3 - Disciplinary Information**

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