

Form ADV Part 2B: Brochure Supplement

Item 1 – Cover Page

E. Richard Baum
Anchin Wealth Management, LLC
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New York, New York 10018
212-840-3456
Date of Supplement: March 2015

This brochure supplement provides information about Richard Baum that supplements the Anchin Wealth Management, LLC (“Anchin”) disclosure brochure. You should have received a copy of that brochure. Please contact Richard Baum at 212-840-3456 if you did not receive Anchin’s brochure or if you have any questions about the contents of this supplement. Additional information about Anchin is available on the SEC’s website at www.adviserinfo.sec.gov.

(Please note that the SEC website does not indicate that Richard Baum is registered as an investment adviser representative of Anchin. Unlike most other states the State of New York does not provide the ability for an individual to register as an investment adviser representative which is the reason for the apparent discrepancy.)

Item 2 – Educational Background and Business Experience

Richard Baum

Born: 1958
CRD #4008669

Post Secondary Educational Background:

- Franklin & Marshall College, Bachelor of Arts: 1979
- Hofstra University School of Law, JD: 1982

Business Background:

- Anchin Wealth Management, Chief Compliance Officer and Investment Adviser Representative, 03/2015 - Present
- Claris Advisors, LLC, Secretary, 10/2012 to Present
- Anchin, Block & Anchin LLP, CPA and Partner, 01/2005 to Present
- Anchin Capital Advisors LLC, Registered Representative, 01/2005 to Present
- Anchin Wealth Management, Chief Compliance Officer, 10/2012 to 12/2013

Item 3 – Disciplinary Information

Richard Baum has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Richard Baum anticipates spending approximately 10% of his time on activities performed through Anchin. He spends the majority of his time on the following other business activities.

Accountant

Richard Baum is a licensed Certified Public Accountant (CPA) which is his primary business activity. He spends approximately 80% of his time as an accountant and consultant with Anchin, Block & Anchin LLP ("ABA"), a CPA firm and the ultimate parent company of Anchin. ABA may recommend Anchin to accounting clients in need of advisory services. Anchin may recommend ABA to advisory clients in need of accounting, tax, estate planning, litigation support and consulting services, all of which creates a conflict of interest. Accounting, tax, estate planning, litigation support and consulting services provided by ABA are separate and distinct from the advisory services of Anchin, and are provided for separate and typical compensation. There are no referral fee arrangements between Anchin and ABA for these recommendations. No Anchin client is obligated to use ABA for any accounting, tax, estate planning, litigation support or consulting services.

Registered Representative of a Broker-Dealer

Richard Baum is a Registered Representative of Anchin Capital Advisors LLC ("ACA"), a broker-dealer. ACA provides merger and acquisition services, acquisition consulting services, and venture capital and private placement financing services and shares in commissions for the sale of variable annuities. As such, it is not anticipated that ACA will act as a broker-dealer for transactions recommended to Anchin's advisory clients. However, if Anchin learns that a client may have a need for the specialized services provided by ACA, Anchin may suggest the use of ACA, and any fees that ACA receives for such services will be entirely separate and distinct from Anchin's advisory fees.

ACA may receive fees from the sale of private placements, merger and acquisition consulting and variable annuity products to clients. Anchin may recommend that clients purchase ACA private placements, participate in merger and acquisition transactions or purchase variable annuities. ACA's fee may create a conflict of interest and create an incentive for Anchin to recommend that clients purchase such products. However, Anchin seeks to provide investment supervisory advice that is in the best interest of its clients and the clients are under no obligation to purchase any recommended product.

Mr. Baum spends less than 5% of his time as an ABA registered representative.

Affiliation with Independent Investment Advisor Firm

Richard Baum is also an officer of Claris Advisors, LLC. Claris Advisors, LLC will receive advisory fees earned by Anchin due to its agreement to assign its receipt of certain investment advisory client fees to Claris Advisors, LLC. Richard Baum is not an investment advisor representative of Claris Advisors, LLC and Anchin clients are not referred to Claris Advisors, LLC.

Mr. Baum spends less than 5% of his time working on his Claris Advisors, LLC responsibilities.

Item 5 – Additional Compensation

Other than his normal salary, Richard Baum does not receive additional compensation in connection to the investment advisory services offered through Anchin,

Item 6 – Supervision

Richard Baum is the Chief Compliance Officer of Anchin. He is responsible for overseeing and enforcing the firm's compliance programs that have been established by the firm. Richard Baum can be contacted at 212-536-6927.

Item 7 – Requirements for State-Registered Advisers

Richard Baum has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.

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