

**Thomas Catino**

Office Phone: 203.388.2690

**Item 1. Cover Sheet**

**Vision Investment Advisors, LLC**

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Stamford, Connecticut 06905  
(203) 388-2700

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This Brochure Supplement provides information about Thomas Catino that supplements the Brochure of Vision Investment Advisors, LLC ("Vision Advisors"). You should have received a copy of that Brochure. Please contact Vision Advisors at 1.877.836.3949 if you did not receive Vision Advisor's Brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas Catino is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. Education and Business Background**

Thomas Catino, born in 1986, holds a Bachelor's degree from Fairfield University in Finance and a minor in Business Law/Ethics/Regulation. Mr. Catino is registered as an Investment Advisor with Vision Investment Advisors and a Client Services Representative with Vision Financial Markets since April of 2014. He is also an Associated Person with High Ridge Futures LLC. Mr. Catino holds FINRA Series 3, 7, 56 and 66 licenses. Prior to Vision, Mr. Catino was an Equity Trader at WTS Proprietary Trading Group.

## **Item 3. Disciplinary Information**

Mr. Catino does not have any disciplinary information to report.

## **Item 4. Other Business Activities**

Mr. Catino is Investment Advisor with Vision Investment Advisors and employed by Vision Financial Markets as a Client Services Representative. He is also an Associated Person with High Ridge Futures LLC.

Vision Financial Markets and High Ridge Futures are affiliated entities of Vision Investment Advisors, all of which are under the common control and ownership of Howard Rothman. Mr. Catino does not receive any commissions from any Vision entity.

Mr. Catino does not perform any sales functions or provide any advice to clients.

## **Item 5. Additional Compensation**

Mr. Catino does not provide any advisory services and therefore is not paid for any advisory services.

## **Item 6. Supervision**

Advisor Representatives ("IARs") hold either a FINRA Series 7 and 66 or a Series 65 and are permitted to give advice to their clients and to discuss specific investments. All IARs are required to read and acknowledge their understanding of applicable compliance procedures. IARs are required to keep files related to their activities, subject to the review of Vision Advisors. E-mail correspondence and fees of Vision Advisors IARs is reviewed on a regular basis. Steven Silver is the Chief Compliance Officer and the Chief Operating Officer for Vision Advisors and is responsible for supervision of all IARs and is located at Vision Advisors Main office at 4 High Ridge Park, Stamford CT, 06905. Mr. Silver can be reached via phone at 203.388.2675 or via e-mail at: [ssilver@visionfinancialmarkets.com](mailto:ssilver@visionfinancialmarkets.com).

## **Item 7. Requirements for State Registered Investment Advisors**

The IAR has no disclosable material facts or events as required to be disclosed by State-Registered Advisers that relates to arbitrations, civil or self-regulatory organization or administrative procedures other than those listed in Item 3. *Disciplinary information* and no bankruptcies.