

**Lloyd G King**

Office Phone: 203.388.2701

**Item 1. Cover Sheet**

**Vision Investment Advisors, LLC**

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Stamford, Connecticut 06905  
(203) 388-2700

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**This Brochure Supplement provides information about Lloyd King that supplements the Brochure of Vision Investment Advisors, LLC (“Vision Advisors”). You should have received a copy of that Brochure. Please contact Vision Advisors at 1.877.836.3949 if you did not receive Vision Advisor’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Lloyd King is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Education and Business Background**

Lloyd King, born in 1945, holds a Bachelor's degree in Marketing. Mr. King has been in the financial industry for more than twenty years. Mr. King has been associated with Vision Advisors since July of 1999 and he is also Senior Vice President for Vision Financial Markets LLC and Vision Brokerage Services, LLC. Mr. King is also the Senior Vice President and a futures broker at High Ridge Futures LLC. Mr. King currently holds FINRA Series 4, 7, 22, 24, 53, 65, and 63. Prior to 1999, Mr. King was a Registered Representative with Fiserv Investor Services, Inc.

## **Item 3. Disciplinary Information**

Mr. King does not have any disciplinary information to report.

## **Item 4. Other Business Activities**

Mr. King is employed by Vision Financial Markets as Senior Vice President, he also performs this role for both Vision Brokerage Services and Vision Investment Advisors. He is also the Senior Vice President and a futures broker at High Ridge Futures LLC.

Vision Financial Markets, Vision Brokerage Services and High Ridge Futures (collectively "Affiliates") are affiliated entities of Vision Advisors, and all of which are under the common control and ownership of Howard Rothman. Affiliates share and provide services to Vision Advisors and its Clients, including certain employees and other associated persons.

## **Item 5. Additional Compensation**

Mr. King does not provide any advisory services and therefore is not paid for any advisory services.

## **Item 6. Supervision**

Advisor Representatives ("IARs") hold either a FINRA Series 7 and 66 or a Series 65 and are permitted to give advice to their clients and to discuss specific investments. All IARs are required to read and acknowledge their understanding of applicable compliance procedures. IARs are required to keep files related to their activities, subject to the review of Vision Advisors. E-mail correspondence and fees of Vision Advisors IARs is reviewed on a regular basis. Steven Silver is the Chief Compliance Officer and the Chief Operating Officer for Vision Advisors and is responsible for supervision of all IARs and is located at Vision Advisors Main office at 4 High Ridge Park, Stamford CT, 06905. Mr. Silver can be reached via phone at 203.388.2675 or via e-mail at: [ssilver@visionfinancialmarkets.com](mailto:ssilver@visionfinancialmarkets.com).

## **Item 7. Requirements for State Registered Investment Advisors**

The IAR has no disclosable material facts or events as required to be disclosed by State-Registered Advisers that relates to arbitrations, civil or self-regulatory organization or administrative procedures other than those listed in Item 3. *Disciplinary information* and no bankruptcies.