

**Stephen A. Conte CRPC®**

Office Address: 7 Dix Hills Rd  
Huntington NY 11743  
Office Phone: 631-961-8800  
Toll Free: 877-877-7761  
Fax: 877-516-0436

**Item 1. Cover Page**

**Vision Investment Advisors, LLC**

4 High Ridge Park, Suite 100  
Stamford, Connecticut 06905  
(203) 388-2700  
3.12. 2015

**This Brochure Supplement provides information about Stephen Conte that supplements the Brochure of Vision Investment Advisors, LLC ("Vision Advisors"). You should have received a copy of that Brochure. Please contact Vision Advisors at 1-877-836-3949 if you did not receive Vision Advisor's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Stephen Conte is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2. Education and Business Background**

Stephen Conte born 1973 holds a Bachelor's Degree in Finance. Mr. Conte currently works as a Registered Representative with Vision Brokerage Services and as an Investment advisor with Vision Investment Advisors. He is also a Futures Broker with High Ridge Futures LLC. Prior to that, Mr. Conte was an Associated Person ("AP") of Park Avenue Asset Management from December 2010 to December 2013.

Mr. Conte also holds a professional designation as a Chartered Retirement Planning Counselor (CRPC) awarded to him by the College for Financial Planning. In order to achieve this designation he had to take an exam to demonstrate his understanding on the topic. Conte holds FINRA Series 3, 7, 31, 30, 66, 65 licenses. He also holds NYS Life and Health licenses.

## **Item 3. Disciplinary Information**

Mr. Conte declared bankruptcy in 2011. This bankruptcy has since been discharged.

## **Item 4. Other Business Activities**

Stephen Conte is a Series 65 registered Investment Advisor Representative with Vision Investment Advisors and he holds his Series 7 and practices as a Registered Representative with Vision Brokerage Services. He is also a Futures Broker with High Ridge Futures LLC and holds a Series 3 futures license.

Vision Financial Markets, Vision Brokerage Services and High Ridge Futures (collectively "Affiliates") are affiliated entities of Vision Advisors, and all of which are under the common control and ownership of Howard Rothman. Affiliates share and provide services to Vision Advisors and its Clients, including certain employees and other associated persons.

Stephen Conte is the principal owner of SL Asset Management Inc. an insurance company which provides clients with long term care disability insurance and term life insurance.

Vision Advisor seeks to avoid severe conflicts between Affiliates and associated persons, even if that avoidance means foregoing an otherwise attractive business opportunity between them. Essential to managing conflicts between its Affiliates, Vision Advisor makes this disclosure to clients, in addition to taking into consideration the different needs of both retail and institutional clients as is necessary and which occasionally may be reviewed. Mr. Conte does receive commission for his business with SL Asset management, Vision Brokerage Services and High Ridge Futures.

## **Item 5. Additional Compensation**

Mr. Conte does not receive any additional compensation or economic benefits from providing advisory services.

## **Item 6. Supervision**

Advisor Representatives ("IARs") hold either a FINRA Series 7 and 66 or a Series 65 and are permitted to give advice to their clients and to discuss specific investments. All IARs are required to read and acknowledge their understanding of applicable compliance procedures. IARs are required to keep files related to their activities, subject to the review of Vision Advisors. E-mail correspondence and fees of Vision Advisors IARs is reviewed on a regular basis. Steven Silver is the Chief Compliance Officer and the Chief Operating Officer for Vision Advisors. Mr. Silver can be reached via phone at 203.388.2675 or via e-mail at: [ssilver@visionfinancialmarkets.com](mailto:ssilver@visionfinancialmarkets.com). Mr. Conte's activity is supervised by Howard Rothman, who can be reached by email at [compliance@visionfinancialmarkets.com](mailto:compliance@visionfinancialmarkets.com) both are located at Vision Advisors Main office at 4 High Ridge Park, Stamford CT, 06905.

#### **Item 7. Requirements for State Registered Investment Advisors**

The IAR has no disclosable material facts or events as required to be disclosed by State-Registered Advisers that relates to arbitrations, civil or self-regulatory organization or administrative procedures other than those listed in Item 3. *Disciplinary information* and no bankruptcies.