



NORTHCOAST ASSET MANAGEMENT LLC
File No. 801-57294

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Item 1 – Cover Page

September 2015

This brochure provides information about the qualifications and business practices of NorthCoast Asset Management LLC. If you have any questions about the contents of this brochure, please contact us at 203-532-7000. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

Additional information about NorthCoast Asset Management LLC also is available on the SEC’s website at www.adviserinfo.sec.gov.

You should be aware that NorthCoast Asset management is registered as a Registered Investment Adviser with the SEC. Registration does not imply that an investment adviser has reached a certain level of skill or training.

Item 2 – Material Changes

This edition reflects the following changes:

NorthCoast has moved offices effective August 31, 2015. The new address is One Greenwich Office Park, Greenwich, CT 06831

Item 4 – Investment Advisory Business: The addition of CAN SLIM Global, CAN SLIM Shariah, CAN SLIM Christian, CAN SLIM Tax-Managed, Zero Beta, Aggressive Income, Core Fixed Income

Item 17 – Voting Client Securities: NorthCoast has engaged the services of Broadridge Global Securities Class Actions to handle all class action litigation on behalf of its clients.

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Item 4 – Investment Advisory Business

Founded in 1988, NorthCoast is an SEC registered investment adviser. The Firm's principal owners, Daniel J. Kraninger, Paul E. Dean, Patrick Jamin, John Wildern and Mark Dean, are full-time employees.

As of August 31, 2015 NorthCoast's assets under management were approximately \$2,800,000,000 of which all were assets managed on a discretionary basis and none were assets managed on a non-discretionary basis.

Advisory services for individually managed accounts

NorthCoast Asset Management specializes in quantitative analysis and systematic investing. In January 2006, NorthCoast and Investor's Business Daily Inc. (IBD[®]) signed an exclusive licensing agreement to provide educated investors with a private investment service dedicated to CAN SLIM[®] growth stock investing. In that year, we developed and first offered the CAN SLIM[®] Investment Program.

CAN SLIM[®] Investment Program

The CAN SLIM[®] investment program is a tactical, long-term growth strategy focused on capital appreciation. The strategy invests in leading growth stocks as the market rises and scales to cash to preserve gains during market declines. Positions are managed (purchased and liquidated) through a combination of CAN SLIM[®] guidelines and a proprietary stock scoring system designed to build a comprehensive growth portfolio.

Growth & Income (CAN SLIM[®])

Growth & Income is a long-term growth strategy focused on capital appreciation. The strategy combines our CAN SLIM[®] Investment Program (75%) with our Core Fixed Income ETF strategy (25%) in your account. CAN SLIM[®] invests in leading growth stocks as the market rises and scales to cash to preserve gains during market declines. 25% of the account is allocated to a Fixed-Income ETF Ladder for capital preservation.

Balanced (CAN SLIM[®])

Balanced is a long-term growth strategy with a balanced approach to capital appreciation and preservation. The strategy combines our CAN SLIM[®] Investment Program (50%) with our Core Fixed Income ETF strategy (50%) in your account. CAN SLIM[®] invests in leading growth stocks as the market rises and scales to cash to preserve gains during market declines. 50% of the account is allocated to a Fixed-Income ETF Ladder for capital preservation.

CAN SLIM[®] International

The CAN SLIM[®] International investment program is a tactical long-term international growth strategy focused on capital appreciation. The strategy invests in leading international growth stocks ([American Depositary Receipts – ADRs](#)) as the market rises and scales to cash to preserve gains during market declines. Positions are managed (purchased and liquidated) through a combination of CAN SLIM[®] guidelines and a proprietary stock scoring system designed to build a comprehensive international growth portfolio.

CAN SLIM® Global

The CAN SLIM® Global investment program is a tactical long-term global growth strategy focused on capital appreciation. The strategy invests in leading U.S. & international growth stocks ([American Depositary Receipts – ADRs](#)) as the market rises and scales to cash to preserve gains during market declines. Positions are managed (purchased and liquidated) through a combination of CAN SLIM® guidelines and a proprietary stock scoring system designed to build a comprehensive global growth portfolio.

CAN SLIM® Shariah

CAN SLIM® Shariah is a long-term growth strategy focused on capital appreciation with a mandate to restrict specific holdings based on religious considerations (Shariah). The strategy adheres to a flexible investment mandate that allows for tactical allocation shifts that range between 100% invested in stocks all the way to 100% invested in cash. Historically, this tactical approach has reduced portfolio volatility and helped to protect investment capital from widespread losses in extreme bear markets.

CAN SLIM® Christian

CAN SLIM® Christian is a long-term growth strategy focused on capital appreciation with a mandate to restrict specific holdings based on religious considerations (Christian). The strategy adheres to a flexible investment mandate that allows for tactical allocation shifts that range between 100% invested in stocks all the way to 100% invested in cash. Historically, this tactical approach has reduced portfolio volatility and helped to protect investment capital from widespread losses in extreme bear markets.

CAN SLIM® Tax-Managed

CAN SLIM® Tax-Managed is a long-term growth strategy focused on capital appreciation with a secondary objective of reducing tax consequences. The strategy adheres to a flexible investment mandate that allows for tactical allocation shifts that range between 100% invested in stocks all the way to 100% invested in cash. Historically, this tactical approach has reduced portfolio volatility and helped to protect investment capital from widespread losses in extreme bear markets.

Legends Value

The Legends Value investment program is a strategic long-term value focused on capital appreciation. The strategy aims to maintain a full investment in equity securities. The program is derived from the investment philosophies of three of the top professional money managers in history, Benjamin Graham, John Neff, and Joel Greenblatt and coupled with a proprietary stock scoring system designed to build a comprehensive value portfolio.

Vista

Built from the success of the CAN SLIM® Investment Program and the demand for a fully-invested growth strategy, NorthCoast introduces Vista. Utilizing NorthCoast's proprietary scoring system, Vista seeks stocks with "growth-at-a-reasonable-price", a style known as GARP. The strategy is grounded in its long-term growth objective and remains fully invested in equities throughout market cycles. The program actively searches for stocks that show consistent earnings growth above broad market levels while exhibiting attractive valuations and entry points.

United Portfolio

The United Portfolio is a 50/50 combination of the CAN SLIM[®] investment program and the Legends Value investment program designed to build a comprehensive core stock portfolio.

NorthCoast Growth

NorthCoast Growth is a tactical, equity, growth portfolio launched in 2012. When fully invested, the portfolio will contain 25-35 stocks from a broad universe and has the ability to hold 100% when the model's investment outlook turns negative.

Tactical Income

Tactical Income is a fully tactical investment strategy designed to produce income while managing principal risk. The strategy invests in a diversified basket of global iShares[®] ETFs across the income spectrum using U.S. Bonds, International Bonds, Corporate Bonds, Mortgages, and U.S. and International Dividend Equities. The strategy seeks a target yield of inflation +2-3%, protection against rising interest rates & inflation with real assets, potential for appreciation through growth assets, and downside protection through a tactical allocation to yield sources with diversification benefits.

Diversified Core

Diversified Core is a moderately tactical investment strategy designed to produce a balanced approach to growth and income. The strategy invests in a diversified basket of global iShares[®] ETFs (global equities, global bonds, real estate, alternative investments, and cash equivalents) with a balanced objective of capital appreciation and income generation.

Diversified Growth

Diversified Growth is a moderately tactical investment strategy designed to produce a long-term growth portfolio. The strategy invests in a diversified basket of global iShares[®] ETFs (global equities, global bonds, real estate, alternative investments, and cash equivalents) with a primary objective of capital appreciation and secondary objective of income generation.

Tactical Growth

Tactical Growth is a fully tactical investment strategy designed to generate long-term growth. The strategy invests in a diversified basket of global iShares[®] ETFs across the asset class spectrum using global equities, global bonds, real estate, alternative investments, and cash equivalents. The primary objective is long-term capital appreciation with secondary objective of capital preservation.

Zero Beta

Zero Beta is a separate account strategy designed to produce long-term capital appreciation through the active management of securities that are typically not correlated to the general equity market. The strategy consists of positions in Exchange Traded Funds (ETFs), mutual funds, and individual securities that collectively aim to produce a positive return stream with zero, or near zero, Beta to the equity market.

Aggressive Income

Aggressive Income is a separate account strategy designed for long-term income generation through the selection & management of securities that are typically not correlated to the general bond market. The strategy invests in a diversified basket of global securities across the income universe. Aggressive Income first analyzes market risk through intense daily research of an extensive set of data points that drive market direction, and then allocates across complementary securities with the best risk-adjusted yield potential given those market conditions.

Core Fixed Income

Core Fixed Income is a long-term income generation investment strategy. The strategy invests in a diversified basket of global iShares ETFs across the income spectrum using US Bonds, Global Bonds, Corporate Bonds, Mortgages, and other asset classes. The primary objective is produce long-term returns above the bond aggregate market.

Advisory services for pooled accounts

Long/Short Equities LLC

Long/Short Equities LLC is a private fund which pursues an equity long-short investment strategy. Long/Short Equities invests primarily in the common stocks of U.S. companies of any sector and any size market capitalization, ranging from larger, well-established companies to lesser-established, small- and micro- capitalization companies. Individual position sizes will reflect the Investment Team's assessment of the return-generating potential of the stocks and the risk they contribute to the total portfolio.

This fund is only made available to accredited investors who are also qualified clients.

CAN SLIM[®] Select Growth Fund (CANGX)

On September 2, 2008, the Board of Directors of Professionally Managed Portfolios (a trust organized by US Bank to oversee the operations of several public mutual funds) appointed NorthCoast Asset Management as the new investment advisor to the Fund. The Fund seeks long-term capital appreciation and will invest primarily in common stocks of all sizes exhibiting accelerated earnings growth, market leadership, and other characteristics consistent with the CAN SLIM[®] Select List.

The Fund will attempt to replicate the CAN SLIM[®] Select list. The list, published by Investor's Business Daily[®] on its website, www.investors.com, can be as much as 100% invested in rising markets, as little as 20% invested in declining markets, and up to 100% cash in extreme circumstances. In rising markets the list will comprise nearly 100 stocks with each stock representing approximately 1% of fund assets. In declining markets, the list will shrink as stocks are sold to reduce market exposure.

The CANGX is a registered Investment Company under the Investment Company Act of 1940.

Item 5 – Fees and Compensation

Compensation and fees for individually managed accounts

Our compensation for stock-based, separately managed accounts is calculated as a tiered percentage of net assets under management, accrued and payable quarterly as follows:

	Individual Equity Strategies				ETF Strategies				Hybrid Strategies	
	CAN SLIM® / Growth & Income / Balanced / CAN SLIM® International / CAN SLIM® Global / NorthCoast Growth / Christian / Shariah / Tax-Managed	Legends Value	United Portfolio	Vista	Core Fixed Income	Tactical Income	Div. Core / Div. Growth	Tactical Growth	Aggressive Income	Zero Beta
0 - \$99,999	1.65%	1.35%	1.50%	1.35%	0.50%	0.65%	0.75%	1.00%	N/A	N/A
\$100,000 - \$249,999	1.50%	1.20%	1.35%	1.20%	0.50%	0.65%	0.75%	1.00%	N/A	N/A
\$250,000 - \$499,999	1.40%	1.10%	1.20%	1.10%	0.50%	0.65%	0.75%	1.00%	0.75%	1.00%
500,000 - \$999,999	1.30%	1.00%	1.10%	1.00%	0.50%	0.65%	0.75%	1.00%	0.75%	1.00%
\$1,000,000 - \$1,999,999	1.20%	0.90%	1.00%	0.90%	0.50%	0.65%	0.75%	1.00%	0.75%	1.00%
\$2,000,000 +	1.00%	0.70%	0.80%	0.70%	0.50%	0.65%	0.75%	1.00%	0.75%	1.00%

Compensation and fees for pooled accounts

- 1) CAN SLIM® Select Growth Fund - 1.39% expense ratio (capped) of the average daily net assets.
- 2) NorthCoast Long/Short Equities LLC - monthly management fee equal to 1/12th of one percent (approximately 1% annually) of the Net Asset Value of the Class A Interests as of the last business day of each calendar month, plus a quarterly incentive fee of 20% of net new profits.

General Compensation Provisions

Generally, NorthCoast charges management fees on a quarterly basis as provided in the investment advisory agreement. The fees are based on the net assets in the client's account as of the last business day of each calendar quarter. For purposes of calculating each such management fee, the net assets in a client's account are determined before reduction for the management fee and the incentive fee (if any, see Item 6, below) accrued or payable as of the calculation date and before any additions or withdrawals.

If a client withdraws all or part of its funds under management, or the agreement with us is terminated on any other date than the last business day of a calendar month or quarter, that client will be charged a management fee which will be prorated. The proration will be based on (a) the number of business days in the calendar month or quarter through the date of termination to (b) the total number of business days in the calendar month or quarter.

NorthCoast may negotiate fees which could be lower than those detailed above.

NorthCoast also manages accounts that are part of “wrap fee” programs (where trade commissions and broker’s management fees are a flat annual rate) sponsored by brokerage firms with whom NorthCoast have selling agreements or dual contracts. NorthCoast may opt to negotiate lower fees in order to participate in these programs. NorthCoast does not sponsor its own wrap fee program. Additionally, pursuant to NorthCoast’s duty to see best execution, it may place orders with brokers or dealers other than the Program Sponsor (“trade away”); in these instances, brokers or dealers will impose mark-ups/mark-downs on those orders, which are charged to the client’s account within the execution price. These are not included in the wrap fees paid by the client to the Program Sponsor.

NorthCoast bills largely on an “in arrears” basis. However, several brokerage firms offering our products bill on a forward basis. They are UBS, Sterne Agee, Raymond James, Oppenheimer, and Envestnet.

NorthCoast participates in the Fidelity Wealth Advisor Solutions Program (the “WAS Program”), through which NorthCoast receives referrals from Strategic Advisers, Inc. (“SAI”), a registered investment adviser and subsidiary of FMR LLC, the parent company of Fidelity Investments. NorthCoast is independent and not affiliated with SAI or FMR LLC. SAI does not supervise or control NorthCoast, and SAI has no responsibility or oversight for NorthCoast's provision of investment management or other advisory services.

Under the WAS Program, SAI acts as a solicitor for NorthCoast, and NorthCoast pays referral fees to SAI for each referral received based on NorthCoast's assets under management attributable to each client referred by SAI or members of each client's household. The WAS Program is designed to help investors find an independent investment advisor, and any referral from SAI to NorthCoast does not constitute a recommendation or endorsement by SAI of NorthCoast's particular investment management services or strategies. More specifically, NorthCoast pays the following amounts to SAI for referrals: on a quarterly basis, an annualized percentage of 0.10% on fixed income assets, plus an annualized percentage of 0.25% on all other assets, based on the composition of all assets held at Fidelity. These referral fees are paid by NorthCoast and not the client. There is a potential conflict of interest in this structure, in that NorthCoast's obligation to Fidelity can be reduced by holding a greater proportion of "Fixed Income Assets" versus other assets, which may, or may not be detrimental to clients' overall profitability.

To receive referrals from the WAS Program, NorthCoast must meet certain minimum participation criteria, but may have been selected for participation in the WAS Program as a result of its other business relationships with SAI and its affiliates, including Fidelity Brokerage Services, LLC (“FBS”). As a result of its participation in the WAS Program, NorthCoast may have a potential conflict of interest with respect to its decision to use certain affiliates of SAI, including FBS, for execution, custody and clearing for certain client accounts, and may have a potential incentive to suggest the use of FBS and its affiliates to its advisory clients, whether or not those clients were referred to NorthCoast as part of the WAS Program. Under an agreement with SAI, NorthCoast has agreed that it will not charge clients more than the standard range of advisory fees disclosed in its Form ADV 2A Brochure to cover solicitation fees paid to SAI as part of the WAS Program. Pursuant to these arrangements, NorthCoast has agreed not to solicit clients to transfer their brokerage accounts from affiliates of SAI or establish brokerage accounts at other custodians for referred clients other than when NorthCoast's fiduciary duties would so require; therefore, NorthCoast may have an incentive to suggest that referred clients and their household members maintain custody of their accounts with affiliates of SAI. However, participation in the WAS Program does not limit NorthCoast's duty to select brokers on the basis of best execution.

NorthCoast also has agreements with other solicitors, who have our permission to present our programs to potential investors who might not otherwise know about our services, in return for a portion of our management fee. In all cases NorthCoast has a solicitation agreement with such individuals and requires that they provide any prospect with our ADV Parts 2A, and obtain a signed acknowledgement that the prospect is aware of the fee sharing arrangement.

Item 6 - Performance-Based Fees and Side-By-Side Management

NorthCoast receives an incentive fee from Class A members of the Long/Short Equities LLC based on net new profits, charged quarterly in arrears. A full explanation of the incentive fee calculation methods is in the NorthCoast Long/Short Equities confidential private placement memorandum.

If a member's account experiences a net loss after an incentive fee is charged, NorthCoast will retain all incentive fees previously paid but will not be entitled to receive a new incentive fee until additional Net New Profits are achieved in the member's account.

If a member withdraws all or part of its assets under management as of any date other than the last business day of a calendar quarter, that member will be charged an incentive fee (if applicable) as if the withdrawal took place at the end of the quarter.

The incentive fee arrangement may create an incentive for NorthCoast to make investments that are more speculative or riskier than would be the case under a different fee arrangement. Managing client accounts that are charged performance-based compensation and others that are not may give rise to a potential conflict of interest, as NorthCoast may have an incentive to favor the accounts of clients for which it receives performance-based compensation over accounts for which it receives only asset-based fee or other non-performance-based compensation.

Item 7 – Types of Clients

NorthCoast provides advisory services to individuals, investment companies, pension and profit-sharing plans, trusts, estates, charitable organizations, corporations, limited liability companies, general partnerships, limited partnerships, and offshore corporations organized to operate as hedge funds.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

NorthCoast Asset Management specializes in quantitative analysis and systematic investing. In January 2006, NorthCoast and Investor's Business Daily Inc. (IBD®) signed an exclusive licensing agreement to provide educated investors with a private investment service dedicated to CAN SLIM® growth stock investing. As a result of this contract NorthCoast thoroughly analyzes IBD®'s rankings and ratings of securities. Our focus was to develop a reliable quantitative approach that would embrace the IBD® methodology in as many aspects as possible. Primarily, NorthCoast mathematically derives which of IBD®'s stock rankings and ratings may lead to future performance then builds portfolios based on these factors to bring the benefits to individual investors.

As background, William J. O'Neil studied the traits of the most explosive stocks over the past 50 years, and uncovered 7 characteristics commonly found in the big winners. He created a System called "CAN SLIM"® for these characteristics which was published in his best-selling investment book, How to Make Money in Stocks: A Winning System in Good Times and Bad. A description of the CAN SLIM characteristics and founding guidelines as well as NorthCoast's enhancements is displayed in the table below:

Characteristics	Founding Guidelines	NorthCoast Enhancements
Current Earnings Growth	Current earnings up 20% or more	Sustainable, reasonable, and industry-adjusted
Annual Earnings Growth	Annual earnings increasing 20% or more	Sustainable, reasonable, and industry-adjusted
New Product or Service	Product, service, or management change provides a catalyst	Analyst estimates of forward earnings impact and relative strength
Supply/Demand of Shares	Shares outstanding should be large and trading volume should be big as the stock price increases	Short interest indicators and share buybacks
Leading Industry	Buy the leading stock in a leading industry	Historical price, profitability and management trends
Institutional Sponsorship	Institutional ownership by mutual funds in recent quarters should be increasing	Sell side analyst recommendations and estimates
Market Direction	The market should be in a confirmed up trend since three out of four stocks follow the market's overall trend	Market technical's complemented with valuation, sentiment, and macroeconomic indicators

These portfolios are professionally managed daily in clients' individual accounts. NorthCoast does not commingle assets with others (except as noted in pooled offerings), and thus clients gain advantages that are typical of wealthy investors — transparency, oversight, and personal tax basis.

The CAN SLIM® Investment Program, Growth and Income, Balanced, CAN SLIM® International, United, NorthCoast Growth and The CAN SLIM® Select Growth Fund invest in common stocks. In an effort to control risk, NorthCoast's programs also determine the amount of cash to be held in each portfolio. During down or declining markets, fewer stocks are held in the portfolio; as markets rise holdings are increased. Uninvested cash is placed with custodians which pay prevailing money market rates to each account. This strategy has demonstrated its value to NorthCoast clients in many declining market situations.

NorthCoast Tactical Growth, Tactical Income, Diversified Core and Diversified Growth invest in Exchange Traded Funds (ETF's).

NorthCoast conducts extensive research, paying particular attention to past bear markets, in developing our investment programs. However, NorthCoast can give no assurance that a particular client's account will achieve superior performance relative to other stock portfolios or indices.

NorthCoast uses commercially available data sources for price information, including IBD®, Factset, VectorVest, Zacks and Standard & Poor's data services.

NorthCoast has developed and implemented trading programs which were built using the combined experience and training of its employees. No single employee has the sole responsibility for determining securities investment advice.

NorthCoast requires that those employees involved in determining or giving investment advice to clients are knowledgeable and experienced in the use of these systems. Please see Part 2B Supplement.

While research is thorough, clients must be prepared for the risk of loss. All investments in securities risk the loss of capital. In addition, we identify four principal types of risk:

- 1) Risk that the stock market declines or the price of individual securities decline while the true long term value of the company may be unchanged or possibly even higher;
- 2) Faulty analysis;
- 3) External events negatively affecting the value of a specific company; and
- 4) Fraud, in which case no amount of analysis could have been sufficient.

Risks Specific to Pooled Investment Vehicles

There are specific risks involved in our management of pooled investment vehicles, which are described in detail in the confidential private placement memoranda or in the mutual fund prospectus respectively. In general, pooled investment vehicles face all of the risks that apply to individually managed accounts, with some important differences.

Leverage may be used in the management of pooled investment vehicles. Leverage increases the gains from profitable transactions, but amplifies the impact of losses. NorthCoast may invest in options in its pooled investment vehicles. Additionally, NorthCoast may also acquire substantial short positions which may be highly profitable, but may also expose the vehicle to substantial losses.

NorthCoast may pursue a focused investment strategy in our management of pooled investment vehicles that targets certain securities in particular market sectors. While this strategy may be highly profitable, the lack of diversification may magnify losses from erroneous investment decisions and expose the pooled investment vehicles to losses from market declines in the sectors in which one or more of the pooled investment vehicles may have substantial exposure.

NorthCoast engages in hedging activities in its pooled investment vehicles to reduce the risk of loss from these management strategies. Hedging strategies are unlikely to avoid losses entirely and under certain circumstances, the securities purchased as a hedge may also experience losses.

Item 9 – Disciplinary Information

There have been no disciplinary actions or events regarding NorthCoast or any of its employees.

Item 10 – Other Financial Industry Activities and Affiliations

NorthCoast Asset Management LLC is the managing member of NorthCoast Long/Short Equities LLC, which invests in stocks, bonds, Exchange Traded Funds, and any other exchange-traded instruments the managing member believes are appropriate to its proprietary Long/Short Equities program.

Item 11 – Code of Ethics, Participation in Client Transactions and Personal Trading

NorthCoast Asset Management has adopted a Code of Ethics (the “Code”) pursuant to SEC Rule 204A-1, which requires each employee to comply with all applicable federal and state laws and regulations. The Code makes clear that business will be conducted consistent with the highest standards of commercial honor and just and equitable principles of trade. The trust of NorthCoast customers and the firm's reputation are of paramount importance. To that end, the Code requires each employee to avoid any action that results in a conflict of interest with the firm and its clients, prohibits outside business activities without the consent of the Chief Compliance Officer, prohibits trading on the basis of material non-public information and prohibits accepting extravagant gifts or entertainment from the firm's business relationships. Employees are required to report all personal securities transactions to the firm, are not permitted to participate in initial public offerings, and must obtain the approval of the Chief Compliance Officer to participate in any private offering.

The Code must be read, acknowledged and agreed to annually by every employee. The objective of the Code is to subject all business dealings and securities transactions undertaken by personnel, whether for clients or for personal purposes, to the highest ethical standards. NorthCoast personnel are expected to use fundamental principles of openness, integrity, honesty and trust. The Code requires that personnel protect the confidentiality of the information about the firm and its clients, act appropriately as a fiduciary toward clients, avoid any illegal or unethical activities, avoid conflicts of interest and comply with the personal trading policy, which is part of the Code.

The firm provides its Code of Ethics to any client or prospective client upon request. NorthCoast may solicit investments in the pooled investment vehicles from clients holding individually managed accounts. This practice creates a conflict of interest because NorthCoast obtains incentive fees from investments in pooled investment vehicles and it is more difficult to withdraw investments from pooled investment vehicles than it is from managed accounts. This conflict is managed through full disclosure to clients prior to their making an investment. NorthCoast does not initiate investments in pooled investment vehicles from client accounts that are managed on a discretionary basis.

Several employees and principals hold various levels of interests in both the CAN SLIM® Select Growth Fund and the NorthCoast Long/Short Equities LLC. Because of the long-term nature of these investments NorthCoast does not view their participation as being in conflict with its clients' best interests.

Item 12 – Brokerage Practices

NorthCoast does not select broker-dealers for client transactions in individually managed accounts. It does, however, recommend broker-dealers to clients who must approve our recommendation and the commission rates to be paid, which will vary from broker to broker.

With few exceptions clients, including the pooled investment vehicles, use the brokerage services of Fidelity Brokerage Services, Morgan Stanley Smith Barney, Trust Company of America, Oppenheimer, Wells Fargo, Sterne Agee, Stifel-Nicolaus & Company, Inc, UBS, RBC Wealth Management, Raymond James, TD Ameritrade, BNY, Pershing, BTIG, Envestnet and Charles Schwab. BTIG also provides custodial services for NorthCoast Long/Short Equities LLC. Per NorthCoast policy, no commissions are used to pay for research or any other services.

NorthCoast selects brokers for potential recommendation by considering the ability of a broker to provide trading platforms relevant to accounts they will custody, the broker's client service ability, and the reasonableness of the fees it charges. Reasonableness of fees is determined by comparing fees charged by a broker to market providers for similar services.

NorthCoast does not publish research reports or sell newsletters nor does it use the term "financial planning", however, it does work with clients' accountants and attorneys when appropriate to discuss estate planning, generation skipping and tax efficiency. NorthCoast does not engage in other business activities.

NorthCoast has no soft dollar or research arrangements. Our goal is to obtain best execution for each client transaction. While quality of execution at the best price is an important determinant, best execution does not necessarily mean lowest/highest price (whether buying/selling) and it is not the sole consideration. NorthCoast considers a number of factors and may opt to trade through broker/dealers that execute with mark-ups/mark-downs that are reflected in the buy/sell price within the client account.

Item 13 – Review of Accounts

NorthCoast receives periodic reports and monthly summaries from the various custodians. Daniel Kraninger, President, and Megan Hall, Senior Vice President, Operations, are responsible for review of client accounts. By use of internal exception reports, designated firm persons review all accounts at various intervals and more frequently if (1) new transactions have been entered into for the account, (2) any discrepancy appears in daily reconciliation of the account's activities, or (3) there is a client inquiry. Daily reconciliations are performed by the operations personnel and results are organized to isolate any individual account problems that may arise for review by Ms. Hall or Mr. Kraninger.

NorthCoast may provide a monthly or quarterly (depending on specific client agreement) report showing the percentage performance of the account. Also, a monthly or quarterly client report shows the net asset value at the end of the period and advisory fees charged for the period.

Item 14 – Client Referrals and Other Compensation

NorthCoast has written agreements with certain registered broker-dealers, registered investment advisers and other persons to compensate them for soliciting clients. All such solicited clients acknowledge any fee-sharing arrangement as well as receipt of the NorthCoast ADV Part 2 when executing an Investment Advisory Agreement.

Item 15 – Custody

NorthCoast has custody of client funds in relation to NorthCoast Long/Short Equities members. Incoming subscriptions and outgoing redemption payments are deposited in an account in the name of Long/Short Equities, with Patriot National Bank. An incoming member will send its capital contribution to the account at Patriot National Bank which will be directed to the Prime Broker for trading purposes. NorthCoast does not have custody of client securities.

NorthCoast does not have custody of non-pooled client assets. Each client's custodian sends account statements at least quarterly (if inactive) and monthly if there has been activity. These statements are sent directly to our clients. Some elect to receive them in paperless form through the internet. NorthCoast urges clients to review these statements regularly to insure accuracy. For purposes of review and client discussions NorthCoast may prepare account summaries from internal records that show holdings and unrealized gains and losses.

Item 16 – Investment Discretion

Virtually all of client assets are managed on a discretionary basis. Clients opening discretionary accounts are required to execute an investment advisory agreement that, among other things, grants NorthCoast the authority to manage their assets on a discretionary basis. Clients must establish their own custodial arrangements if they do not wish to use the custodian NorthCoast suggests and provide the custodian with a letter granting NorthCoast the authority to manage their assets. NorthCoast clients can ask to use a broker other than one suggested by NorthCoast by opening a brokerage account with the broker of their choice and providing NorthCoast with written instructions that includes account information. Clients wishing to restrict their accounts from holding certain companies or types of companies must provide written instructions containing a list of the restricted companies.

Item 17 – Voting Client Securities

NorthCoast has retained the services of Institutional Shareholder Services (wholly owned subsidiary of RiskMetrics Inc) ("ISS"), an independent proxy-voting service provider, to provide research, recommendations and other proxy voting services for client Proxies. Absent a determination by NorthCoast to override ISS's guidelines and/or recommendations, we will vote all client Proxies in accordance with ISS guidelines and recommendations which, per their policies, vote all proxies in the best economic interest of our clients. NorthCoast also retains ISS for its turn-key voting agent service to administer its Proxy voting operation. As such, ISS is responsible for submitting all Proxies in a timely manner and for maintaining appropriate records of Proxy votes. NorthCoast has established a Proxy Committee consisting of three of its principals who have a broad range of experience in the financial services industry to periodically review these policies and procedures.

NorthCoast has engaged Broadridge Global Security Class Actions to handle all class action litigation on behalf of NorthCoast accounts.

Item 18 – Financial Information

NorthCoast derives all of its income from advisory fees as detailed above. The firm does not have any outside or conflicting business interests, nor do its principals or employees hold directorships or board seats in any other businesses. No balance sheet is needed as no advance fees over \$1200 are collected.



ADV Part 2B: Brochure Supplement

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

This brochure supplement provides information about key employees of NorthCoast Asset Management, LLC. It supplements NorthCoast's accompanying Form ADV brochure. You should have received a copy of that brochure. Please contact The Compliance Officer if you did not receive NorthCoast Asset Management's brochure or if you have any questions about the contents of this supplement. Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.



Item 1 - Cover Page

Paul Dean

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

This brochure supplement provides information about Paul Dean that supplements the NorthCoast Asset Management, LLC Brochure. You should have received a copy of that brochure. Please contact Chief Compliance Officer if you did not receive NorthCoast Asset Management's brochure or if you have any questions about the contents of this supplement.

Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Dean graduated from Georgia Institute of Technology in 1966 with a B.S degree in Industrial Engineering and earned a MBA from Harvard University in 1968. He has been involved in researching, developing and implementing futures trading strategies and programs based on trend-timing trading philosophy since 1974. Mr. Dean was the partner of the late Richard D. Donchian, one of the first advocates of the diversified trend-following approach to managed futures. He co-founded NorthCoast Asset Management in 1988.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee

to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Daniel J. Kraninger

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

This brochure supplement provides information about Daniel Kraninger that supplements the NorthCoast Asset Management, LLC Brochure. You should have received a copy of that brochure. Please contact Chief Compliance Officer if you did not receive NorthCoast Asset Management's brochure or if you have any questions about the contents of this supplement.

Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Previously, Mr. Kraninger was Senior Vice President of GE Private Asset Management (GEPAM) a \$2.5 billion money management subsidiary of GE Capital. His responsibility at GEPAM was directing their Private Client Group - a team that advised and managed money for over 1,500 high-net worth clients. Prior to GE, he served as President of O'Shaughnessy Capital Management (OCM), a \$1 billion Greenwich investment adviser and mutual fund manager that he helped establish in 1996 with founder, Jim O'Shaughnessy. OCM later sold its assets to Netfolio, LLC in January, 2000. Before OCM, Mr. Kraninger worked at Merrill Lynch as a member of the 1994 JET Program and he is a graduate of Villanova University in Philadelphia.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee

to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Patrick Jamin, CFA, FRM

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

This brochure supplement provides information about Patrick Jamin that supplements the NorthCoast Asset Management, LLC Brochure. You should have received a copy of that brochure. Please contact Chief Compliance Officer if you did not receive NorthCoast Asset Management's brochure or if you have any questions about the contents of this supplement.

Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Jamin joined North Coast Asset Management in January, 2012 as Chief Investment Officer. In his role he oversees all investment activity including portfolio management and investment research. Previously Mr. Jamin was a Partner at Numeric Investors directing the portfolio management effort for all European Strategies. There he was also a member of the Strategic Alpha Research team and had responsibilities encompassing a wide array of quantitative research projects, as well as managing Numeric's World Fundamental Statistical Arbitrage Strategy. Prior to that Patrick served as a Portfolio Manager at Standard Pacific Capital and as a Senior Quantitative Analyst at AIM Investments. Patrick received an M.B.A. from Harvard Business School with high distinction (2002), a Masters of Science in Telecommunications from Ecole Nationale Supérieure des Telecommunications de Paris (1997), a diploma of "Ingenieur de l'Ecole Polytechnique" from Ecole Polytechnique in Palaiseau, France (1995). Patrick is a CFA[®] charterholder and a certified Financial Risk Manager (FRM).

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

John M. Wildern

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

This brochure supplement provides information about John Wildern that supplements the NorthCoast Asset Management, LLC Brochure. You should have received a copy of that brochure. Please contact Chief Compliance Officer if you did not receive NorthCoast Asset Management's brochure or if you have any questions about the contents of this supplement.

Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Wildern began his career in the securities industry with UBS PaineWebber, where he primarily worked with 401(k) plans for the small business segment. He later managed a \$700 million portfolio of both individual and institutional client assets in Chicago, IL. Mr. Wildern currently manages the intermediary sales division at NorthCoast. He holds a B.S. in Economics from Hillsdale College and an MBA from the J.L. Kellogg School of Management at Northwestern University.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has

appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Mark C. Dean

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Dean graduated from Emory University in 1993 with a degree in Economics. After two years of working in athlete representation and sports marketing, he came to NorthCoast Asset Management and has since headed the finance division.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee

to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Robert E. Wright

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Graduated Cum Laude from the University of Toledo with a degree in Economics and a business minor focused in Finance. He has run a personal financial planning practice with Ameriprise Financial and was responsible for developing custom financial plans for high net worth individuals in order to meet their personal objectives. While with Ameriprise, Mr. Wright received the Mercury and Outstanding Advisor awards for excellence. He currently manages NorthCoast's direct sales and service team.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has

appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Armando Cuesta

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Senior Vice President for the Southeast Region at Northcoast since January 1 2010. Previous responsibilities include a 7 year role as EVP and General Manager for Marconi CALA LTD, a leading supplier of transmission and switching equipment for the telecommunication market. In the prior 15 years, Mr Cuesta was founder and VP Americas for ECI/Telematics, a leading supplier of data communications equipment. Armando holds a BSME from Villanova University.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Andrew Carrier

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Andrew Carrier is a Senior Vice President with NorthCoast covering the western part of the country. Drew's primary efforts are focused on building a distribution network of Advisors who service high net-worth and institutional clients in those states. Drew started his career as a Financial Advisor with Smith Barney and was awarded Blue Chip Counsel status for his outstanding performance in the early years of his career. In 2006, Drew moved his practice to UBS' Private Client Group where he spent five years servicing a select group of high net-worth individuals and institutional clients.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Huston Stewart

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Stewart moved to New York City in 2001 where he began his career in the UBS Paine Webber NYC headquarters office as a member of the Katz Sperber Wealth Management team focusing on new business development. He subsequently covered Manhattan brokerage offices for JPMorgan Funds and then all channels in Southern Connecticut with Oppenheimer Funds. He joined NorthCoast in March of 2014 as Director of Southeast intermediary sales. Mr. Stewart graduated from the University of Alabama in 2000 and resides in Atlanta, GA with his wife Julie and their daughter Caroline. He holds the Accredited Asset Management Specialist and Chartered Retirement Planning Counselor designations as well as the Series 7 and 66 licenses.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Brian Moonan

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

This brochure supplement provides information about Brian Moonan that supplements the NorthCoast Asset Management, LLC Brochure. You should have received a copy of that brochure. Please contact Chief Compliance Officer if you did not receive NorthCoast Asset Management's brochure or if you have any questions about the contents of this supplement.

Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Moonan joined NorthCoast Asset Management in April, 2014 as Senior Vice President to lead Institutional Sales. In his role he oversees the marketing of NorthCoast's strategies to the growing client base of Family Offices, Endowments and Foundations. Previously, Mr. Moonan was a Senior Vice President at Forbes Private Capital Group directing the private placement effort for all Western US clients. Prior to that, Brian served as a Vice President at Wilshire Associates. There he was charged with leading all marketing efforts for Wilshire's Billion dollar hedge fund managed account platform and a member of Wilshire's Funds Management Group. Prior to joining Wilshire, Brian led marketing and business development teams for two other diverse Alternative Investment Funds. He has a strong network of Family Offices, Multi-Family Offices, Consultants, Foundations and Endowments. Brian Moonan Graduated from Villanova University with a BS in Finance in 1995.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Christopher Horan

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Chris Horan joined NorthCoast in March 2015. He will represent NCAM's investment products including mutual funds and separately managed accounts to all Retail Intermediaries, Registered Investment Advisors and Family Offices. Chris started in the industry in 1989 and has been wholesaling for over 17 years. Mr. Horan earned a B.S. in Business Economics, with a minor in finance from the State University of New York at Oneonta. He lives with his wife and two children in Newtown, Bucks County, PA.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Alex Dempster

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

This brochure supplement provides information about Alex Dempster that supplements the NorthCoast Asset Management, LLC Brochure. You should have received a copy of that brochure. Please contact Chief Compliance Officer if you did not receive NorthCoast Asset Management's brochure or if you have any questions about the contents of this supplement.

Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Dempster joined NorthCoast Asset Management in April, 2011 as part of the Advisor Channel Sales Team. Alex was formerly Director of Internal Sales at Legg Mason Asset Management where he was responsible for the sales and distribution efforts of a 30 member team focusing on the Independent Advisor Channel. Prior to Legg Mason he served as Director of Internal Sales at Citigroup Asset Management leading sales efforts in the Private Client Division. Mr. Dempster began his career in the securities industry in 1997 with Federated Investors when he was accepted into their Wholesaler Training Program. He is a graduate of Holy Cross College in Worcester, MA.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Joseph M. Cortese

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Cortese joined NorthCoast in 2014 as a Vice President in the Private Client Service and Sales team. Previously, Mr. Cortese spent 8 years as Vice President - Financial Consultant at Charles Schwab and Company, where he was a Chairman's Club member. Joe earned his BA in Economics from Trinity College and was also captain of the varsity lacrosse team.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the

rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Tony DiBrino

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Tony Graduated from LaSalle University where he majored in Finance and minored in Risk Management. After graduation, Tony served as a Client Advisor for Legacy Advisors which focused on Estate Planning and Asset Management for the ultra-high net worth market. He then joined Fidelity Investments where he worked with high net worth individuals to develop comprehensive financial plans. He currently works on NorthCoast's private client and service team.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has

appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Jacob Wick

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

After graduating from Indiana University, Jacob worked as a sales consultant for the national leadership fraternity, SigEp. After a year, he directed and implemented leadership and sales programs across the country for over 15,000 of the organization's members and alumni. Jacob then worked with the sales division of the interactive advertising startup, Cablevision Advanced Platforms. Mr. Wick joined NorthCoast as an Account Executive working on the Private Clients sales and service team. Jacob currently directs all NorthCoast marketing operations.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Katie O'Boyle

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Katie graduated from Marist College earning a B.S. in Business Administration, with a dual emphasis in Finance and Marketing. Prior to joining NorthCoast in 2011, Katie worked in Advertising Research at Ipsos ASI.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Joe Merkle

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Joe graduated from Villanova University, where he completed his BA with a Finance major. Upon graduation, he joined Morgan Stanley Smith Barney's Mutual Fund Operations team, where he handled all metrics and reporting for the department. Joe was responsible for managing several long-term projects and initiative and all budget-related exercises as well. He joined the NorthCoast team in October of 2013 to work with and support the Client Service and Sales team.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Andrew Burrow

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

This brochure supplement provides information about Andrew Burrow that supplements the NorthCoast Asset Management, LLC Brochure. You should have received a copy of that brochure. Please contact Chief Compliance Officer if you did not receive NorthCoast Asset Management's brochure or if you have any questions about the contents of this supplement.

Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Andy joins the Client Service and Sales team serving Private Clients and Advisors. Andy will advise existing clients in an effort to help them reach their financial goals. He attended Villanova University where he received his B.A. degree with an emphasis in finance. Mr. Burrow also served as President of his fraternity, SigEp. Upon graduation, Andy worked for Trilogy Global Advisors where he was responsible for researching competing firms' strategies to help generate new ideas for the portfolio managers.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Peter Nieporent

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Item 2 - Educational Background and Business Experience

Peter attended Pace University, where he graduated with a BA in Finance and was captain of the Men's Lacrosse team. After graduation, Peter joined Elizabeth Arden Inc. and worked within their supply chain for two years. His responsibilities included inventory planning for global promotions and sales forecasting for North America. Peter joins NorthCoast as a member of the Client Service and Sales team serving Private Clients and Advisors.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Yaqiu Li

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Li grew up in a small beautiful town Shashi, Hubei in central China. He got his B.S degree in the Nanjing University of Information Science & Technology, China in 1999 and joined China's national key lab of Atmospheric Science, Chinese Academy of Sciences, for climate modeling. Mr. Li later studied at Georgia Institute of Technology where he earned a M.S degree in Quantitative and Computational Finance and a M.S. degree in Statistics. He joined NorthCoast in 2006 and has been involved in research, portfolio management and developing equity and ETF investment strategies and models. He is a CFA level III candidate.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

NorthCoast is an SEC registered investment adviser; therefore this section is not applicable.



Item 1 - Cover Page

Julia Zhu

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Ms. Julia Zhu joined NorthCoast Asset Management as a Senior Vice President in the Portfolio Management and Research group in 2013. Previously, she worked as a Vice President at Research Affiliates, LLC, where she was responsible for quantitative research in both equity strategies (RAFI Fundamental Index strategies) and global tactical asset allocation products (All Asset Fund). Prior to that, Julia worked as an Associate in First Quadrant, LP and conducted research projects on GTAA and tactical currency allocation products.

Julia earned her Master of Economics from Yale University and MBA with concentration in Finance from University of Southern California. She also holds a Bachelor's Degree in Economics from the Institute of International Relations from Beijing, China. Julia is a CFA[®] charterholder and a certified Financial Risk Manager (FRM).

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Sumanth Amaerchinta

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Sumanth joined NorthCoast as a Vice President in the Portfolio Management and Research group in 2014. Previously, Sumanth spent 5 years as Senior Investment Analyst at Pyramis Global Advisors in the area of quantitative research and portfolio management. He was also a member of the global small cap team researching stock selection models. Sumanth holds a Masters degree in Computer Engineering from Rochester Institute of Technology (2009) and a Bachelor's degree in Electrical Engineering from Indian Institute of Technology Roorkee (2007).

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Jason Krugly

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Jason joined NorthCoast as a Vice President in the Portfolio Management and research group in December 2014. He has acquired significant experience at major financial institutions such as Bank of America, Merrill Lynch, Credit Suisse, and Deutsche Bank. Jason holds a Bachelor of Science and Master of Science in Computer Science from Polytechnic Institute of New York University as well as a Master of Science in Financial Engineering from Baruch College. Jason enjoys lifting weights, running and swimming.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Megan Hall

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Megan Hall attended St. Olaf College in Northfield, MN and began her financial career in 2001 by working as Head of Trading for NorthCoast Asset Management (then TrendLogic). While there, she was responsible for executing all stock and futures trades as well as monitoring overnight stop orders in European and Far East markets.

After a brief hiatus to work more directly in client services, Megan returned to NorthCoast Asset Management in 2006 in the Operations Manager role where she oversees the department which includes daily security and account reconciliation as well as all custodial relationships.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Tom Earl

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Tom attended Pace University, where he graduated with a BS in Accounting. At NorthCoast, Tom is a member of the operations team, supporting the trade desk and other functional areas of the firm.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

NorthCoast Asset Management has adopted, and periodically updates, a compliance manual that outlines for each employee the various rules and regulations to which they must adhere. NorthCoast has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee

to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Michael Prinz

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Item 2 - Educational Background and Business Experience

Michael joined NorthCoast in July 2014 as an Operations Associate. Prior to NorthCoast, Michael worked at JP Morgan Chase as an Assistant Vice President Sr. Compliance Officer where he managed a team of investigators responsible for reviewing high net worth client accounts flagged for potential money laundering or terrorist financing activity. Michael graduated from SUNY Geneseo in 2010 with a BS in Business Administration.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to the rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Joseph Iraci

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Joseph C. Iraci currently serves as Counsel and Chief Compliance Officer and is responsible for the company's legal and compliance matters. Previously Mr. Iraci was Counsel, Director of Legal Affairs for the Kenmar Olympia Group handling legal, compliance and structuring issues for the \$1.2 billion Fund-of Funds and Managed Account Platform. Prior to that, he worked as a Research Analyst Consultant at Iridian Asset Management, an asset management firm. He received a B.A. in Marketing from Fordham University (2003), an M.B.A in Finance from Pace University Lubin School of Business (2010), and a J.D. from Pace University School of Law (2010). Mr. Iraci is a member of the Connecticut State Bar.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Lisa Pullia

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

A graduate of Eastern Connecticut State University, Lisa worked as the Executive Assistant, Reporting & Analytics for Circulation Specialists over the past 8 years. Lisa executed database management, reporting, and administrative tasks during her tenure. Lisa joins NorthCoast as the Office Manager helping serve all of NorthCoast's key constituents.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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rules and regulations. In addition, NorthCoast has adopted a Code of Ethics that requires each employee to act in the best interest of client's at all times. Should you have questions related to these activities, please contact The Compliance Officer at (203)-532-7000.

Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Frank Ingarra, Jr

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Previously, Mr. Ingarra was Co-Portfolio Manager and Head Trader of the entire family of quantitatively managed Hennessy Funds totaling \$900 million in assets. Prior to Hennessy, he served as Head Trader of O'Shaughnessy Capital Management (OCM), a \$1 billion Greenwich investment advisor and mutual fund manager. Frank was awarded an MBA from the Frank G. Zarb School of Business at Hofstra University and holds a Bachelor's degree in Engineering and a minor Business from Villanova University.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

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Item 1 - Cover Page

Yogesh Prasad

One Greenwich Office Park
Greenwich, CT 06831
203-532-7000

Updated: September 2015

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Additional information about any of our employees is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Prasad graduated from University of Bridgeport in 2010 with an MBA and concentration in Finance. He also holds a Bachelor's Degree in Mechanical Engineering with Distinction and is a CFA Level III candidate. After working for 2 years in the service industry, he joined NorthCoast Asset Management's trading team. He also assists the operations team in performing daily account reconciliation and account management.

Item 3 - Disciplinary Information

None

Item 4 – Other Business Activities

None

Item 5 – Additional Compensation

None

Item 6 – Supervision

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Item 7 – Requirements for State-Registered Advisers

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