

**Part 2B of Form ADV: *Brochure Supplement***

John Sheldon Piot  
1-800-210-7468

Piot Associates, LLC  
369 Lexington Avenue, Suite 204, New York NY 10017  
231 Reach Road, Sedgwick ME 04676

March 27, 2015

This brochure supplement provides information about John Sheldon Piot that supplements the Piot Associates, LLC brochure. You should have received a copy of that brochure. Please contact John Piot 1-800-210-PIOT (7468) if you did not receive Piot Associates, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about John Sheldon Piot is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## **Item 2 Educational Background and Business Experience**

**Full Legal Name:** John Sheldon Piot

**Born:** 1955

### **Education**

- Boston University School of Management; Post-graduate doctoral studies in management policy, 1989-1994
- Boston University School of Management; MBA, Financial Services Management; 1989
- Harvard University Graduate School of Arts and Sciences; Certificate of Special Studies, Administration and Management; 1982
- Principia College; BA, Music and Religion/Philosophy; 1977

### **Business Experience**

- State Street Bank and Trust Co.; Trust Officer; 1985 to 1988
- Fleet Investment Advisors; Assistant Vice President and Investment Officer; 1989 to 1994
- Piot Associates; Owner; 1994 to 1996
- Piot Associates, LLC; President; 1996 to present

### **Designations**

John Sheldon Piot has earned the following designation(s) and is in good standing with the granting authority:

- Series 2, 24, 65 Exams (dates passed: Mar.'94, Oct.'95, Jan.'93); these are securities exams required by the SEC and/or states.

## **Item 3 Disciplinary Information**

John Sheldon Piot has no reportable disciplinary history.

## **Item 4 Other Business Activities**

### **A. Investment-Related Activities**

1. John Sheldon Piot is not engaged in any other investment-related activities.

2. John Sheldon Piot does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

## **B. Non Investment-Related Activities**

John Sheldon Piot is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

## **Item 5 Additional Compensation**

John Sheldon Piot does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6 Supervision**

**Supervisor:** John Piot

**Title:** President and Chief Compliance Officer. (Note: John Piot as Chief Compliance Officer is not reviewed by anyone else, although he is subject to the Code of Ethics.)

**Phone Number:** 1-800-210-7468    **Email:** PiotJS@gmail.com