

**Form ADV Part 2B - Brochure Supplement**  
**Item 1: Cover Page**  
**February 2015**

**Shakeel Kazi**  
**CRD# 1322184**

**Petix & Botte Financial**  
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This brochure supplement provides information about Shakeel Kazi that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Botte, Chief Compliance Officer if you did not receive Petix & Botte Financial's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Kazi is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Shakeel Kazi**

**Year of Birth:** 1950

### **Educational Background:**

- 1984; Northern Illinois University; Master of Science

### **Business Background:**

- 10/2014 – Present Petix & Botte Financial; Investment Adviser Representative
- 10/2014 – Present Petix & Botte Tax and Business Services; Tax Preparer
- 10/2014 – Present Acena Financial; Sole Shareholder
- 12/2011 – Present LPL Financial; Financial Advisor
- 10/1992 – 10/2014 Acena Financial; Tax Preparer
- 11/1995 – 12/2011 H.D. Vest Advisory Services; Advisory Representative
- 10/1993 – 12/2011 H.D. Vest Investment Services; Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- 07/1990: Certified Financial Planner
- 06/1994: IRS Enrolled Agent

### **CFP® - CERTIFIED FINANCIAL PLANNER™:**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

**IRS Enrolled Agent (EA):**

An Enrolled Agent is a federally authorized tax practitioner empowered by the United States Department of the Treasury to represent taxpayers before the IRS. To become an EA, applicant must pass the Special Enrollment Examination or have worked for the IRS for five years in a position which regularly interpreted and applied the tax code and its regulations. A background check, including a review of the applicant's tax compliance, is also conducted. The IRS requires EAs to complete 72 hours of continuing professional education every three years.

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to your evaluation of Mr. Kazi.

**Item 4: Other Business Activities**

Mr. Kazi is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn.

Mr. Kazi is a financial advisor of LPL Financial, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Mr. Kazi may earn.

**Item 5: Additional Compensation**

Mr. Kazi does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

**Item 6: Supervision**

Paul Botte, Chief Compliance Officer of Petix & Botte Financial, supervises and monitors Mr. Kazi's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Botte if you have any questions about Mr. Kazi's brochure supplement at (619) 698-6500.