

Form ADV Part 2B - Brochure Supplement
Item 1: Cover Page
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Vickie Petix
CRD# 2072813

Petix & Botte Financial
7777 Alvarado Road – Suite 320
La Mesa, CA 91942
www.petixbotte.com

This brochure supplement provides information about Vickie Petix that supplements our brochure. You should have received a copy of that brochure. Please contact Paul Botte, Chief Compliance Officer if you did not receive our firm's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Petix is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Vickie Petix

Year of Birth: 1964

Educational Background:

- 1992; University of San Diego; Bachelor of Accountancy

Business Background:

- 08/1997 – Present Petix & Botte Financial;
Partner and Investment Adviser Representative since 05/2014
- 11/1999 – Present LPL Financial; Financial Advisor
- 08/1997 – Present Tax & Financial Services Co., Inc.; Partner and Tax Preparer

Exams, Licenses & Other Professional Designations:

- 06/1992 – Series 7
- 09/1999 – Series 24
- 07/1992 – Series 63
- 04/1995 – Certified Financial Planner
- California Insurance License
- 04/1993 – IRS Enrolled Agent (EA)

CFP® - CERTIFIED FINANCIAL PLANNER™:

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Enrolled Agent (EA):

An Enrolled Agent is a federally authorized tax practitioner empowered by the United States Department of the Treasury to represent taxpayers before the IRS. To become an EA, applicant must pass the Special Enrollment Examination or have worked for the IRS for five years in a position which regularly interpreted and applied the tax code and its regulations. A background check, including a review of the applicant's tax compliance, is also conducted. The IRS requires EAs to complete 72 hours of continuing professional education every three years.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to your evaluation of Ms. Petix.

Item 4: Other Business Activities

Ms. Petix is a licensed insurance agent. She may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation she may earn.

Ms. Petix is a financial advisor of LPL Financial, member FINRA/SIPC. She may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Ms. Petix may earn.

Item 5: Additional Compensation

Ms. Petix does not receive any additional compensation or economic benefit outside of her regular salary such as any sales awards or other prizes.

Item 6: Supervision

Paul Botte, Chief Compliance Officer of Petix & Botte Financial, supervises and monitors Ms. Petix's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Botte if you have any questions about Ms. Petix's brochure supplement at (619) 698-6500.