

Part 2B Form ADV Brochure Supplement

## Princeton Fund Advisors, LLC

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February 12, 2015



**This brochure supplement provides information that supplements the Princeton Fund Advisors, LLC brochure. You should have received a copy of that brochure. Please contact us at 888-862-3690 if you have any questions about the contents of this supplement.**

# John L Sabre

## Item 2

### **Educational Background and Business Experience**

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Year of Birth: 1957

#### **Education**

University of Minnesota, Minneapolis, MN; B.S. Business, 1979

Wharton School, University of Pennsylvania, Philadelphia, PA; M.B.A. 1984

#### **Business Background**

PFA- Managing Member, 2011- present.

Various positions with Mount Yale Capital Group and its affiliates and their predecessor, 2002-present.

Bear Stearns & Co., Senior Managing Director, Head of Mezzanine Capital Group, 2000 to 2001.

First Dominion, Managing Director, 1997 to 2000.

Licenses: Series 7, 24 and 63

## Item 3

### **Disciplinary Information**

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Mr. Sabre has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Sabre or the company.

## Item 4

### **Other Business Activities**

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Mr. Sabre is not engaged in any other investment-related businesses outside the company.

## Item 5

### **Additional Compensation**

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Mr. Sabre does not receive economic benefits from any other investment-related businesses outside the company.

## Item 6

### **Supervision**

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Princeton Fund Advisor's Executive Committee is responsible for the supervision of all employees and the Investment Committee is responsible for the oversight of all products and strategies. Mr. Sabre is a member of both committees and is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. John Sabre's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** if you have any questions.

## **Greg D Anderson**

### **Item 2**

#### **Educational Background and Business Experience**

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Year of Birth: 1959

#### **Education**

Hamline University, St. Paul, MN; B.A. Business, 1981.

University of Minnesota School of Law, Minneapolis, J.D., 1984.

#### **Business Background**

PFA Managing Member, 2011- present.

Various positions with Mount Yale Capital Group, LLC and its affiliates and their predecessor, 1998-present.

Portfolio Management Consultants, Inc., Managing Director, Sr. Vice President and Director of Investment Manager Search, Evaluation and Due Diligence, 1997-1998.

Deloitte & Touche, Sr. Tax Manager, 1996-1997 (Denver, CO) and 1985-1992 (Minneapolis, MN).

Colorado Commodities Corp., Chief Operating Officer, 1992 to 1996.

Licenses: Series 2, 3, 28, 30, 63 and 65

### **Item 3**

#### **Disciplinary Information**

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Mr. Anderson has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Anderson or the company.

### **Item 4**

#### **Other Business Activities**

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Mr. Anderson is not engaged in any other investment-related businesses outside the company.

### **Item 5**

#### **Additional Compensation**

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Mr. Anderson does not receive economic benefits from any other investment-related businesses outside the company.

### **Item 6**

#### **Supervision**

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PFA's Executive Committee is responsible for the supervision of all employees and the Investment Committee is responsible for the oversight of all products and strategies. Mr. Anderson is a member of both committees and is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing.

Mr. Anderson's activities are also overseen by the Firm's Chief Compliance Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** if you have any questions.

## **Marc E Friedman**

### **Item 2**

#### **Educational Background and Business Experience**

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Year of Birth: 1971

#### **Education**

University of Denver – Daniels College of Business, MBA and MS Finance 1999 -2001

University of CO – Boulder, BS Finance 1994

#### **Business Background**

PFA – a Director of Research 2011 – to present

Mount Yale Capital Group, LLC- Senior Vice President – Director of Research - 2006 to present.

Bard Capital, Vice President -2005 to 2006

Stifel Nicolaus, Vice President – 2000 to 2005

Morgan Stanley – 1997 – 1999

Licenses: Series 7, 31, 63, and 65

### **Item 3**

#### **Disciplinary Information**

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Mr. Friedman has not been involved in any legal or disciplinary events that would be material to your evaluation of Mr. Friedman or the company.

### **Item 4**

#### **Other Business Activities**

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Mr. Friedman is not engaged in any other investment-related businesses outside the company.

### **Item 5**

#### **Additional Compensation**

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Mr. Friedman does not receive economic benefits from any other investment-related businesses outside the company.

### **Item 6**

#### **Supervision**

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PFA's Investment Committee is responsible for the oversight of all products and strategies. Mr. Friedman is a member of the Investment Committee and is subject to the Firm's written compliance and supervisory procedures and the related ongoing compliance monitoring and testing. Mr. Friedman's activities are also overseen by the Firm's Chief Compliance

Officer, Michael J. Sabre. You may contact Michael Sabre at **888-862-3690** if you have any questions.