

# Supplement Brochure

February 2015

## **DANIEL M. WEINBERG**

200 Great Oaks Boulevard., Suite 219  
Albany, New York 12203

(518) 456-8900

This Brochure Supplement provides information about Daniel M. Weinberg that supplements the Disclosure Brochure of AllSquare Wealth Management, LLC (hereinafter "AllSquare Wealth"), a copy of which you should have received. Please contact AllSquare Wealth's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Daniel M. Weinberg is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You may search this site using a unique identifying number, known as a CRD number for each Supervised Person.

**AllSquare Wealth Management, LLC, a Registered Investment Adviser**

200 Great Oaks Boulevard, Suite 219, Albany, New York 12203 | (518) 456-8900  
[www.AllSquareWealth.com](http://www.AllSquareWealth.com)

## Item 2. Educational Background and Business Experience

Born 1953  
CRD#1085398

### Post-Secondary Education

The College for Financial Planning | M.S., Financial Planning | 1992

State University of New York at Albany | B.S., Business Administration | 1975

### Recent Business Background

AllSquare Wealth Management, LLC | Chief Economist, Senior Financial Advisor | 2012 – Present

Purshe Kaplan Sterling Investments | Registered Representative | 2014 – Present

Spire Securities, LLC | Registered Representative | 2012 – 2014

Empire Asset Management | Financial Advisor | 2005 – 2012

AYCO / Goldman Sachs | Portfolio Manager | 1983 – 2005

## Item 3. Disciplinary Information

AllSquare Wealth is required to disclose the pertinent facts regarding any legal or disciplinary events material to a client's evaluation of Daniel M. Weinberg. AllSquare Wealth has no information to disclose in relation to this Item.

## Item 4. Other Business Activities

AllSquare Wealth is required to disclose information regarding any investment-related business or occupation in which Daniel M. Weinberg is actively engaged.

### Registered Representative of a Broker-Dealer

Daniel M. Weinberg is a Registered Representative of Purshe Kaplan Sterling Investments ("PKS"), an SEC registered broker-dealer and member of FINRA. In this capacity, Daniel M. Weinberg may provide securities brokerage services and implement securities transactions under a commission based arrangement. Daniel M. Weinberg may be entitled to a portion of the brokerage commissions paid to PKS. He may also be entitled to a portion of any ongoing distribution or service ("trail") fees from the sale of mutual funds.

A conflict of interest exists to the extent that Daniel M. Weinberg recommends the purchase of securities where he receives commissions or other additional compensation as a result. This practice gives him an incentive to recommend investment products based on compensation received rather than on the client's needs. AllSquare Wealth has procedures in place to ensure that any recommendations made by Daniel M. Weinberg are in the best interest of clients regardless of any additional compensation earned. For accounts covered by ERISA (and such others that AllSquare Wealth, in its sole discretion deems appropriate), AllSquare Wealth provides investment advisory services on a fee-offset basis. In this scenario, AllSquare Wealth may offset its fees by an amount equal to the aggregate commissions and 12b-1 fees earned by Daniel M. Weinberg in his individual capacity as a registered representative of PKS.

### Insurance Agent

Daniel M. Weinberg is a licensed insurance agent with various insurance companies, and in such capacity, may recommend, on a fully-disclosed commission basis, the purchase of certain insurance products. A conflict of interest exists to the extent that AllSquare Wealth recommends the purchase of insurance products where Daniel M. Weinberg receives insurance commissions or other additional compensation. AllSquare Wealth has procedures in place to ensure that any recommendations made by Daniel M. Weinberg are in the best interest of clients regardless of any additional compensation earned.

## **Item 5. Additional Compensation**

AllSquare Wealth is required to describe any arrangement under which Daniel M. Weinberg receives an economic benefit for providing advisory services from someone that is not a client of AllSquare Wealth. AllSquare Wealth has no information to disclose in relation to this Item.

## **Item 6. Supervision**

Daniel D. Bauer, the firm's Managing Member and Chief Compliance Officer, is generally responsible for supervising Daniel M. Weinberg's advisory activities on behalf of AllSquare Wealth. The telephone number to reach Daniel D. Bauer is (518) 456-8900.

AllSquare Wealth supervises its personnel and the investments made in client accounts. AllSquare Wealth monitors the investments recommended by Daniel M. Weinberg to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. AllSquare Wealth periodically reviews the advisory activities of Daniel M. Weinberg, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Daniel M. Weinberg.