

**Timothy A. Carpenter**  
**CRD # 1263145**



**Contact: Kevin J. Sweeney, Chief Compliance Officer**  
**6720 N. Scottsdale Road, Suite 110**  
**Scottsdale, Arizona 85253**  
[www.biltmorewealthaz.com](http://www.biltmorewealthaz.com)

This Brochure supplement provides information about Timothy A. Carpenter that supplements the Biltmore Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Kevin J. Sweeney, Chief Compliance Officer, if you did *not* receive Biltmore Wealth Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Timothy A. Carpenter is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

---

**Timothy A. Carpenter**

**Year of Birth: 1951**

Mr. Carpenter graduated from Vincennes University, with an Associate of Arts degree in Aerospace Technology. Mr. Carpenter has been a Managing Partner and Senior Portfolio Manager of Biltmore Wealth Management, LLC since January of 2010. From June 2009 to January of 2010, Mr. Carpenter was a Financial Advisor for Morgan Stanley Smith Barney.

### **Exams, Licenses & Other Professional Designations:**

- 03/2010 – Series 65
- 06/1984 – Series 63

## Item 3 Disciplinary Information

---

There are no legal or disciplinary events that are material to your evaluation of Mr. Carpenter.

## Item 4 Other Business Activities

---

Mr. Carpenter is not actively engaged in any other investment-related businesses or occupations.

**Licensed Insurance Agent.** Mr. Carpenter, in his individual capacity, is a licensed insurance agent in Arizona, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Carpenter to purchase insurance products on a commission basis. Conflict of Interest: The recommendation by Mr. Carpenter that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Carpenter. Clients are reminded that they may purchase insurance products recommended by Biltmore through other, non-affiliated insurance agents. Biltmore's Chief Compliance Officer, Kevin J. Sweeney, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

### **Item 5 Additional Compensation**

---

Mr. Carpenter does not receive additional compensation or economic benefits for providing advisory services in addition to advisory fees.

### **Item 6 Supervision**

---

Biltmore Wealth Management, LLC provides investment advisory and supervisory services in accordance with current state regulatory requirements. Biltmore Wealth Management, LLC's Chief Compliance Officer, Kevin J. Sweeney, is primarily responsible for overseeing the activities of Biltmore Wealth Management, LLC's supervised persons. Mr. Sweeney also monitors accounts and conducts account reviews on at least an annual basis. Should a client have any questions regarding the Biltmore Wealth Management, LLC's supervision or compliance practices, please contact Mr. Sweeney at (480) 998-1819.