



Seal Financial Services, A Division Of SRS Capital Advisors, Inc. Form ADV Part 2B Brochure Supplement

Bradley J. Frigon
CRD #4591410
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Seal Financial Services, A Division Of SRS Capital Advisors, Inc.
7600 E. Eastman Ave, Suite 412
Denver, CO 80231
p. (303) 671-9777
f. (303) 671-9333
w. www.sealfinancial.com & www.srscapitaladvisors.com
e. info@srscap.com

This brochure supplement provides information about Bradley J. Frigon that supplements the SRS Capital Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact SRS Capital Advisors, Inc. if you did not receive SRS Capital Advisors, Inc.'s brochure or if you have any questions about the content of this supplement.

Additional information about Bradley J. Frigon is available on the SEC's website at www.adviserinfo.sec.gov.

Form ADV Part 2B brochure supplements are not approved by the Commission or any state securities authority.

Item 2. Educational Background and Experience:

NAME AND DATE OF BIRTH:

Bradley J. Frigon (born 1956)

EDUCATION:

University of Denver, 2005

Masters of Law Degree in Taxation, 1982

Washburn University

Juris Doctor Degree, 1981

Business Experience:

Seal Financial Services, a division of SRS Capital Advisors, Inc.

Vice President, Private Client Services

02/2015 to Present

Seal Financial Services, Inc.

Investment Advisory Representative

01/2006 to 01/2015

Law Offices of Bradley J. Frigon

Practicing Attorney

01/2000 to Present

Item 3. Disciplinary Information:

None

Item 4. Other Business Activities:

Mr. Frigon is a practicing attorney and owns the Law Offices of Bradley J. Frigon. Seal Financial Services, a division of SRS Capital Advisors, Inc. receives no financial consideration from Mr. Frigon in connection with client referrals to Mr. Frigon for legal services.

If Mr. Frigon refers a client from his legal practice to Seal Financial Services, a division of SRS Capital Advisors, Inc., for investment advice, the client will receive a Disclosure Form acknowledging that Mr. Frigon will receive a portion of the client's advisory fee as compensation for Mr. Frigon. The client is not charged a higher fee than they would otherwise be charged and instead Mr. Frigon's fee comes out of SRS Capital Advisors' fees.

Item 5. Additional Compensation:

Other than his legal practice and referral arrangement with SRS Capital Advisors, Inc., Mr. Frigon receives no additional outside compensation.

Item 6. Supervision:

SRS Capital Advisors, Inc. closely monitors the recommendations and planning provided by all of its investment professionals. This is done through monthly and annual compliance meetings, random Associate audits, planned file reviews, clear and concise internal policies and procedures, and strict adherence to all industry laws and regulations. For additional information regarding our internal quality and control procedures please contact: David A. Simon, Managing Director at SRS Capital Advisors at (303) 633-5900; or, via email at: dsimon@srscap.com.