



STRATIGRAPHIC ASSET MANAGEMENT, INC.

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JAYUSIA P. BERNSTEIN  
ALAN S. BERNSTEIN

## **Stratigraphic Asset Management, Inc. Brochure**

### **Supplement Part 2B – Form ADV**

This brochure supplement provides information about Alan S. Bernstein and Jayusia P. Bernstein that supplements the Stratigraphic Asset Management, Inc. brochure. You should have received a copy of that brochure. Please contact Alan Bernstein at the address and telephone number shown below if you did not receive Stratigraphic's brochure or if you have any questions about the contents of this supplement.

Additional information about Stratigraphic Asset Management, Inc. also is available on the company's website at [www.stratigraphic.com](http://www.stratigraphic.com) and on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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Educational Background and Business Experience. Shown below are the current curriculum vitae of Alan Bernstein and Jayusia Bernstein as of the date of this supplement.

**Alan S. Bernstein**

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*Education*

Amherst College, Amherst, Massachusetts, Bachelor of Arts in Economics, 1963  
Harvard Business School, Boston, Massachusetts, Master of Business Administration, 1966

*Professional Experience*

Stratigraphic Asset Management, Inc., President and Co-Founder, 1993-present (SEC registered investment advisory firm) [www.stratigraphic.com](http://www.stratigraphic.com); Stratigraphic Petroleum Corporation, Inc., President and Co-Founder, 1977-1998 (a privately held corporation engaged in petroleum exploration and production operations); Hanover Petroleum Corporation, Vice President, 1972-1977; Loeb, Rhoades & Co., 1968-1971; ADELA Investment Company, S.A., Lima, Peru, 1966-1967.

*Selected Community Service*

**Amherst College:** Medal for Eminent Service, May, 2013; Member of the Board of Trustees, 2004-2010; Amherst College South Florida Alumni Outreach Committee, Chair, March 2005-2010; Distinguished Service Award, 2002; The President's Circle, 2000-present; Class President, 1998-2003; Dallas Alumni Association, President, 1991-1995; Class Planned Gifts Chair, 1996-present; Class Reunion Chair, 35<sup>th</sup> Reunion, 1998; Leadership Gifts Chair, 40<sup>th</sup> Reunion 2003 and Co-Chair 50<sup>th</sup> Reunion 2013.

**Education:** Trustee, Georges Lurcy Charitable and Educational Trust, New York City, 1986-present; Board of Trustees, Vice President, The Ransom Everglades School, Miami, FL, 1998-2003; Visiting Committee, Romance Languages, Harvard University, 1986-1990; Sidwell Friends School, Washington, DC: Member, Head of School Advisory Board, 1999-present, National Leadership Gifts Committee, 1995-1998. Harvard Business School, Boston: 25<sup>th</sup> Reunion Leadership Gift Committee.

**Arts:** Board of Directors, Miami Music Project (social change through classical music education for children and young adults) 2009-present; Board of Trustees and Treasurer, Musical Arts Association of Miami (MAAM supports The Cleveland Orchestra Miami Residency), July, 2008-2012; Board of Directors, Arsht Performing Arts Center Trust, Miami, FL, 2001-2003, Finance Committee, 2003-2007; Board of Directors, Florida Philharmonic Orchestra, Ft. Lauderdale, FL, 1999-2003; Board of Directors, Spanish Repertory Theater, New York City, 1979-1986; Director, Capital Campaign Chair, Westport-Weston Arts Council, Westport, CT, 1984-1986.

**Other:** Temple Beth Am, Miami, Florida, Board of Directors; Co-Chair, Board of Trustees, March 2006-April 2009.

*Family*

**Spouse:** Married to Jayusia Perelman of Lima, Peru, 46 years; business partner since 1978. New York University, 1971, Phi Beta Kappa; M.B.A., New York University, 1974.

**Children:** Leo Amherst '94, M.B.A., University of Chicago Graduate School of Business '99. Marc, New York University '07.

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**Jayusia P. Bernstein**

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*Education*

Washington Square College,  
New York University, New York, New York  
Bachelor of Arts in History, 1971  
Phi Beta Kappa, New York University Founders Day Award

Stern School of Business  
New York University, New York, New York  
Master of Business Administration, 1974

*Professional Experience*

Stratigraphic Asset Management, Inc., Chairman, Chief Investment Officer, and Co-Founder, 1978-present (SEC registered investment advisory firm) [www.stratigraphic.com](http://www.stratigraphic.com) ; Stratigraphic Petroleum Corporation, Inc., Chairman and Co-Founder, 1977-1998 (a privately held corporation engaged in petroleum exploration and production operations); Kidder Peabody Incorporated, New York, New York, Portfolio Manager, 1976-1978; U.S. Options, Inc., New York, New York, Portfolio Manager, 1974-1976.

*Selected Community Service*

Spanish Repertory Theater, New York, New York, Member of Board of Directors, 1979-1984  
Dean's Advisory Council, College of Arts and Science, New York University, 2002 to Present  
Foundation of Jewish Philanthropies Investment Committee, Miami, Florida, 2003 to 2009  
Ransom Everglades School Investment Committee, Miami, Florida, 2002-2006

*Family*

**Spouse:** Married to Alan S. Bernstein, 46 years; business partner since 1978. Amherst College, 1963, MBA Harvard Business School, 1966.

**Children:** Leo Amherst '94, M.B.A., University of Chicago Graduate School of Business '99. Marc, New York University '07.

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*Disciplinary Information.* Not applicable.

*Other Business Activities.* Not applicable.

**Additional Compensation.** The only compensation the Bernsteins receive is derived through their ownership and employment with Stratigraphic. Stratigraphic earns compensation exclusively through client advisory fees.

**Supervision.** The Bernsteins as principals and supervised persons are responsible for every aspect of Stratigraphic's business including all transactions pertaining to client portfolios. All decisions are unanimous.