

ATB Securities Inc.

Form ADV Part 2A – Disclosure Brochure

Effective: October 23, 2014

This Disclosure Brochure is for ATB Securities Inc. (“ATBSI”). If you have any questions about the contents of this Disclosure Brochure, please contact us at (403) 974-5543.

The information in this Disclosure Brochure has not been approved or verified by the U.S. Securities and Exchange Commission (“SEC”). Registration of an investment advisor does not imply any specific level of skill or training.

Additional information about ATB is available on the SEC’s website at www.adviserinfo.sec.gov.

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About ATBSI

ATB Securities Inc. ("ATBSI") is a wholly owned subsidiary of ATB Financial ("ATB") and operates under the trade name ATB Investor Services. ATB Financial is the trade name and registered trademark of Alberta Treasury Branches.

ATBSI is a full-service retail brokerage and a member of the Investment Industry Regulatory Organization of Canada ("IIROC"), Canada's regulator of securities firms and the Canadian Investor Protection Fund ("CIPF").

ATBSI provides advisory and brokerage services to clients that are Canadian citizens.

Information About ATBSI Services

ATBSI has registered with the U.S. Securities and Exchange Commission ("SEC") as certain clients of ATBSI may be full-time or part-time residents in the United States. All advice provided to ATBSI clients are implemented through their respective investment and brokerage accounts, as applicable. As an SEC registrant, ATBSI does not charge investment advisory fees. All fees pursuant to advice provided by the registrant are under the registration with IIROC.

Information related to ATBSI services are provided under the ATBSI disclosure brochure provided to clients under ATBSI's Canadian registration(s).