

## Wrap Fee Program Brochure

### Form ADV 2A - Appendix 1

#### Item 1 - Cover Page

### Wealth Management Advisors, LLC CRD# 171260

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**September 11, 2014**

This brochure provides information about the qualifications and business practices of Wealth Management Advisors, LLC. If you have any questions about the contents of this brochure, please contact us at (864) 236-4706 or [jeff@wwmadvisors.com](mailto:jeff@wwmadvisors.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state authority.

Additional information about Wealth Management Advisors, LLC also is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

#### Item 2 - Material Changes

This Wrap Brochure is prepared in the revised format required beginning in 2011. Registered Investment Advisers are required to use this format to inform clients of the nature of advisory services provided, types of clients served, fees charged, potential conflicts of interest and other information. The Wrap Brochure requirements include providing a Summary of Material Changes (the "Summary") reflecting any material change to our policies, practices, or conflicts of interest made since our last required "annual update" filing. In the event of any material changes, this summary is provided to all clients within 120 days of our fiscal year-end. Our last filing was made on June 6, 2014. Of course the complete Brochure is available to clients at any time upon request.

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## ***Item 4 - Services, Fees and Compensation***

### **General Information**

Wealth Management Advisors, LLC ("WMA") also does business as Wagner Wealth Management, LLC and Capital Options, Inc. WMA was formed in 2014, and provides financial planning, portfolio management, and general consulting services to its clients. Such services may be offered through the WMA Wrap Program or the "Program," which has been designed to simplify the payment of management fees and brokerage expenses.

Wagner Wealth Management, LLC is the principal owner of WMA. DEW Holdings, LLC (owned by Dan E. Wagner, Jr.) and Jeffrey Group, LLC (owned by Jeff Herman) are the owners of Wagner Wealth Management, LLC. Please see ***Brochure Supplements***, Exhibit A, for more information on Mr. Wagner, Mr. Herman and other individuals who formulate investment advice and have direct contact with clients, or have discretionary authority over client accounts.

### **Portfolio Management Services**

At the beginning of a client relationship, WMA meets with the client, gathers information, and performs research and analysis as necessary to develop the client's Investment Plan. The Investment Plan will be updated from time to time when requested by the client, or when determined to be necessary or advisable by WMA based on updates to the client's financial or other circumstances.

To implement the client's Investment Plan, WMA will manage the client's investment portfolio on a discretionary basis. As a discretionary investment adviser, WMA will have the authority to supervise and direct the portfolio without prior consultation with the client.

### **General Fee Information**

Fees paid by clients to participate in the WMA Wrap Program generally include brokerage expenses (i.e., commissions, ticket charges, etc.) as well as the management fee paid to WMA. Under the all-inclusive billing alternative, WMA will assess one client fee that captures the management, brokerage and administrative portions collectively. Fees are individually negotiated with each client, and range up to an annual rate of no more than 2.5% of assets under management.

Fees paid to WMA under the Program are exclusive of and distinct from the fees and expenses charged by mutual funds, ETFs (exchange traded funds) or other investment pools to their shareholders (generally including a management fee and fund expenses, as described in each fund's prospectus or offering materials). Clients may pay certain fees in addition to the fees of the WMA Wrap Program, such as margin interest, check fees, trade-away fees, odd lot differentials and other similar types of fees. Clients will pay certain fees in addition to the fees of the WMA Wrap Program, as more fully described in the Brochure, Part 2A. WMA Wrap Program fees which WMA does not pay to third parties in connection with transaction and execution expenses are retained by WMA. Because of this, WMA may have a disincentive to trade securities in the accounts of clients in the Program.

The client should review all fees charged by funds, WMA and others to fully understand the total amount of fees paid by the client for investment and financial-related services. Clients participating in the WMA Wrap Program may pay higher or lower fees than clients purchasing such services separately, depending on the cost of services if provided separately and the level of trading in a particular client's account.

#### Wrap Program Fee Information

Fees range up to an annual rate of no more than 2.5% of assets under management.

#### ***Item 5 - Account Requirements and Types of Clients***

WMA serves individuals, pension and profit-sharing plans, corporations, trusts, estates, state or municipal government entities and charitable organizations. With some exceptions, the minimum portfolio value eligible for conventional investment advisory services is \$250,000, and there is no minimum annual fee charged. Under certain circumstances and in its sole discretion, WMA may negotiate such minimums.

#### ***Item 6 - Portfolio Manager Selection and Evaluation***

The WMA Wrap Program was designed to simplify for clients the payment of management fees and brokerage expenses. The Program does not select advisers in addition to WMA, which is the only Portfolio Manager for the Program.

#### ***Item 7 - Client Information Provided to Portfolio Managers***

WMA is the only portfolio manager under the WMA Wrap Program. No information is shared with any other portfolio manager.

#### ***Item 8 - Client Contact with Portfolio Managers***

WMA is the only portfolio manager under the WMA Wrap Program. No restrictions are placed on client's ability to contact or consult with WMA.

#### ***Item 9 - Additional Information***

Neither WMA nor its Management Persons have any disciplinary disclosure required. Please see ADV Part 2A for more information in the following areas: ***Item 10 - Other Financial Industry Activities and Affiliations, Item 11 - Code of Ethics, Item 13 - Review of Accounts, Item 14 - Client Referrals and Other Compensation, and Item 18 - Financial Information.***