

**Form ADV Part 2B: Brochure Supplement for Ka Ram Shin**  
**Item 1: Cover Page**  
**July 2014**

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**KLK Capital Management LLC**  
**2010 Main Street, Suite 350**  
**Irvine, CA 92614**  
**(949) 379-1988**

**Firm Contact:**  
**Wonkyong Kim**  
**Chief Compliance Officer**

This brochure supplement provides information about Ka Ram Shin that supplements our disclosure brochures. You should have received a copy of that brochure. Please contact our office if you did not receive our brochures or if you have any questions about the contents of this supplement.

Additional information about Ka Ram Shin is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2: Educational Background & Business Experience**

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**David Shin** | *Year of Birth:* 1984

### **Educational Background:**

- 2010; University of California, Irvine; B.S. –Business/Economics

### **Business Background:**

- 04/2014 – Present      KLK Capital Management LLC;  
Client Service Associate & Wealth Advisor
- 04/2014 – Present      M.S. Howells & Co., Inc.; Registered Representative
- 01/2012 – 04/2014      UBS Financial Services, Inc.; Client Service Associate
- 07/2008 – 01/2012      Merrill Lynch; Client Associate

### **Exams, Licenses & Other Professional Designations:**

- 06/2014                  Series 66
- 11/2013                  Series 7

## **Item 3: Disciplinary Information**

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There are no legal or disciplinary events material to your evaluation of Mr. Shin.

## **Item 4: Other Business Activities**

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Mr. Shin is a registered representative of M.S. Howells & Co., Inc., member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Mr. Shin may earn.

## **Item 5: Additional Compensation**

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Mr. Shin does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

## **Item 6: Supervision**

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Wonkyong Kim, Chief Compliance Officer of KLK Capital Management LLC, supervises and monitors Mr. Shin's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Wonkyong Kim if you have any questions about Mr. Shin's brochure supplement.

### **Item 7: Requirements for State-Registered Advisers**

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Mr. Shin has not been involved in one of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

Mr. Shin has not been the subject of a of a bankruptcy petition.