

**Form ADV Part 2B: Brochure Supplement for Hyesook Kim**  
**Item 1: Cover Page**  
**July 2014**

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**KLK Capital Management LLC**  
**2010 Main Street, Suite 350**  
**Irvine, CA 92614**  
**(949) 379-1988**

**Firm Contact:**  
**Wonkyong Kim**  
**Chief Compliance Officer**

This brochure supplement provides information about Hyesook Kim that supplements our disclosure brochures. You should have received a copy of that brochure. Please contact our office if you did not receive our brochures or if you have any questions about the contents of this supplement.

Additional information about Hyesook Kim is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Item 2: Educational Background & Business Experience

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**Hyesook Kim** | *Year of Birth:* 1966

### **Educational Background:**

- 1989; Kunkook University; B.S. – Chemical Engineering

### **Business Background:**

- 04/2014 – Present    KLK Capital Management LLC;  
   Managing Member & Investment Adviser Representative
- 04/2014 – Present    M.S. Howells & Co., Inc.; Registered Representative
- 05/2008 – 04/2014    Merrill Lynch, Pierce, Fenner & Smith Incorporated; Financial Advisor
- 02/2005 – 05/2008;    Merrill Lynch, Pierce, Fenner & Smith Incorporated; Client Associate
- 07/2003 – 02/2005    Wachovia Securities; Senior Registered Account Administrator

### **Exams, Licenses & Other Professional Designations:**

- 09/2006                Licensed in Life & Health Insurance
- 11/2006                Series 66
- 09/2001                Series 65
- 08/2001                Series 7
- 04/2001                Licensed Real Estate Broker – New Jersey
- 04/2000                Series 63
- 01/2000                Series 6

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## Item 3: Disciplinary Information

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There are no legal or disciplinary events material to your evaluation of Hyesook Kim.

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## Item 4: Other Business Activities

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Hyesook Kim is a registered representative of M.S. Howells & Co., Inc., member FINRA/SIPC. She may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Hyesook may earn.

Hyesook Kim is also a licensed insurance agent. She may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation she and/or our supervised persons may earn.

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## Item 5: Additional Compensation

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Ms. Hyesook Kim does not receive any additional compensation or economic benefit outside of her regular salary such as any sales awards or other prizes.

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## Item 6: Supervision

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Wonkyong Kim, Chief Compliance Officer of KLK Capital Management LLC, supervises and monitors

Hyesook Kim's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Wonkyong Kim if you have any questions about Hyesook's brochure supplement.

### **Item 7: Requirements for State-Registered Advisers**

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Hyesook Kim has not been involved in one of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

Hyesook Kim has not been the subject of a of a bankruptcy petition.