

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
September 2014**

**Daniel R. LaRosa**

**Foundation Retirement Plan Consultants  
27 East Merrick Road  
Valley Stream, NY 11580**

**Firm Contact:  
Daniel LaRosa  
Chief Compliance Officer**

**This brochure supplement provides information about Mr. LaRosa. that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. LaRosa if you did not receive Foundation Retirement Plan Consultants' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. LaRosa is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Educational Background & Business Experience**

**Daniel Robert LaRosa**

**Year of Birth:** 1982

### **Educational Background:**

- 2004: SUNY Albany; Bachelor of Science in Management & Marketing

### **Business Background:**

- 02/2014 – Present      Foundation Retirement Plan Consultants, Inc; President & Chief Compliance Officer
- 12/2011 – Present      Klein Financial Services, Inc; Director of Retirement Plan Services
- 04/2008 – 12/2011      Paychex, Inc; Senior Sales Consultant
- 05/2005 – 04/2008      Cintas, Corp; Sales Representative

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to your evaluation of Mr. LaRosa.

## **Item 4: Other Business Activities**

Mr. LaRosa is the Director of Retirement Plan Services at Klein Financial Services, Inc (“KFS”), a registered investment adviser. Our clients will not be solicited to utilize any KFS services.

## **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

## **Item 6: Supervision**

Mr. LaRosa is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm’s Code of Ethics and is regulated by all applicable federal and state laws, rules and statutes in keeping with the highest levels of professional and ethical standards.

## **Item 7: Requirements for State Registered Advisers**

Mr. LaRosa has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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**Edward Vallar, Jr.**

**Foundation Retirement Plan Consultants  
27 East Merrick Road  
Valley Stream, NY 11580**

**Firm Contact:  
Daniel LaRosa  
Chief Compliance Officer**

**This brochure supplement provides information about Mr. Vallar, Jr. that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. LaRosa. if you did not receive Foundation Retirement Plan Consultants' brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Vallar, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2: Educational Background & Business Experience

**Edward Luke Vallar, Jr.**

**Year of Birth:** 1962

**Educational Background:**

- 1984: St. John's University; Bachelor of Science in Finance

**Business Background:**

- 02/2014 – Present Foundation Retirement Plan Consultants, Inc; Investment Advisor
- 05/2013 – Present Klein Financial Corp; Director of Wealth Management
- 05/2010 – Present PJ Robb Variable Corp; Insurance Agent
- 04/2004 – 05/2013 GM Advisory Group, Inc; Director of Strategy & Research

**Professional Designation:**

- Life & Health Insurance
- 1992: Chartered Financial Analyst (CFA)

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct. The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession. To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to your evaluation of Mr. Vallar, Jr.

## Item 4: Other Business Activities

Mr. Vallar, Jr is an investment adviser representative with Klein Financial Services, Inc ("KFS"). He spends approximately 160 hours per month with this outside business activity. Our clients will not be solicited to utilize any KFS services.

Mr. Vallar, Jr is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn.

### **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

### **Item 6: Supervision**

Mr. LaRosa, President and Chief Compliance Officer of Foundation Retirement Plan Consultants, Inc, supervises and monitors Mr. Vallar, Jr's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. LaRosa if you have any questions about Mr. Vallar, Jr's brochure supplement at 516-256-2033.

### **Item 7: Requirements for State Registered Advisers**

Mr. Vallar, Jr has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.