

Form ADV Part 2B: Brochure Supplement
Item 1: Cover Page
May 2014

Chung Wu

CW Investment Group
1415 Lake Pointe Parkway
Sugar Land, TX 77478

Firm Contact:
Chung Wu
Chief Compliance Officer

This brochure supplement provides information about Chung Wu that supplements our brochure. You should have received a copy of that brochure. Please contact us if you did not receive our Firm Brochure or if you have any questions about the contents of this supplement.

Additional information about Chung Wu is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Chung Wu | *Year of Birth:* 1954

Educational Background:

- 1981; University of Houston; MBA - Accounting
- 1977; University of Houston; BBA - Finance

Business Background:

- 04/2014 – Present Chung Wu Investment Group, LLC *dba* CW Investment Group; Managing Principal and Investment Adviser Representative
- 05/2010 – 04/2014 Wells Fargo Advisors Financial Network, LLC; Investment Adviser Representative and Broker
- 12/2008 – 05/2010 Wells Fargo Advisors, LLC; VP Financial Investments
- 10/2007 – 12/2008 Wachovia Securities, LLC; Financial Advisor
- 08/2001 – 10/2007 A.G. Edwards & Sons, Inc.; Financial Advisor
- 04/1999 – 08/2001 UBS/Paine Webber, Inc.; Financial Advisor

Exams, Licenses & Other Professional Designations:

- 02/2011 Series 24
- 04/2007 CFP® - Certified Financial Planner™
- 03/1999 Series 7, Series 31, Series 63, Series 65

The Certified Financial Planner™ (CFP®) certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam (administered in 10 hours over a 2 day period) and agreeing to be bound by the CFP board's standard of professional conduct. As a prerequisite the IAR must have a bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the standards of professional conduct.

Item 3: Disciplinary Information

There are no legal or disciplinary events material to your evaluation of Mr. Wu.

Item 4: Other Business Activities

Mr. Wu has no outside business activities to disclose.

Item 5: Additional Compensation

Mr. Wu does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

Item 6: Supervision

Chung Wu is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisers

Chung Wu has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.