

Part 2B of Form ADV: Firm Brochure Supplement

## **Veracen Capital Management LLC**

**Veracen Capital Management LLC 250**

**West 55<sup>th</sup> Street, 16th Floor**

**New York, NY 10019**

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**April 4, 2014**

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This brochure supplement provides information that supplements the Veracen Capital Management LLC brochure with regard to the following persons: Stephen Slade.

You should have received a copy of the Veracen Capital Management LLC brochure. If you did not receive a copy of that brochure or if you have any questions about the contents of this brochure supplement, please contact Veracen Capital Management LLC at 212-521-5190 or [info@veracen.com](mailto:info@veracen.com).

## **STEPHEN SLADE, M.S., Ph.D**

250 West 55<sup>th</sup> Street, 16th Floor

New York, NY 10019

Tel: (212) 521-5190

[www.veracen.com](http://www.veracen.com)

### **Educational Background and Business Experience**

Year of Birth: 1952

Formal Education: Yale University, Ph.D, Computer Science  
Yale University, M.S., Computer Science  
Yale University, B.S., Music

Business Experience: Veracen Capital Management LLC

- Chief Risk and Technology Officer, 2013 – Present  
COMMONFUND
- Managing Director, Risk Management, 2008 – 2013  
Bank of America
- Senior Vice President, Risk Management, 2006 – 2008  
INVESCO
- Director, Investment Technology, 2000 – 2006

### **Professional Designations Held:**

Certified Financial Risk Manager (FRM) by Global Association of Risk Professionals.

Six Sigma Green Belt with Design for Six Sigma Certification.

### **Disciplinary Information:**

Stephen Slade has no disciplinary events.

### **Other Business Activity:**

The investment advisory business is Stephen Slade's occupation and he is not involved in any outside business activities.

### **Additional Compensation:**

Stephen Slade does not receive any compensation or economic benefit from sources outside of Veracen Capital Management LLC for providing advisory services.

### **Supervision:**

Veracen's investment decisions are based on a quantitative analysis of a specified universe of securities or other asset classes. This quantitative analysis relies on proprietary models to generate views on securities and apply them in a disciplined and systematic process. The firm's Portfolio Management and Trading teams supervise the day-to-day execution of these models and continuously research ways to enhance their efficiency. The Compliance team monitors the firm's investment activities through numerous electronically generated reports designed to audit trading activity and account management. Finally, various investment committees periodically review the firm's investment strategies and risk processes, and approve revisions to those strategies and processes as necessary.

Dr. Slade is Managing Partner, Chief Risk and Technology Officer of Veracen, (212) 521-5190.