
Form ADV Part 2B – Brochure Supplement for Robert E. Graham
Item 1: Cover Page
April 2014

Great Lakes Wealth Management and Advisory Partners, Inc.

111 West Jackson Blvd., Suite 1700
Chicago, IL 60604

Firm Contact:
Robert E. Graham
Chief Compliance Officer

This brochure supplement provides information about Robert Graham that supplements our brochure. You should have received a copy of that brochure. Please contact our office if you did not receive our Firm Brochure or if you have any questions about the contents of this supplement.

Additional information about Robert Graham is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Name: Robert E. Graham | ***Year of Birth:*** 1954

Mr. Graham completed the Executive Leadership Program at University of Pennsylvania, Wharton School and has a BS in Marketing & Finance from St. Johns University. Mr. Graham's financial career spans 33 years which encompasses a range of expertise in all facets of Sales, Regulatory Compliance, Arbitration, Mediation, Training & Development, and Strategic Reporting for major Securities operations.

Prior to founding Great Lakes Wealth Management and Advisory Partners, Inc., Mr. Graham was Managing Director of Investments at UBS from 2012 to 2013, Managing Director of the Midwest Region for UBS Wealth Management from 2010 to 2012, and Managing Director of Illinois and Wisconsin for UBS Wealth Management from 2009 to 2010. He was previously employed at Merrill Lynch for 29 years, most recently as a Regional Managing Director. Prior to that, Mr. Graham was the Regional Director for Institutional Advisory at Merrill Lynch. He began his career as a Financial Advisor at E.F. Hutton in New York in 1979.

As a top advisor in the wealth management field, Mr. Graham was asked to co-write the curriculum for DePaul University's Kellstadt Graduate School of Business's M.S. in Wealth Management. As a Managing Director at UBS, Mr. Graham spoke to women's conferences on various aspects of money management; conducted conferences on complex management and leadership development; lectured financial advisors and branch managers on performance and productivity, along with compliance and regulations.

Mr. Graham currently serves as an Executive Marketing Panel Member for the Archdiocese of Chicago; Chairman of the Chicago Police Memorial Foundation Advisory Council; and on the Board of Directors for the 100 Club of Chicago. Well-known in Chicago's philanthropic community, Mr. Graham continues to support non-profits with strategic planning, program development, foundation planning, and fundraising.

Item 3: Disciplinary Information

There are no legal or disciplinary events material to your evaluation Robert Graham.

Item 4: Other Business Activities

Mr. Graham has no outside business activities to disclose.

Item 5: Additional Compensation

Mr. Graham does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

Item 6: Supervision

Robert Graham is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisers

Robert Graham has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.