

Form ADV Part 2B - Brochure Supplement
Item 1: Cover Page
September 2014

Paul Botte
CRD# 1305108

Petix & Botte Financial
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www.petixbotte.com

This brochure supplement provides information about Paul Botte that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Botte, Chief Compliance Officer if you did not receive Petix & Botte Financial's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Botte is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Paul William Botte

Year of Birth: 1958

Educational Background:

- 1982; San Diego State University; Bachelor of Science, Finance

Business Background:

- 08/1997 – Present Petix & Botte Financial; Chief Compliance Officer, Partner and Investment Adviser Representative since 05/2014
- 11/1999 – Present LPL Financial; Financial Advisor
- 08/1997 – Present Tax & Financial Services Co., Inc.; Partner and Tax Preparer

Exams, Licenses & Other Professional Designations:

- 10/1984 – Series 7
- 01/1985 – Series 63
- 10/1986 – Certified Financial Planner
- California Insurance License

CFP® - CERTIFIED FINANCIAL PLANNER™:

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to your evaluation of Mr. Botte.

Item 4: Other Business Activities

Mr. Botte is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn.

Mr. Botte is a financial advisor of LPL Financial, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Mr. Botte may earn.

Item 5: Additional Compensation

Mr. Botte does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

Item 6: Supervision

Mr. Botte is the Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics and the Code of Ethics of the Certified Financial Planning Board.

Item 7: Requirements for State-Registered Advisers

Mr. Botte has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.