

Part 2B of Form ADV - Brochure Supplement

Jeffrey William Stephens
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This Brochure Supplement provides information about Jeffrey William Stephens, your Investment Advisor Representative. This supplements the SDM Advisors, LLC ("SDMA") Form ADV Part 2A Brochure which you should have also received. The information in these Brochures has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. SDM Advisors, LLC is a Registered Investment Adviser. Registration of an Investment Adviser does not imply any level of skill or training. The oral and written communications of an Adviser provide you with information that you may use to determine whether to hire or retain them.

Please contact Richard Hillsbery at (415) 691-4036, or by e-mail rhillsbery@SDMayerWM.com to receive the SDMA Form ADV Part 2A Brochure or if you have any questions about the contents of this Brochure. Additional information about SDMA and Jeffrey William Stephens is available on the SEC's website at www.adviserinfo.sec.gov and FINRA's website at www.finra.org/brokercheck.

Educational Background and Business Experience

Jeffrey William Stephens - Date of Birth: 1959

Education:

- San Diego State University: Bachelors of Science, Finance

Licenses and Professional Designations:

- Series 7, 24 and 65 Licenses
- Designations: AIF, CLU, ChFC

Business Experience:

- Investment Advisor Representative – SDM Advisors, LLC. July 2014 – present
- Director, Retirement Plan Services, SD Mayer & Associates, July 2014 - present
- Retirement Plan Specialist, CalPac Advisors, March 2011- July 2014
- Associate, Strategic Financial, August 1998 – March 2011

AIF® – Accredited Investment Fiduciary®

The AIF® designation is offered and recognized by the Center for Fiduciary Studies. To earn the AIF®, Candidates must a) complete a web-based educational program or a capstone program, and b) pass a final certification exam. Those who obtain the AIF® must complete 6 hours of continuing education annually.

CLU® – Chartered Life Underwriter®

The CLU® designation is offered and recognized by the American College. Candidates must complete five core and three elective courses, and successfully pass a proctored exam for each course. Additionally, 3 years of full-time business experience within the 5 years preceding the awarding of the designation is required. Every 2 years, 30 hours of continuing education credits are required.

ChFC® – Chartered Financial Consultant®

The ChFC® designation is issued by the American College. To earn the professional designation, candidates must complete six core courses and two elective courses and successfully pass a proctored final exam for each course. Candidates must also have at least 3 years of full-time business experience within the 5 years preceding being awarded the designation. Every 2 years, 30 hours of continuing education credits are required.

Disciplinary Information

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

Jeffrey William Stephens has no disciplinary record that would impact a client's evaluation of the practice.

Other Business Activities

- Mr. Stephens is an Investment Advisory Representative of ValMark Advisers, Inc., an Investment Advisor registered with the Securities and Exchange Commission. As an investment advisor representative of ValMark Advisers, he is eligible to receive advisory fees associated with work performed for customers of that Advisory firm. These fees are separate from fees earned for work with SDM Advisors, LLC.
- Mr. Stephens is a Registered Representative of ValMark Securities, Inc., a broker dealer under common ownership and management of ValMark Advisers, Inc. As a Registered Representative of an affiliated broker dealer, ValMark Securities, Inc. Mr. Stephens is eligible to receive normal commissions associated with securities sales.
- Mr. Stephens is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of ValMark Advisers, Inc. He is also eligible to receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

Additional Compensation

There is no additional compensation awarded for providing advisory services, such as sales awards, bonuses or prizes.

Supervision

Accounts are reviewed periodically, usually at least annually by the assigned investment advisory representative. Richard Hillsbery is the Firm's Chief Compliance officer. Before accepting and implementing investment strategies recommended, Mr. Hillsbery or a designated supervisory principal will review and approve of the investment strategies. Mr. Hillsbery or a designated supervisory principal also periodically reviews accounts and strategies. These initial and ongoing reviews are under the direction of Mr. Hillsbery who may be reached at (415) 691-4036 to discuss questions or concerns in connection with the internal compliance program.

Requirements for State Registered Advisers

State registered investment advisers and their related persons are required to disclose the material facts regarding the involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. Mr. Stephens has no such information to disclose in relation to this Item. Neither SDMA nor any of its management persons are compensated for advisory services with performance-based fees. Neither SDMA nor any of its management persons have any relationship or arrangement with any issuer of securities.