

## **Item 1 - Cover Page**

### **Form ADV Appendix 1, Wrap Program Brochure**



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This brochure provides information about the qualifications and business practices of MWM 1835, LLC. If you have any questions about the contents of this brochure, please contact us at (855) 696-1835 or [contact@themwmgroup.com](mailto:contact@themwmgroup.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state authority.

MWM 1835, LLC is an investment advisory firm registered with the appropriate regulatory authority. Registration does not imply a certain level of skill or training. Additional information about MWM 1835, LLC also is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

**January 10, 2014**

## **Item 2 - Material Changes**

This Brochure is prepared in the revised format required beginning in 2011. This is the initial Wrap Brochure of MWM 1835, LLC, and is prepared according to the SEC's requirements and rules. In the future, this Item will discuss only specific material changes that are made to the Wrap Brochure and will provide clients with a summary of such changes. We will also reference the date of our last annual update of our brochure. We will further provide you with a new Wrap Brochure as necessary based on changes, new information, or at your request, at any time, without charge.

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#### ***Item 4 - Services, Fees and Compensation***

MWM 1835, LLC (DBA “MWM”) was formed in 2013 and provides Financial Planning Services as well as Portfolio and Private Wealth Management Services to its clients. Such services may be offered through the “MWM Wrap Program” or the “Program,” which has been designed to simplify the payment of management fees and brokerage expenses.

The Malloy Family Trust is the principal owner of MWM. William M. Malloy, III and Shenell S. Malloy are Co-Trustees of the Trust. Please see ***Brochure Supplements***, Exhibit A, for more information on Bill Malloy as well as others who formulate investment advice and have direct contact with clients, or have discretionary authority over client accounts.

#### **Portfolio Management Services**

At the beginning of a client relationship, MWM meets with the client, gathers information, and performs research and analysis as necessary to develop the client’s Investment Plan. The Investment Plan will be updated from time to time when requested by the client, or when determined to be necessary or advisable by MWM based on updates to the client’s financial or other circumstances.

To implement the client’s Investment Plan, MWM will manage the client’s investment portfolio on a discretionary basis. As a discretionary investment adviser, MWM will have the authority to supervise and direct the portfolio without prior consultation with the client.

#### **General Fee Information**

Fees paid by clients to participate in the MWM Wrap Program generally include brokerage expenses (e.g., commissions, ticket charges, etc) as well as the management fee paid to MWM. Under the all-inclusive billing alternative, MWM will assess one client fee that captures the management, brokerage and administrative portions collectively. Please see Part 2A, ***Item 5 - Fees and Compensation*** for MWM’s fee schedule and other billing information.

Fees paid to MWM are exclusive of and distinct from the fees and expenses charged by mutual funds, ETFs (exchange traded funds) or other investment pools to their shareholders (generally including a management fee and fund expenses, as described in each fund’s prospectus or offering materials). Clients will pay certain fees in addition to the fees of the MWM Wrap Program, as more fully described in the Brochure, Part 2A. MWM Wrap Program fees which MWM does not pay to third parties in connection with transaction and execution expenses are retained by MWM. Because of this, MWM may have a disincentive to trade securities in client accounts.

The client should review all fees charged by funds, MWM and others to fully understand the total amount of fees paid by the client for investment and financial-related services. Clients participating in the MWM Wrap Program may pay higher or lower fees than clients purchasing such services separately, depending on the cost of services if provided separately and the level of trading in a particular client’s account.

#### **Wrap Program Fee Information**

Please see ***Item 5 - Fees and Compensation*** of ADV Part 2A for more information regarding the Wrap Fee Program Fees.

#### ***Item 5 - Account Requirements and Types of Clients***

MWM serves HNW individuals, pension and profit-sharing plans, corporations, trusts, estates and charitable organizations. While the fee for each engagement is individually negotiated, MWM generally imposes a minimum annual fee of \$5,000. Under certain circumstances and in its sole discretion, MWM may negotiate such minimums.

#### ***Item 6 - Portfolio Manager Selection and Evaluation***

The MWM Wrap Program was designed to simplify for clients the payment of management fees and brokerage expenses. The Program does not select advisers in addition to MWM, which is the only Portfolio Manager for the Program.

#### ***Item 7 - Client Information Provided to Portfolio Managers***

MWM is the only portfolio manager under the MWM Wrap Program. No information is shared with any other portfolio manager.

#### ***Item 8 - Client Contact with Portfolio Managers***

MWM is the only portfolio manager under the MWM Wrap Program. No restrictions are placed on client's ability to contact or consult with MWM.

#### ***Item 9 - Additional Information***

Neither MWM nor its Management Persons have any disciplinary disclosure required. Please see ADV Part 2A for more information in the following areas: ***Item 10 - Other Financial Industry Activities and Affiliations, Item 11 - Code of Ethics, Item 13 - Review of Accounts, Item 14 - Client Referrals and Other Compensation, and Item 18 - Financial Information.***