

**Item 1: Cover Page for Part 2B of Form ADV:
Brochure Supplement
June 2014**

Brian Johnson



**706 Montana St.
Glidden, IA 51443**

**Firm Contact:
Brian Johnson
Chief Compliance Officer**

**Firm Website Address:
www.midwestfinancial.us**

This brochure supplement provides information about Brian Johnson that supplements our brochure. You should have received a copy of that brochure. Please contact our firm if you did not receive Brian Johnson's brochure or if you have any questions about the contents of this supplement.

Additional information about Brian Johnson is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Brian Dale Johnson

Year of Birth: 1957

Educational Background:

- 1980: Iowa State University; Bachelor of Science in Zoology

Business Background:

- 04/2014 – Present Midwest Financial; Managing Member, Chief Compliance Officer & Investment Adviser Representative
- 04/2014 – Present Purshe Kaplan Sterling Investments, Inc.; Registered Representative
- 01/2004 – 04/2014 ING Financial Partners dba Midwest Financial; Financial Advisor
- 08/1990 – 12/2003 Washington Square Securities; Investment Adviser Representative
- 06/1983 – 01/2004 Northwestern National Life; Insurance Agent

Exams, Licenses & Other Professional Designations:

- 2004 – Registered Financial Consultant (RFC®)
- 2003 – Series 24 Exam
- 2002 – Series 7 Exam
- 1998 – Series 65 Exam
- 1991 – Variable Life & Annuity Insurance License
- 1990 – Series 63 Exam
- 1990 – Series 6 Exam
- 1983 – Life Insurance License
- 1983 – Accident & Health Insurance License

RFC® - Registered Financial Consultant:

Mr. Johnson also has a professional designation, Registered Financial Consultant. The Registered Financial Consultant (RFC®) designation is the undisputed professional credential for persons in the field of financial planning. The designation is awarded by the International Association of Registered Financial Consultants (IARFC) to those financial advisors who can meet the high standards of education, experience and integrity that are required of all its members.

Candidates are required to complete a self-study process to attain the RFC designation. The preparation curriculum consists of ten volumes mirroring that of the CFP preparation. At the completion of the self-study process, candidates are required to take an untimed, 150-question multiple choice exam

Candidates must have either attained a professional designation (i.e. CLU, ChFC, and CFP), or has earned a baccalaureate or graduate degree in financial planning; this granting institution must offer an IARFC-approved or CFP equivalent college curriculum and be an accredited college or university, met four years of experience, providing evidence of having met license requirements for securities plus life and health insurance, or submits RIA affiliation information, must have a sound record of business integrity with no suspensions or revocation of any professional license and subscribe to the IARFC Code of Ethics. Designees must also agree to devote a minimum of 40 hours per year to continuing professional education in the field of personal finance and professional practice management.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Johnson.

Item 4: Other Business Activities

Mr. Johnson is a registered representative of Purshe Kaplan Sterling Investments, Inc., member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation they may earn. In order to minimize this conflict of interest, our firm's related persons will not receive preferential treatment over any clients.

Mr. Johnson is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he and/or our supervised persons may earn and may not necessarily be in the best interests of the client.

Item 5: Additional Compensation

We have nothing to disclose in this regard.

Item 6: Supervision

Mr. Johnson is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisors

- A. In addition to the events listed in Item 3 of Part 2B, if Mr. Johnson has been involved in one of the events listed below, we disclose all material facts regarding the event.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Johnson to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Johnson to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

We have nothing to disclose in this regard.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

B. If Mr. Johnson has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

We have nothing to disclose in this regard.