

**Form ADV Part 2B – Brochure Supplement**  
**Item 1: Cover Page**  
**March 2014**

**James DeCota**

**DeCota Wealth Management, LLC**  
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**Petaluma, CA 94952**  
**[www.DeCotaWealth.com](http://www.DeCotaWealth.com)**

This brochure supplement provides information about James DeCota that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. DeCota if you did not receive DeCota Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about James DeCota is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**James C. DeCota**  
**Year of Birth: 1976**

### **Educational Background:**

- 1999; Saint Mary's College; Bachelors of Science in Accounting

### **Business Background:**

- 03/2014 – Present DeCota Wealth Management, LLC; Managing Member and Investment Adviser Representative
- 03/2003 – 03/2014 Edward Jones; Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

- 2008 – Series 66
- 2006 – Accredited Asset Management Specialist
- 2003 – Series 63
- 2003 – Series 7
- 2003 – California Insurance License

### **AAMS® - Accredited Asset Management Specialist:**

The College for Financial Planning® awards the ACCREDITED ASSET MANAGEMENT SPECIALISTS AND AAMS® designation to students who successfully complete the program, pass the final examination and comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions. Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed.

Continued use of the AAMS® designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the AAMS® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to your evaluation of Mr. DeCota.

#### **Item 4: Other Business Activities**

Mr. DeCota is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he and/or our supervised persons may earn.

#### **Item 5: Additional Compensation**

Mr. DeCota does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

#### **Item 6: Supervision**

Mr. DeCota is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.