

David L. Herman



1007 Oak Hill Road, Suite B
Lafayette, CA, 94549

Firm Contact:
David L. Herman
Chief Compliance Officer

www.CypressPointFinancialAdvisors.com

This brochure supplement provides information about David L. Herman that supplements our brochure. You should have received a copy of that brochure. Please contact David L. Herman, Chief Compliance Officer if you did not receive Cypress Point Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement at (925) 962-9200 or email david.herman@cypresspointfa.com.

Additional information about David L. Herman is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

David L. Herman

Year of Birth: 1968

Educational Background:

- 1990; Temple University; Bachelors in Business Administration-Finance

Business Background:

- 11/2013 – Present Purshe Kaplan Sterling; Registered Representative.
- 10/2013 - Present Cypress Point Financial Advisors, LLC; Managing Member and Chief Compliance Officer
- 6/2004 – 10/2013 LPL Financial; Registered Principal
- 01/1999 – 6/2004 Valic Financial Advisors, Inc.; Financial Advisor

Exams, Licenses & Other Professional Designations:

- 05/2004 – Series 24
- 07/2003 – Series 66
- 02/2003 – Series 7
- 07/1999 – CA Insurance License #0C68727
- 09/1994 – Series 63
- 09/1994 – Series 6

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to your evaluation of David L. Herman.

Item 4: Other Business Activities

Mr. Herman is a registered representative of PKS, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. He is also licensed to offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these commissionable sales may create an incentive to recommend investments based on the compensation he may earn.

Item 5: Additional Compensation

We have nothing to disclose in this regard.

Item 6: Supervision

David L. Herman is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisers

In addition to Item 3 of this ADV Part 2B Brochure, Mr. Herman has not been involved in any arbitration claim in excess of \$2,500 , civil, self-regulatory organization, or administrative proceeding, or filed bankruptcy.