

**David L. Herman**



**1007 Oak Hill Road, Suite B**  
**Lafayette, CA, 94549**

**Firm Contact:**  
**David L. Herman**  
**Chief Compliance Officer**

**[www.CypressPointFinancialAdvisors.com](http://www.CypressPointFinancialAdvisors.com)**

This brochure supplement provides information about David L. Herman that supplements our brochure. You should have received a copy of that brochure. Please contact David L. Herman, Chief Compliance Officer if you did not receive Cypress Point Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about David L. Herman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Item 2: Educational Background & Business Experience

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**David L. Herman**

Year of Birth: 1968

### **Educational Background:**

- 1990; Temple University; Bachelors in Business Administration-Finance

### **Business Background:**

- 10/2013 - Present      Cypress Point Financial Advisors, LLC; Managing Member and Chief Compliance Officer
- 6/2004 – 10/2013      LPL Financial; Registered Principal
- 10/1994 – 6/2004      Valic Financial Advisors, Inc.; Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

- 05/2004 – Series 24
- 07/2003 – Series 66
- 02/2003 – Series 7
- 07/1999 – CA Insurance License #0C68727
- 09/1994 – Series 63
- 09/1994 – Series 6

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## Item 3: Disciplinary Information

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There are no legal or disciplinary events that are material to your evaluation of David L. Herman.

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## Item 4: Other Business Activities

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Mr. Herman is a registered representative of PKS, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. He is also licensed to offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these commissionable sales may create an incentive to recommend investments based on the compensation he may earn.

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## Item 5: Additional Compensation

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We have nothing to disclose in this regard.

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## Item 6: Supervision

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David L. Herman is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

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## Item 7: Requirements for State-Registered Advisers

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In addition to Item 3 of this ADV Part 2B Brochure, Mr. Chang has not been involved in any arbitration claim, civil, self-regulatory organization, or administrative proceeding, or filed bankruptcy.