



**Charles Douglas Bales**

**45632 McKenzie Hwy.**

**Vida, Oregon, 97488**

**[www.whitewaterwealth.com](http://www.whitewaterwealth.com)**

This brochure supplement provides information about Mr. Bales that supplements the brochure for White Water Wealth Management, LLC dba Whitewater Wealth. You should have received a copy of that brochure. Please contact Charles Douglas Bales, Chief Compliance Officer if you did not receive our firm's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Bales is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Item 2: Educational Background & Business Experience**

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**Charles Douglas Bales**

**Year of Birth:** 1963

### **Educational Background:**

Mr. Bales attended New Mexico State University for two years concentrating his studies on business and engineering. He also took classes at the University of Oregon where he studied marketing. Mr. Bales entered the work force when he joined the US Army at 18 and the professional level when he graduated Army Officer Candidate School and was commissioned a Second Lieutenant in July of 1984 at the age of 20.

### **Business Background:**

- 12/2013 – Present      Whitewater Wealth;  
Managing Member and Chief Compliance Officer
- 12/2013 – Present      LPL Financial; Registered Representative
- 05/2009 – 12/2013      Wells Fargo Advisors, LLC; Financial Advisor
- 01/2006 – 05/2009      Wachovia Securities, LLC; Financial Advisor
- 06/1991 – 01/2006      Smith Barney, Inc.; Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

- 06/2004 – State Annuities – Life, Health, Variable Lines
- 10/1992 – Series 65
- 01/1989 – Series 63
- 12/1988 – Series 7

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## **Item 3: Disciplinary Information**

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There are no legal or disciplinary events on record for Mr. Bales.

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## **Item 4: Other Business Activities**

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Mr. Bales is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn and may not necessarily be in the best interests of the client.

Mr. Bales is a registered representative of LPL Financial, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Mr. Bales may earn and may not necessarily be in the best interests of the client.

### **Item 5: Additional Compensation**

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Mr. Bales does not receive additional compensation or economic benefit outside of his regular salary, such as any sales awards or other prizes.

### **Item 6: Supervision**

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Charles Douglas Bales is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

### **Item 7: Requirements for State-Registered Advisers**

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Mr. Bales has not been involved in any arbitration claims or proceedings. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.