
Form ADV Part 2B: Brochure Supplement for Nat DiCarlo
Item 1: Cover Page
March 2014

Crossmarket Capital, LLC
3595 Inland Empire Blvd., Building 1 - Suite 1100
Ontario, CA 91764

Firm Contact:
Nat DiCarlo
Chief Compliance Officer

This brochure supplement provides information about Nat DiCarlo that supplements our brochure. You should have received a copy of that brochure. Please contact our firm if you did not receive it or if you have any questions about the contents of this supplement.

Additional information about Nat DiCarlo is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Nat DiCarlo | *Year of Birth:* 1970

Educational Background:

- 1992; Loyola Marymount University; B.A. – Business Administration and Finance

Business Background:

- 2013 - Present Crossmarket Capital, LLC;
Chief Compliance Officer and Investment Adviser Representative
- 1992 – 2013 Morgan Stanley Smith Barney LLC
Senior Vice President – Investments and Senior Portfolio Manager

Exams, Licenses & Other Professional Designations:

- 1992; Series 7, Series 63 and Series 65
- 1992; California Life & Health Insurance

Item 3: Disciplinary Information

There are no legal or disciplinary events material to your evaluation of Nat DiCarlo.

Item 4: Other Business Activities

Mr. DiCarlo is a consultant for DCI Investments, LLC—a real estate investment firm. He spends less than 50% of his time per month in this capacity. Clients are welcome but never obligated to utilize the services available through this outside business. Any non-advisory services provided by DCI Investments, LLC will be pursuant to a separate agreement with the appropriate entity.

Item 5: Additional Compensation

Mr. DiCarlo does not receive any additional compensation or economic benefit outside of his regular salary such as any sales awards or other prizes.

Item 6: Supervision

Nat DiCarlo is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisers

has not been involved in any arbitration claims alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization or administrative proceedings nor has he been the subject of any bankruptcy petitions.