

**Item 1: Cover Page for Part 2B of Form ADV:
Brochure Supplement
January 2014**

Catherine L. O'Connor

**O'Connor Portfolio Management LLC
201 East Davis Blvd
Tampa, FL, 33606**

**Firm Contact:
Catherine O'Connor
Chief Compliance Officer**

**Firm Website Address:
www.OConnorPortfolioManagement.com**

This brochure supplement provides information about Catherine O'Connor that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. O'Connor if you did not receive O'Connor Portfolio Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. O'Connor is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Catherine Lynne O'Connor

Year of Birth: 1955

Educational Background:

- 2010: The College for Financial Planning; Accredited Portfolio Management Advisor (APMA®)
- 1999: The College for Financial Planning; Certified Financial Planner (CFP®)
- 1976: Pennsylvania State University, Bachelors of Science in Speech Pathology/Audiology

Business Background:

- 10/2013 – Present O'Connor Portfolio Management LLC; Managing Member, Chief Compliance Officer & Investment Advisor
- 11/1993 – 10/2013 Ameriprise Financial Services, Inc., Financial Advisor

Exams, Licenses & Other Professional Designations:

- 2010: Accredited Portfolio Management Advisor (APMA®)
- 1999: Certified Financial Planner (CFP®)
- 1993: Series 7 & 63 Exams
- 1993: Insurance

Certified Financial Planner™ (CFP®):

The Certified Financial Planner™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Accredited Portfolio Management Advisor (APMA®)

Individuals who hold the APMA® designation have completed a course of study encompassing client assessment and suitability, risk/return, investment objectives, bond and equity portfolios, modern portfolio theory and investor psychology. Students have hands-on practice in analyzing investment policy statements, building portfolios, and making asset allocation decisions including sell, hold, and buy decisions within a client's portfolio.. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

All designees have agreed to adhere to *Standards of Professional Conduct* and are subject to a disciplinary process.

Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the *Standards of Professional Conduct* and complying with self-disclosure requirements.

Item 3: Disciplinary Information¹

We have no information to disclose.

Item 4: Other Business Activities

Ms. O'Connor is a licensed insurance agent. She may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation she may earn.

Item 5: Additional Compensation

We have nothing to disclose in this regard.

Item 6: Supervision

Catherine O'Connor is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over her. She is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisers

Ms. has not been found liable in an arbitration claim alleging damages in excess of \$2,500, nor has she been found liable in a civil, self-regulatory organization, or administrative proceeding.

Ms. has not been the subject of a bankruptcy petition.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving [supervised person] to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of [supervised person] to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.