



Louis Gardner

203 Trafalgar
San Clemente, CA

Lion Street Advisors, LLC
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512.776.8400

June 30, 2014

This Brochure Supplement provides information about Louis Gardner that supplements the Lion Street Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Advisor Services at 512/776-8400 if you did not receive Lion Street Advisors, LLC Brochure or if you have any questions about the contents of this supplement.

Additional information about Louis Gardner is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Born 03/11/1957

Education

Louis Gardner received a BS from Loyola Marymount University

Business Experience

10/2013 - present Lion Street Advisors, LLC
San Clemente, CA Investment Advisor

10/2013 - present Lion Street Financial, LLC
San Clemente, CA Registered Representative

10/2013 - present Lion Street Inc.
San Clemente, CA Insurance Agent

11/2007 - present Gardner Brown Associates, Inc
Los Alamitos, CA Insurance Agent

07/2009 – 10/2013 Sorrento Pacific Financial, LLC
San Clemente, CA Registered Representative

05/2008 – 10/2013 Partnervest Advisory Services, LLC
Santa Barbara, CA Investment Advisor

05/2008 – 10/2013 Partnervest Securities, LLC
Santa Barbara, CA Registered Representative

12/2006 – 05/2008 USA Advanced Planners, INC
Grand Rapids, MI Registered Representative

04/2005 – 11/2006 Peachtree Settlement
Norcross, CA Vice President of Insurance

10/2003 – 01/2005 NYLife Distributors, LLC –
Leawood, KS Vice President

Professional Designations

Louis Gardner does not hold any professional designations.

Item 3: Disciplinary Information

There are no legal or disciplinary events to disclose.

Item 4: Other Business Activities

Mr. Gardner is engaged in the following investment-related business activities:

1. Registered representative of Lion Street Financial, LLC, (“LSF”) a registered broker/dealer and member of the Financial Industry Regulatory Authority (“FINRA”). As such, IAR may sell securities through LSF and receive normal and customary commissions as a result of such transactions. IAR may also receive other compensation such as mutual fund 12b-1 fees and variable annuity trails from product sponsors. Therefore, the IAR has a financial incentive to recommend securities based on the compensation to be received rather than on the client’s needs. The client is under no obligation to buy or sell securities through IAR. To the extent client does elect to open a brokerage account through LSF, disclosure is made as to the nature of the relationship, services and any compensation to be received by the registered representative at the time the account is established.
2. Licensed as an insurance agent and may offer fixed and variable life insurance products for typical commissions as a result of such transactions. IAR may also receive other compensation such as fixed or variable life renewals from insurance carriers. Therefore, the IAR has a financial incentive to recommend insurance based on the compensation to be received rather than on the client’s needs. The client is under no obligation to purchase insurance through IAR. To the extent client does elect to purchase insurance through IAR, disclosure is made as to the nature of the relationship, services and any compensation to be received by the registered representative at the time of the transaction.

Louis Gardner is also associated with Gardner Brown Associates, Inc. regarding non-investment related insurance services.

Item 5: Additional Compensation

Louis Gardner may receive cash and non-cash compensation from certain third party product sponsors as permitted by industry rules. For example, product sponsors and other companies may reimburse IAR up to 100% of the cost of due diligence, training and education/joint marketing meetings. In addition, sales by IARs may qualify them for additional compensation that may include support for their business activities, attendance at seminar, conferences and entertainment.

Louis Gardner will also receive compensation from third party investment advisors for referring client accounts to the third party for account management. The third party will pay the IAR a solicitation fee for the referral. The IAR may also serve as the registered representative of record on the assets managed by the third party investment advisor. When this is the case, the IAR will receive normal and customary compensation (e.g., commissions, 12b-1 fees, trails) for the purchase of the investments. This compensation is in addition to the referral fee paid by the third party advisor.

Item 6: Supervision

Louis Gardner is supervised by Kyle Wardlaw, Director of Sales Supervision, who may be reached at 512-776-8435.

Item 7 - Requirements for State-Registered Advisers

Louis Gardner does not have any disciplinary events that are required to be disclosed. He has not been the subject of a bankruptcy petition.