



Louis Gardner

203 Trafalgar
San Clemente, CA

Lion Street Advisors, LLC
515 Congress Ave, Suite 2500
Austin, TX 78701
512.776.8400

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This Brochure Supplement provides information about Louis Gardner that supplements the Lion Street Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Advisor Services at 512/776-8400 if you did not receive Lion Street Advisors, LLC Brochure or if you have any questions about the contents of this supplement.

Additional information about Louis Gardner is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Born: 03/11/1957

Formal Education after High School

Louis Gardner received a BS from Loyola Marymount University

Business Experience

Lion Street Advisor – 10/2013 – present

Lion Street Financial – 10/2013 – present

Gardner Brown Associates, Inc – 11/2007 – present

Sorrento Pacific Financial, LLC – 07/2009 – 10/2013

Partnervest Advisory Services, LLC – 05/2008 – 10/2013

USA Advanced Planners, INC – 12/2006 – 05/2008

NYLife Distributors, LLC – 10/2003 – 01/2005

Professional Designations

Louis Gardner does not hold any professional designations.

Item 3: Disciplinary Information

There are no legal or disciplinary events to disclose.

Item 4: Other Business Activities

The IAR is engaged in the following investment-related business activities:

1. Louis Gardner is a registered representative of Lion Street Financial, llc, (“LSF”) a registered broker/dealer and member of the Financial Industry Regulatory Authority (“FINRA”). As such, IAR may sell securities through LSF and receive normal and customary commissions as a result of such transactions. IAR may also receive other compensation such as mutual fund 12b-1 fees and variable annuity trails from product sponsors. Therefore, the IAR has a financial incentive to recommend securities based on the compensation to be received rather than on the client’s needs. The client is under no obligation to buy or sell securities through IAR. To the extent client does elect to open a brokerage account through LSF, disclosure is made as to the nature of the relationship, services and any compensation to be received by the registered representative at the time the account is established.

2. Louis Gardner is also licensed as an insurance agent and may offer fixed and variable life insurance products for typical commissions as a result of such transactions. IAR may also receive other compensation such as fixed or variable life renewals from insurance carriers. Therefore, the IAR has a financial incentive to recommend insurance based on the compensation

to be received rather than on the client's needs. The client is under no obligation to purchase insurance through IAR. To the extent client does elect to purchase insurance through IAR, disclosure is made as to the nature of the relationship, services and any compensation to be received by the registered representative at the time of the transaction.

The IAR is engaged in the following non-investment related business activities:
Louis Gardner is also involved in the following additional business or occupation:

Item 5: Additional Compensation

Louis Gardner may receive cash and non-cash compensation from certain third party product sponsors as permitted by industry rules. For example, product sponsors and other companies may reimburse IAR up to 100% of the cost of due diligence, training and education/joint marketing meetings. In addition, sales by IARs may qualify them for additional compensation that may include support for their business activities, attendance at seminar, conferences and entertainment.

Louis Gardner will also receive compensation from third party investment advisors for referring client accounts to the third party for account management. The third party will pay the IAR a solicitation fee for the referral. The IAR may also serve as the registered representative of record on the assets managed by the third party investment advisor. When this is the case, the IAR will receive normal and customary compensation (e.g., commissions, 12b-1 fees, trails) for the purchase of the investments. This compensation is in addition to the referral fee paid by the third party advisor.

Item 6: Supervision

Louis Gardner is supervised by Kyle Wardlaw, Director of Sales Supervision, who may be reached at 512-776-8435.

Item 7 - Requirements for State-Registered Advisers

Louis Gardner does not have any disciplinary events that are required to be disclosed. He has not been the subject of a bankruptcy petition.